# TABLE OF CONTENTS

## DEFINITIONS

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>THE VIRGINIA INITIATIVE FOR EMPLOYMENT NOT WELFARE (VIEW)</td>
<td>1000.1</td>
</tr>
<tr>
<td>Core Work Activities</td>
<td>1000.1.A</td>
</tr>
<tr>
<td>Non-Core Work Activities</td>
<td>1000.1.B</td>
</tr>
<tr>
<td>Other Activities</td>
<td>1000.1.C</td>
</tr>
</tbody>
</table>

## PARTICIPATION REQUIREMENTS

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>VIEW Program Requirements</td>
<td>1000.2.A</td>
</tr>
<tr>
<td>Federal Participation Rate Requirements</td>
<td>1000.2.B</td>
</tr>
<tr>
<td>Computation of the Overall Federal Work Participation Rate</td>
<td>1000.2.C</td>
</tr>
<tr>
<td>Computation of the Federal Two-Parent Work Participation Rate</td>
<td>1000.2.D</td>
</tr>
<tr>
<td>Countable Work Activities for the Federal Work Participation Rate</td>
<td>1000.2.E</td>
</tr>
<tr>
<td>Limitations/Special Provisions</td>
<td>1000.2.F</td>
</tr>
<tr>
<td>Data Reporting</td>
<td>1000.2.G</td>
</tr>
</tbody>
</table>

## VIEW ANNUAL PLAN

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program Description</td>
<td>1000.3.A</td>
</tr>
<tr>
<td>Program Changes</td>
<td>1000.3.B</td>
</tr>
</tbody>
</table>

## VIEW PROGRAM FLOW

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1000.4</td>
</tr>
</tbody>
</table>

## LIMITATION ON THE RECEIPT OF TANF BENEFITS

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Two-Year VIEW Time Limit</td>
<td>1000.5.A</td>
</tr>
<tr>
<td>The Five-Year Federal Life Time Limit</td>
<td>1000.5.B</td>
</tr>
</tbody>
</table>

## VIEW VOLUNTEERS

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1000.6</td>
</tr>
</tbody>
</table>

## VIEW PARTICIPANTS WITH DISABILITIES

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1000.7</td>
</tr>
</tbody>
</table>

## VIEW INITIAL ASSESSMENTS

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Overview - Local Agency Responsibilities</td>
<td>1000.8.A</td>
</tr>
<tr>
<td>Scheduling the Initial Assessment Interview</td>
<td>1000.8.B</td>
</tr>
<tr>
<td>Client Failure to Attend the Initial Assessment Interview</td>
<td>1000.8.C</td>
</tr>
<tr>
<td>Client Failure to Attend the Initial Assessment Interview After Having Signed the APR as a Condition of TANF Eligibility</td>
<td>1000.8.D</td>
</tr>
<tr>
<td>The VIEW Assessment Interview</td>
<td>1000.8.E</td>
</tr>
</tbody>
</table>

## VIEW AGREEMENT OF PERSONAL RESPONSIBILITY

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1000.9</td>
</tr>
</tbody>
</table>
# TABLE OF CONTENTS

## TERMINATION OF TANF BENEFITS
- Notice of Termination Procedures
- Documentation For Failure To Report For The Initial Assessment
- Documentation for Failure to Sign the Agreement of Personal Responsibility

## VIEW ACTIVITY AND SERVICE PLAN

## SUPPORTIVE SERVICES
- Duration of Supportive Services
- Employment Service Worker Responsibilities
- Supportive Services for Recipients

## PROGRAM COMPONENTS – CORE WORK ACTIVITIES
- Job Search
- Job Readiness
- Unsubsidized Employment
- Subsidized Employment – Full Employment Program (FEP)
- Community Work Experience Program (CWEP)
- Public Service Program (PSP)
- On The Job Training (OJT)
- Vocational Education And Training

## PROGRAM COMPONENT – NON-CORE WORK ACTIVITIES
- Jobs Skills Training
- Education Below the Post-Secondary Level

## PROGRAM COMPONENT – OTHER
- Other Locally Developed Activities

## PROGRAM COMPONENT - NON-ACTIVE ASSIGNMENTS: INACTIVE AND PENDING

## MONITORING SATISFACTORY ATTENDANCE AND PROGRESS

## JOB FOLLOW-UP
- Job-follow-up
- Retention and Upgrading
# TABLE OF CONTENTS

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>REASSESSMENT</td>
<td>1000.19</td>
</tr>
<tr>
<td>SANCTIONS</td>
<td>1000.20</td>
</tr>
<tr>
<td>Good Cause for Failure to Participate</td>
<td>1000.20.A</td>
</tr>
<tr>
<td>Refusal to Participate</td>
<td>1000.20.B</td>
</tr>
<tr>
<td>Reasons for Applying VIEW Sanctions</td>
<td>1000.20.C</td>
</tr>
<tr>
<td>Documentation Required for Failure to Report for Job Interviews or</td>
<td>1000.20.D</td>
</tr>
<tr>
<td>Other Required Interviews</td>
<td></td>
</tr>
<tr>
<td>Documentation Required for Failure to Report to or Complete Education,</td>
<td>1000.20.E</td>
</tr>
<tr>
<td>Job Skills Training, FEP, Job Readiness, Job Search</td>
<td></td>
</tr>
<tr>
<td>Documentation Required for Failure to report to or complete a Work</td>
<td>1000.20.F</td>
</tr>
<tr>
<td>Experience/Full Employment Program Assignment</td>
<td></td>
</tr>
<tr>
<td>Documentation Required for Failure or Refusal to Accept a Bona Fide</td>
<td>1000.20.G</td>
</tr>
<tr>
<td>Job Offer</td>
<td></td>
</tr>
<tr>
<td>Documentation Required for Termination of Employment, Reduction in</td>
<td>1000.20.H</td>
</tr>
<tr>
<td>Wages or Refusal of a Bona Fide Offer of Increased Work Hours</td>
<td></td>
</tr>
<tr>
<td>Advance Notice of Proposed Action to Sanction</td>
<td>1000.20.I</td>
</tr>
<tr>
<td>Sanction Procedures</td>
<td>1000.20.J</td>
</tr>
<tr>
<td>Sanction Periods</td>
<td>1000.20.K</td>
</tr>
<tr>
<td>COMPLIANCE</td>
<td>1000.21</td>
</tr>
<tr>
<td>TRANSITIONAL SUPPORTIVE SERVICES</td>
<td>1000.22</td>
</tr>
<tr>
<td>PARTICIPANTS WHO LEAVE THE VIEW PROGRAM AND RETURN PRIOR TO THE END</td>
<td>1000.23</td>
</tr>
<tr>
<td>OF THE TWO YEAR PERIOD</td>
<td></td>
</tr>
<tr>
<td>HARDSHIP EXCEPTIONS</td>
<td>1000.24</td>
</tr>
<tr>
<td>Application for An Exception</td>
<td>1000.24.A</td>
</tr>
<tr>
<td>Exceptions and Eligibility for TANF and VIEW</td>
<td>1000.24.B</td>
</tr>
<tr>
<td>Criteria for Granting Hardship Exceptions –</td>
<td>1000.24.C</td>
</tr>
<tr>
<td>General Eligibility Criteria for Hardship Exceptions</td>
<td>1000.24.D</td>
</tr>
<tr>
<td>Conditions Under Which a Hardship Exception May Be Granted for</td>
<td>1000.24.E</td>
</tr>
<tr>
<td>Up to One Year</td>
<td></td>
</tr>
<tr>
<td>Conditions Under Which a Hardship Exception May Be Granted for</td>
<td>1000.24.F</td>
</tr>
<tr>
<td>Up to 90 Days</td>
<td></td>
</tr>
<tr>
<td>Responsibilities of the ESW – Decision on Exception Request</td>
<td>1000.24.G</td>
</tr>
<tr>
<td>Responsibilities of the ESW – Management of Approved Exceptions –</td>
<td>1000.24.H</td>
</tr>
<tr>
<td>General</td>
<td></td>
</tr>
<tr>
<td>Responsibilities of the ESW – Management of Approved Exceptions of</td>
<td>1000.24.I</td>
</tr>
<tr>
<td>Up to One Year</td>
<td></td>
</tr>
<tr>
<td>Responsibilities of the ESW – Extension of Hardship Exceptions</td>
<td>1000.24.J</td>
</tr>
<tr>
<td>Responsibilities of the Exception Review Panel</td>
<td>1000.24.K</td>
</tr>
</tbody>
</table>

TRANSMITTAL 47
TABLE OF CONTENTS

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>TRANSFERS</td>
<td>1000.25</td>
</tr>
<tr>
<td>APPEALS</td>
<td>1000.26</td>
</tr>
<tr>
<td>HEARINGS</td>
<td>1000.27</td>
</tr>
<tr>
<td>CONTRACTS</td>
<td>1000.28</td>
</tr>
<tr>
<td>Consideration in Contracting</td>
<td>1000.28.A</td>
</tr>
<tr>
<td>Services That Can be Contracted</td>
<td>1000.28.B</td>
</tr>
<tr>
<td>Selection of Service Providers</td>
<td>1000.28.C</td>
</tr>
<tr>
<td>Contract Outcomes</td>
<td>1000.28.D</td>
</tr>
<tr>
<td>Payment and Reimbursement</td>
<td>1000.28.E</td>
</tr>
<tr>
<td>Contract Duration</td>
<td>1000.28.F</td>
</tr>
<tr>
<td>Contract Requirements</td>
<td>1000.28.G</td>
</tr>
<tr>
<td>Budget</td>
<td>1000.28.H</td>
</tr>
<tr>
<td>Contract Monitoring</td>
<td>1000.28.I</td>
</tr>
<tr>
<td>RECORD RETENTION</td>
<td>1000.29</td>
</tr>
</tbody>
</table>

APPENDIX

Appendix A – VIEW Forms (Obsolete)
Appendix B – Contract Development Checklist
Appendix C – Standard Operating Procedures Guide (Obsolete)
Appendix D – VIEW Annual Plan
Appendix E – VIEW Brochures
Appendix F – VIEW Displacement Grievance Form
Appendix G – Barriers to Employment
Appendix H – Coding of VIEW Components in ESPAS
Appendix I – Local Agencies Served by Refugee Resettlement Agencies
Appendix J - Understanding Federal Participation
DEFINITIONS

The following words and terms, when used in this guidance, shall have the following meaning:

**Adult Basic Education (ABE)** - remedial or other instructional activities aimed at enhancing basic educational performance levels including reading, writing and mathematics.

**Agreement of Personal Responsibility (APR)** - the written individualized agreement of personal responsibility outlining the responsibilities of the VIEW participant as required by the Code of Virginia 63.2 - 608 and this guidance.

**AmeriCorps** – AmeriCorps is a national network of programs that provide individuals with opportunities for community service. AmeriCorps includes local programs operated through the state or national AmeriCorps organizations, AmeriCorps VISSTA, and the AmeriCorps National Civilian Community Corps. Information about AmeriCorps is available at [http://www.americorps.org](http://www.americorps.org).

**Applicant** - a person who has applied for TANF or TANF-UP benefits and for whom the disposition of the application has not yet been made.

**Basic Literacy Level** - a literacy level equivalent to grade 8.9 or greater.

**Case Management** - the process of assessing, monitoring, coordinating, delivering and/or brokering activities and services necessary for VIEW participants to enter employment or employment-related activities.

**Case Management Services** - services which include, but are not limited to, assessment, placement in program activities, arrangement of supportive services, and monitoring.

**Case Manager** - the worker designated by the local department of social services to provide case management services. The case manager can be a local agency employee, or the employee of another public agency, private sector contractor, or private community-based organization including non-profit entities, churches, or voluntary organization that provides case management services.

**Child Care Program** - a regularly operating service arrangement for children in which during the absence of a parent or guardian, a person or organization has agreed to assume responsibility for the supervision, protection, and well-being of a child under the age of 13 (or for a child up to 18 years of age if the child is physically or mentally incapable of caring for herself or is subject to court supervision) for less than 24 hour period.

**Child Care Services** - the arrangement and/or purchase of child care in order to assist eligible families to obtain or maintain employment, education or training.

**Community Work Experience Program (CWEP)** – unpaid work in a public or private non-profit organization designed to improve the employability of the participant.

**Component** - one of several activities in which a person may participate while in the VIEW Program.

**Core Work Activity** – an activity in which a client must participate for a minimum of 20 hours weekly prior to any additional assignments so that the participant’s total hours of participation can be counted in the federal participation rate calculation. The core work activities are unsubsidized employment, the full employment program (FEP), on-the-job training (OJT), community work experience program (CWEP), public service program (PSP), vocational education and training, and job search/job readiness. Both vocational education and training and job readiness/job search are time limited and do not count toward the 20 hour core activity requirement once those time limits are reached.
Department - the Virginia Department of Social Services.

Disability – A disability, as defined by the Americans with Disabilities Act of 1990 as amended, is a physical, developmental, cognitive or mental health condition or learning disability that limits the ability of the individual to perform life activities. “Life activities” include, but are not limited to: the operation of a major bodily function, caring for oneself, performing manual tasks, seeing, hearing, eating, sleeping, walking, standing, lifting, bending, speaking, breathing, learning, reading, concentrating, thinking, communicating, and working. Chronic health problems such as asthma, diabetes, and hypertension may also be considered disabilities if these conditions limit the individual’s ability to function. For the purposes of the VIEW program, a disability must limit the participant’s ability to participate in program activities or to work. All disabilities and their impact on program participation and work must be verified by a qualified professional.

A child has a disability if he or she has a physical, developmental, cognitive or mental health condition or learning disability that limits the ability to perform any of the activities listed above, or other activities, as compared with other children of the same chronological age.

Displacement – as applied to employment and employment programs, an illegal practice in which an employer fills a vacancy that exists because another individual is on layoff from the same or equivalent job; or when an employer fills a vacancy created by an involuntary reduction in the work force or by the termination of another employee for the purpose of filling a vacancy with a VIEW participant. No VIEW placement, including placements into the Full Employment Program (FEP), Community Work Experience Program (CWEP) or Public Service Program (PSP), may displace other workers.

Earned Income Disregards - a certain amount of earned income which is not counted when determining the amount of the TANF benefit.

Earned Income Tax Credit – a credit against the federal income tax of employed, low income workers. The earned income tax credit may be received as an addition to the paycheck of an eligible individual or as a refund from federal taxes due.

English as a Second Language (ESL)/ English for Speakers of Other Languages (ESOL) – programs of English language instruction for individuals who are not native English speakers.

ESW - Employment Services Worker The local agency worker responsible for managing the client’s VIEW case. In agencies in which one worker is responsible for both VIEW and TANF eligibility, the position may be referred to as a self-sufficiency worker rather than as an ESW.

EW - Eligibility Worker. The local agency worker responsible for managing the client’s TANF case.

Exempt – status of a TANF or TANF-UP applicant or recipient who meets one of the Virginia Initiative for Employment not Welfare (VIEW) program exemption criteria and, therefore, is not required to participate in VIEW in order to be eligible for public assistance.

Full Employment Program (FEP) - subsidized, training-oriented employment, that replaces TANF benefits with wages paid by an employer. This employment is designed to train the recipient for a specific job, increase her self-sufficiency and improve her competitiveness in the labor market.
Full-time Employment - employment which is at least 30 hours per week at minimum wage or greater.

GED – General Educational Development is a test made up of five sections – language arts, writing, social studies, science, reading and mathematics - that certifies that the individual successfully completing it has academic skills equivalent to those of a high school graduate.

Good Cause – a mitigating circumstance determined by the VIEW worker to satisfactorily explain a participant’s failure to comply with program requirements with the result that a sanction will not be imposed.

Grant - the monthly TANF benefit payment.

Hardship Exception – an extension of the 2-year limit on TANF benefits allowed under certain very limited circumstances for specific prescribed reasons.

Household member - any child or adult residing with the applicant/recipient. The individual need not be a member of the applicant/recipient’s assistance unit to qualify as a household member.

Job Finding – the identification of available and appropriate jobs.

Job Follow-Up – contact with the client, no less than monthly, during which the ESW provides case management services to assist with job retention and upgrading once the program participant has become employed.

Job Placement - placing a participant in an unsubsidized or subsidized job. Job placement is the result of job finding and job matching.

Job Readiness – instruction in skills needed to seek or obtain employment. Job readiness may include instruction in workplace expectations, help in developing resumes and interviewing skills, and life skills training. Job readiness may also include preparation for employment through participation in short term substance abuse or mental health treatment, or in rehabilitation activities for those who are otherwise employable. Such treatment must be determined necessary by a qualified medical professional.

Job Search - a structured, time-limited period during which the participant is required to search for employment. In order to complete the job search, the participant is required to perform a specified number of hours of job search and document the job search contacts, or find and accept employment.

Job Skills Training - general training that prepares an individual for employment (examples may include keyboarding or computer literacy classes) or job specific training required by an employer in order to obtain, keep, or advance in a specific job or occupation, or training needed to adapt to the changing demands of the workplace; all training and education programs, including post-secondary associate, certificate, and baccalaureate level programs, that are included in the definition of Vocational Education and Training; instruction in a second language for participants who have a high school diploma or GED; unpaid practicums or internships offered by a college or training program, or by an employer.
Limited English Proficiency – the limited ability of a person whose native language is one other than English, or who lives in a family or community environment where a language other than English is the dominant language, to speak or understand the English language.

Local Agency or Local Department - any one of the local social services or welfare agencies throughout the Commonwealth that administers the TANF and VIEW programs.

Local VIEW Annual Plan - a yearly plan submitted to the department by each local agency which describes the locality's VIEW program.

Making Good Progress / Satisfactory Progress - A consistent standard of progress based on written guidelines as developed by the educational institution or training agency and measured periodically at intervals of less than one year such as a term or quarter for VIEW clients in educational or training placements.

Non-Core Work Activity - one of the activities to which a participant can be assigned and which can be included in the federal participation rate calculation once a minimum 20 hour assignment to a core activity has been made if the total hours equal the federal requirement. The non-core work activities are education below the post secondary level and job skills training.

On-the-Job Training (OJT) – a type of paid employment in which an employer provides training to an employee in order to increase the employee’s skills on the job.

Other Activities – an activity to which a participant may be assigned to increase her employability but which does not meet the definition of a work activity or count in the federal participation rate calculation. “Other locally developed” is the only Other Activity.

Other Locally Developed – an activity developed or used by a local agency to increase a client’s employability, but which does not meet the definition of a work activity, or the definition of post secondary education, and which will not be included in the federal participation rate calculation.

Participant - a TANF or TANF-UP recipient who has signed the Agreement of Personal Responsibility and is participating in the VIEW program.

Part-Time Employment - employment less than 30 hours per week at minimum wage or greater.

Pending - a non-active program component to which a participant who cannot move immediately into an active component is assigned.

Post-Secondary Education - a program of instruction beyond the high school level offered by an institution of higher education as determined by the Secretary of Education in accordance with the Higher Education Act of 1965.

Public Service Program (PSP) — unpaid work in a public or private non-profit organization designed to improve the employability of the participant while providing a clearly defined public service. Public Service Program placements must be limited to projects that serve a useful community purpose in
fields such as health, social service, environmental protection, education, urban and rural development, welfare, recreation, public facilities, public safety, and child care.

**Queue** – the list of TANF recipients who are referred by the eligibility worker for mandatory participation in the VIEW program.

**Reasonable Distance** – for VIEW placements, a reasonable distance is considered to be no more than one hour travel time each way from the participant’s place of residence to the site of the activity.

**Sanction** – a suspension of a VIEW participant’s TANF grant for non-compliance with program requirements; to suspend a participant's TANF grant for noncompliance.

**Satisfactory Participation** – participation in a program activity equal to the hours assigned to the activity for a stated time period. For job search assignments, satisfactory participation equals the completion of all required job search contacts, or employment.

**Self-Initiated Participant** - a participant who has enrolled in post-secondary education or in training activities prior to enrollment into the VIEW program.

**Supplemental Nutrition Assistance Program (SNAP) Employment and Training (SNAPET)** - The employment and training program for SNAP recipients.

**Standard Operating Procedures (SOP)** – a guide developed by the local agency that specifies the procedures to be followed in administering the VIEW program. The SOP is part of the local VIEW Annual Plan.

**Subsidized Employment** – employment in which government funds are used to directly subsidize the participant’s wages. The Full Employment Program (FEP) is considered subsidized employment.

**Supportive Services** - services such as child care and transportation provided to a VIEW program participant with an open TANF case to enable the participant to take part in program activities or to work.

**TANF-UP** – a 2-parent TANF household in which the parents have at least one child in common and in which neither parent is disabled.

**Termination** – closure of the TANF case for failure of a mandatory VIEW recipient to sign the Agreement of Personal Responsibility.


**Time Limitations** – limitations on the period of time a family is eligible for TANF assistance based on federal and state statutes.

**Transitional Services** – a category of services available to former VIEW participants once the TANF case is closed. Transitional services include services such as child care, transportation, Transitional Employment and Training services (TET), and the VIEW Transitional Payment which may be provided to a VIEW participant whose TANF case has been closed.

**Unsubsidized Employment** - employment in which the participant is paid at least minimum wage and for which no government funds are used to subsidize the wages earned by a participant.
VIEW Transitional Payment (VTP) – an incentive payment designed to encourage job retention. It is available to VIEW participants who are working at least 30 hours a week and earning at least minimum wage at the time TANF closes.

Virginia Independence Program (VIP) – the welfare reform initiative enacted by the Virginia General Assembly and implemented in 1995.

Virginia Initiative for Employment Not Welfare (VIEW) – the Commonwealth’s employment services program for TANF recipients. It was implemented in 1995 as part of the Virginia Independence Program (VIP) to assist participants in attaining self-sufficiency.

Vocational Education and Training – training or education designed to prepare the participant for a specific trade, occupation, or vocation requiring training other than ABE, GED, ESL, or an advanced degree beyond the baccalaureate level.

Work Activity – one of the VIEW program components which can be counted toward the federal participation rate calculation. Work activities include the following core work activities: unsubsidized employment, the Full Employment Program (FEP), on-the-job training (OJT), the community work experience program (CWEP), the public service program (PSP), vocational education and training, job search, and job readiness. Additionally, work activities include two non-core work activities: education below post-secondary and job skills training.
1000.1 The Virginia Initiative for Employment not Welfare (VIEW)

The Virginia Initiative for Employment not Welfare (VIEW) is a program providing employment, education, and training opportunities to recipients of Temporary Assistance to Needy Families (TANF). VIEW is based on the conviction that all citizens deserve the opportunity to progress to self-sufficiency.

VIEW offers Virginians living in poverty the opportunity to:

1. Obtain work experience and work skills necessary for self-sufficiency
2. Contribute to the self-sufficiency of their families
3. Achieve economic independence

In addition to the program and client goals listed above, the Virginia Department of Social Services and local agencies must meet federal requirements regarding types and intensity of client participation in VIEW in order to maintain federal block grant funding.

The VIEW Program offers a number of education, training, and work components designed to meet the individual needs of the TANF recipients required to participate in VIEW and to meet federal requirements. These components, referred to generically as work activities, are divided into core and non-core work activities.

Participants who are age 18 or 19, and who are enrolled in high school or GED programs, and who are meeting attendance requirements are considered to have met all VIEW program requirements. In order for their participation to be captured for federal reporting, 35 hours must be entered into ESPAS for assigned hours and for actual hours.

Unless full-time employed, including employment in On the Job Training (OJT) positions, each client referred to VIEW must be assigned to a minimum of 35 hours per week in work activities to ensure that the client’s actual hours of participation can be counted in Virginia’s participation rate calculation. In all situations (with the exception of participants age 18 or 19, enrolled in high school or GED and meeting attendance requirements) the first 20 of these hours must be in a core work activity or combination of core work activities. Additional hours needed to meet the participation requirement can be made up of additional hours from the same core work activity or activities, a different core work activity, or a non-core activity.

A. Core Work Activities

The core work activities, and any limitations on their use for federal participation rate calculations, are described below.

- **Job Search/ Job Readiness.** The initial assignment for most VIEW clients will be to job search. Clients may also be assigned to job readiness before, during, or after a job search assignment.

  Job search includes applying for and interviewing for jobs through participation in group job search or job club, or through individual job search.

  Job readiness includes many of the activities that are part of structured job search programs such as group job search or job club – instruction in workplace expectations, help in developing resumes and interviewing skills, and life skills training. Additionally, federal regulations allow job readiness to include substance abuse treatment, mental health treatment, and rehabilitation services necessary to help a participant become job ready.

  Limitations: For purposes of the federal work participation rate calculation, an assignment to job search and/or job readiness (including job readiness for the purpose of substance abuse, mental health, or rehabilitation services), cannot count for more than 4 consecutive weeks.
Additional consecutive weeks cannot be counted in the calculation of the federal participation rate.

**During** a federal fiscal year, the total **countable hours of participation in** job search and/or job readiness assignments cannot exceed **120 hours for a single parent with a child under age 6 in the home or 180 hours for all other individuals. (This is equivalent to 6 weeks of participation in the work activity.)** Additional **participation in** job search and/or job readiness in a fiscal year can be assigned and entered into ESPAS, but will not be counted in the calculation of the federal participation rate.

- **Unsubsidized Employment**, including **Self-Employment**. Unsubsidized employment is employment in which no government funds are used to directly subsidize the individual’s salary and in which the individual earns at least the federal minimum wage. Minimum wage means an hourly rate directly equaling the federal minimum wage or an hourly rate of at least $2.13 which, when supplemented by tips, equals at least the minimum wage.

- **Subsidized Employment**. Subsidized employment is employment in which government funds are used to directly subsidize the participant’s wages. Subsidized employment is designed to provide training while the participant works on the job. The VIEW Program provides one subsidized employment component – the Full Employment Program (FEP). FEP is subsidized employment in which the employer receives a fixed monthly stipend and the client receives wages instead of a TANF check.

- **Community Work Experience Program (CWEP)**. CWEP is an unpaid work placement in a public or private non-profit organization. An assignment to CWEP is appropriate for participants who need to learn or improve skills or work behaviors, or to secure a job reference, in order to find paid employment. The number of hours of a CWEP assignment is based on the TANF grant amount and SNAP allotment.

- **Public Service Program (PSP)**. Public Service Program placements are similar to work experience in that the client will be engaged in unpaid work in a public or private non-profit organization with the goal of improving employability. PSP placements must additionally provide a clearly defined public service. Examples of public service activities include court-ordered, unpaid work, as well as participation in other programs or placements that benefit the community. TANF and SNAP benefits are not considered in the calculation of public service hours. Public service assignments will in no case exceed 35 hours per week, with the exception of court-ordered assignments of greater length.

- **On-the-Job Training (OJT)**. On-the-job training is training provided by an employer to a paid employee to help the employee become proficient on the job. A portion of the employee’s wages are typically reimbursed to the employer. OJT includes paid on-the-job training offered through WIA, paid college work study programs and internships, apprenticeship programs, and AmeriCorps placements in which the individual is paid a stipend to cover living expenses.

- **Vocational Education and Training**. Vocational education and training is training or education directly related to employment designed to prepare the participant for a specific trade, occupation, or vocation. It does not include advanced degree education. It does not include ABE, GED, or ESL. Examples of activities that can be classified as vocational education and training are technology, business, and health sciences programs leading to certificates, associate degrees, or baccalaureate degrees in such areas as HVAC repair (heating and air conditioning), information technology, medical equipment repair, accounting administration, medical assisting, and practical or registered nursing. Programs meeting the definition of vocational education and training are offered by a wide range of institutions including vocational-technical schools, community colleges, 4-year colleges, other post-secondary institutions, proprietary schools, and secondary schools offering vocational education.
Limitations: Vocational education and training included in the calculation of the federal participation rate is restricted to a lifetime limit of 12 months for each individual. The months do not have to be consecutive.

B. Non-Core Work Activities

The non-core work activities are described below. Hours assigned to non-core work activities can be used in the calculation of the participation rate only after the minimum 20 hour assignment to a core activity or activities has been met.

- Job Skills Training. Job skills training shares a vocational emphasis with vocational education and training. It includes both general training that prepares an individual for employment such as a keyboarding or computer literacy class, and job specific training required by an employer to get, keep, or advance in a specific job or occupation, or to adapt to the changing demands of the workplace. It also includes all training and education programs, including post-secondary associate, certificate, and baccalaureate level programs, that are included in the definition of Vocational Education and Training. It may include language instruction for participants who have a high school diploma or GED. Unpaid practicums or internships offered by a college or training program, or by an employer, are also considered job skills training.

- Education Below Post-Secondary. Education below post-secondary is an allowable program activity for participants who have not received a high school diploma or General Education Development (GED) certificate and whose employability would be enhanced by additional education. It includes ABE, GED and ESL programs as well as secondary school and may be offered in non-traditional as well as traditional settings.

C. Other Activities

In some circumstances, an agency may wish to assign a participant to an activity which will not count in the calculation of the participation rate but which will contribute to the client’s employability.

- Other Locally Developed. Any activity developed or used by a local agency to increase a client’s employability, but which does not meet the definitions of a core or non-core activity, must be reported as other locally developed. It will not be included in the participation rate calculation.
A. VIEW Program Requirements

The participation requirements that govern the VIEW program are:

- 35 hours per week for TANF families; 30 hours if the participant is employed full time at minimum wage, including employment in an On the Job Training (OJT) position.

- 35 hours per week for each parent in a TANF-UP household in which both parents are required to participate (70 hours total); 30 hours for each parent who is employed full time at minimum wage, including employment in an OJT position.

- 35 hours per week for TANF-UP families in which only one parent is required to participate; 30 hours if the participant is employed full time at minimum wage, including employment in an OJT position.

Note: Refugee families receiving TANF or TANF-UP payments are subject to VIEW participation requirements. (Refugee families who are not eligible for TANF or TANF-UP, but who receive Refugee Cash Assistance (RCA), are not eligible to participate in VIEW.)

The participation requirements are designed to meet the needs of participants, assist participants in achieving self-sufficiency and to meet the federal work participation rate. In some respects, the VIEW requirements are different from the federal requirements regarding work participation rate calculations.

B. Federal Work Participation Rate Requirements

A participation rate is a ratio. The federal work participation rate represents who is participating in work activities out of all those expected to participate. To count toward the numerator of the monthly participation rate, a TANF recipient must be in an allowable activity for at least a minimum average number of hours per week:

- 20 hours per week for single parents with children under 6,
- 35 hours per week for two-parent families (55 hours if child care is provided),
- 30 hours per week for all other families.

Each State must meet two separate work participation rates:

- the two-parent rate--based on how well it succeeds in helping adults in TANF-UP families participate in work activities, and
- the overall rate--based on how well it succeeds in placing adults in both TANF and TANF-UP families in work activities. Each State must achieve an overall participation rate of 50% and a two-parent rate of 90%.

If the state fails to meet either minimum work participation rate for a fiscal year, it is subject to a severe financial penalty. The state loses 5% of the TANF block grant ($7.9 million) for failing to meet the rate. In addition, the state must increase state spending to make up the loss of federal funds and such spending does not count toward the state’s spending requirement. Additionally, the state’s spending requirement increases by $8.5 million. Local funding for VIEW will be impacted if the state is penalized.

C. Computation of the Overall Federal Work Participation Rate

The overall participation rate for a fiscal year is the average of the state's overall participation rates for...
each month in the fiscal year. The monthly participation rate is computed as follows:

(1) The number of families receiving TANF assistance that include an individual who is engaged in a work activity for the appropriate number of hours for the month (i.e., the numerator), divided by,

(2) all families receiving TANF assistance or the VIEW Transitional Payment minus:

a) cases with a child under age one in which the caretaker has not reached the lifetime limit (12 months) of being exempt from the federal work participation requirement; and
b) cases which do not include an adult receiving assistance unless such a person is a parent (payee cases); and
c) cases in which the only adult(s) receives SSI or SSDI; and
d) cases in which the only adult(s) is ineligible to receive assistance due to her immigration status; and
e) cases in which a parent is providing care for a disabled family member living in the home who does not attend school on a full-time basis, provided that the need for such care is supported by medical documentation.

Cases subject to a VIEW sanction are not included because they are not receiving assistance.

Example:

Numerator: 10,000 cases engaged in work activities with sufficient hours
Denominator: 35,000 total cases receiving assistance
- 9,000 9,000 payee cases
- 1,500 1,500 SSI cases
- 500 500 SSDI cases
- 2,500 2,500 cases with a child under age one
- 1,000 1,000 cases with ineligible aliens
- 500 500 cases with a parent caring for a disabled household member

Adjusted Denominator: 20,000

Federal Work Participation Rate 10,000 / 20,000 = 50%

D. Computation of the Federal Two-Parent Work Participation Rate

The two-parent participation rate for a fiscal year is the average of the state's two-parent participation rates for each month in the fiscal year. Although Virginia does not report participation data for two-parent families (since these benefits are paid completely with State funds), the two-parent work participation rate is computed as follows:

(1) The number of two-parent families receiving TANF assistance that include two individuals who are engaged in a work activity for the appropriate number of hours for the month (i.e., the numerator), divided by,

(2) The number of two-parent families receiving TANF assistance during the month.

If a family includes a disabled parent, the family is not considered to be a two-parent family.

E. Countable Work Activities for the Federal Work Participation Rate

(1) The countable work activities are:

- Unsubsidized employment;
- Subsidized private-sector employment (FEP);
- Community work experience (CWEP);
• On-the-job training (OJT);
• Job search and job readiness;
• Public Service Program;
• Vocational education and training;
• Job skills training (including post-secondary education directly related to employment);
• Education below post-secondary – high school, ABE, GED.

(2) An individual counts as engaged in work for a month for the overall rate if:
• she participates in work activities during the month for an average of at least 30 hours per week; and
• At least 20 of the above hours per week come from participation in the core activities:
  o unsubsidized employment
  o subsidized employment (FEP)
  o CWEP
  o on-the-job training
  o job search and job readiness assistance
  o PSP
  o vocational education and training

(3) Above 20 hours per week, additional core activities or the following non-core work activities may count as participation:
• job skills training (including post-secondary education directly related to employment)
• below post-secondary education

(4) Post-secondary education not directly related to employment and locally developed components do not count toward the work participation rate.

(5) An individual counts as engaged in work for the month for the two-parent rate if:
• an individual and the other parent in the family are participating in work activities for an average of at least 35 hours per week during the month, and
• At least 30 of the 35 hours per week come from participation in core activities.
• Above 30 hours per week, non-core activities may also count.

If the family receives federally funded child care assistance, then the participants must be engaged in work activities for an average of at least 55 combined hours per week to count as a two-parent family engaged in work for the month. At least 50 of the 55 hours per week must come from participation in core work activities. Above 50 hours per week, non-core activities may also count as participation.

Federal Work Participation Rate Examples

Whether or not a client is counted in meeting the federal participation rate for a given month is dependent on the actual hours of participation entered into ESPAS. The following examples illustrate in a general way how hours count or do not count toward participation. See Appendix J, Understanding Federal Participation, for detailed examples.

Example 1  **Month 1:** Ms. A participates in job search for 36 hours in week 1; 33 hours in week 2; 24 hours in week 3; and 39 hours in week 4. She counts toward the participation rate for the month because she participated in core activities averaging at least 30 hours per week.

**Month 2:** Ms. A continues job search. In week 1, she is in job search for 33 hours. She is in job search in week 2 for 36 hours. She then gets a job and works for 30 hours each in weeks 3 and 4. **Job search which counts for federal participation is limited to no more than four consecutive**
weeks. Because Ms. A had four weeks of job search in month 1, her first week of job search in month 2 cannot be counted. She will not count toward the work participation rate for month 2 because her hours for the month will average less than 30 a week.

**Month 3:** Ms. A works 35 hours per week throughout the month. She counts toward the work participation rate.

**Example 2**

**Month 1:** Ms. B starts receiving assistance on January 25 and is referred to VIEW. For January, she is included in the denominator, but does not count toward the work participation rate because she has not yet become a VIEW participant and has not been assigned to any activities.

**Month 2:** On February 13, Ms. B is assessed and assigned to job search. She participates in job search for 36 hours per week for the remainder of February. Her two weeks of job search in February are not enough to allow her to count toward the work participation rate in February.

**Month 3:** She continues her job search through March 14. Her job search ends and she is assigned to community work experience starting on April 1. Due to the gap in participation between March 14 and April 1, she does not count toward the work participation rate in March because she did not have enough hours of participation to average 30 hours per week.

**Example 3**

Ms. C participates in unsubsidized employment of 20 hours per week and 15 hours per week in vocational education and training for the entire month. Both are core activities. She counts toward the work participation rate because she has participation of at least 30 hours per week.

**Example 4**

Ms. D participates in CWEP for 18 hours per week and GED for 17 hours per week in September. Though she participated in activities averaging at least 30 hours per week, she did not have at least 20 hours per week in a core work activity and, therefore, will not count toward the work participation rate.

**F. Limitations/Special Provisions**

- Vocational education and training may count for only a total of 12 months for any individual. This is a lifetime limit.

- In counting individuals for each participation rate, not more than 30 percent of individuals engaged in work in a month may be included in the numerator because they are:
  - Participating in vocational educational training; or
  - Individuals deemed to be engaged in work by participating in educational activities.

- Hours spent in post-secondary education not directly related to employment do not count toward the work participation rate.

- An individual's participation in job search and job readiness assistance counts for a maximum of 120/180 hours in any 12-month period. At any time, only four weeks of job readiness/job search may be consecutive.

**G. Data Reporting**

Data from ADAPT and ESPAS is sent to the federal government on a quarterly basis. It is very important that all information in ADAPT and ESPAS is accurate and entered in a timely manner. Actual hours of
participation are to be entered into ESPAS. States are required to provide data on a quarterly basis. This data is used to compute federal work participation rates as well as to determine Virginia’s compliance with other federal requirements. To meet the federal deadline for reporting, data will be extracted from the system on the first day of the second month following the end of a calendar quarter.

To make sure that the locality and state get credit for all of the cases that are engaged in work activities and to avoid the possibility of a sanction, it is imperative that work participation data is accurately entered into ESPAS by the 15th of the following month. When recording participation hours in ESPAS, the final result of calculated hours must be rounded up or down using the standard rounding rules (.50 or greater is rounded up; .49 and below is rounded down). Virginia will not receive credit for the cases that do not have current work participation rate data entered into ESPAS. Utilize the Actual Hours Calculator on SPARK, http://spark.dss.virginia.gov/divisions/bp/tanf/training.cgi, for the quick and accurate calculation of hours to be entered into ESPAS.

Actual hours of participation must be entered in the system and must be supported by documentation in the case file. With the exception of unsubsidized employment and OJT, the hours entered into the system on a monthly basis must be verified each month. Self-reporting by a participant is not sufficient documentation.

For unsubsidized employment and OJT, the ESW may enter projected actual hours of participation for up to six months based on current, documented actual hours of work. Verification of employment may be obtained from the EW, but a copy of the verification must be retained in the VIEW record. After six months, or at any point the ESW becomes aware that the hours of employment have changed, the actual hours of participation in unsubsidized employment or OJT must be verified. After the changed employment hours are verified, projected hours of participation should again be entered for up to six months.

Actual hours are defined separately for paid employment, including OJT positions, and for unpaid activities.

**Employment and OJT:** Actual hours for participants who are employed or in OJT mean hours of paid employment, including paid vacations, paid sick leave, and paid holidays observed by the business.

**Job Readiness, Group Job Search, CWEP, PSP, Vocational Education and Training, Job Skills Training, Education below Post-Secondary:** Actual hours for participants in unpaid activities, with the exception of individual job search, are actual hours of participation, and hours during which the client would have participated but was unable to because the placement was not available due to holiday closure. Based on federal requirements, only the following ten holidays can be included in the calculation of actual hours of participation for participants in unpaid activities: New Year’s Day, Martin Luther King Day, President’s Day, Memorial Day, Independence Day, Labor Day, Veteran’s Day, Thanksgiving Day and the day after, and Christmas Day. Closures for other holidays, or closures by educational or training institutions for quarter or semester breaks during which the placement is not available to the participant cannot be considered as holiday closures.

In addition to the 10 holidays, 80 hours of excused absences may be counted toward participation in the preceding 12-month period for clients in unpaid activities. Excused absence hours should be counted toward participation only when the hours will enable the client to meet the participation requirement which otherwise would not have been met.

Excused absences that may be counted as actual hours of participation include:

- court dates
- appointments with CPS or Division of Child Support Enforcement (DCSE) which cannot be scheduled outside participation hours
- unavailability of the scheduled activity due to strike, lockout, or shutdown
• unavailability of the scheduled placement site due to closures for holidays not included in the list of the ten holidays specified by federal requirements
• unavailability of the scheduled placement site due to closures due to weather or natural disasters
• illness or medical need of the participant or family member residing in the home
• interruptions in child care arrangements
• domestic violence issues
• transportation problems or auto accident funeral or death of a family member

For clients who have not participated in VIEW in the past and who sign the APR on or after October 1, 2009, the 12-month period begins the month after the APR is signed. For current VIEW clients, or former VIEW clients returning to the program, the 12-month period includes the current month and the preceding 11 months. No more than 16 hours of excused absences may be approved in any month. In order for the excused absence to be considered as actual hours of participation, the client must have been scheduled to participate in the activity for that time period. The decision to consider an absence as excused and to include it in determining actual hours of participation will be made by the local agency within the limitations described above.

Example 1: Mr. A signed the initial APR on October 16, 2009 and was assigned to a job readiness workshop for the period October 23, 2009 – November 30, 2009. In November, he missed 16 hours of job readiness class, 16 hours of which were counted as excused absences. Beginning December 1, he was placed in a PSP position with the Extension Service. During his 6-month placement, he had absences of 8 hours in December, 23 hours in January, 8 hours in February, 19 hours in March, 16 hours in April, and 16 hours in May. Because his countable excused absences from November through April totaled 80 hours, neither the May absences nor absences for other months in the 12-month period (November 2009, the month after he signed the APR, through October 2010) can be counted as participation. The first month in which excused absences can again be counted as participation is November 2010. At that time, the preceding 12-month period is November 2010 back through December 2009 and the 16 hours of excused absences counted for November 2009 are no longer included in the 80 hour allowable maximum.

See completed Holidays and Excused Absences for Participants in Unpaid Activities form for Example 1: http://spark.dss.virginia.gov/divisions/bp/tanf/training.cgi

Example 2: Ms. B was enrolled in VIEW from February 2008 until December 2008 when she moved to New York. Ms. B had excused absences of 16 hours for October 2008, 16 hours for November 2008, and 16 hours for December 2008. Ms. B returned to Virginia in April 2009 and reapplied for TANF. She was approved for TANF and was referred to VIEW effective April 2009. She signed a new April 5, 2009 and was assigned to a Work Experience placement effective June 2009. (See 1000.4C for reasons for exemption from initial job search). Ms. B had excused absences of 16 hours for June 2009 and 16 hours for July 2009. She also missed 20 hours for August 2009 but those hours could not be counted toward participation since Ms. B had already used the maximum 80 hours of excused absences in the period August 2009, back through September 2008.

In September 2009, Ms. B will still have used a maximum 80 hours within the previous 12 months (September 2009 back through October 2008). In October 2009, 64 hours will have been used (October 2009 back through November 2008; the October 2008 hours are no longer counted) and up to 16 hours of excused absences are available for that month.

Ms. B misses 3 hours in October which are counted for a total of 67 hours in the 12-month period. In November 2009, 51 of the maximum 80 hours will have been used in the 12 month period (November 2009 back through December 2008; the November 2008 hours are no longer counted.) Ms. B has 30 hours of absences for that month; 16 hours, the maximum for one month, can be counted. For the November 2009 back to December 2008 12-month period, absences will again total 67 hours.

See completed Holidays and Excused Absences for Participants in Unpaid Activities form for Example 2: http://spark.dss.virginia.gov/divisions/bp/tanf/tools/view.cgi
Example 3: Ms. A is scheduled to participate in community work experience for 6 hours each day, Monday through Friday. She is placed in the local school library. The library was closed on Christmas Day and the day after Christmas. Christmas day is one of the ten holidays that can be included in the calculation of actual hours. The day after Christmas cannot be counted as a holiday. Since Ms. A has only one previous excused absence for 4 hours in December, and since her excused absences total only 30 hours in the preceding 12-month period, the 6 hours for the day after Christmas when the placement site was not available can be included in the calculation of actual hours as an excused absence.

Example 4: Ms. B is participating in CNA classes that meet 6 hours each day. Ms. B’s grandfather passed away in New York on May 26th, a Friday. Ms. B left for New York that weekend, and missed the entire next week - May 29th, May 30th, May 31st, June 1st, and June 2nd – a total of 30 hours. Since she had not had any previous absences from the program in May, and no excused absences in the preceding 12-month period, 16 of the 18 hours she missed on 5/29, 5/30, and 5/31 can be counted as excused absences. Both June absences, 6/1 and 6/2, totaling 12 hours, can be counted as excused and counted as actual participation.

Example 5: Continuing with example 2, Ms. B had to return to New York the last week in June to help with her grandfather’s estate. She attended class on Monday and Tuesday, the 26th and 27th, but was absent on the 28th, 29th, and 30th, a total of 18 hours. Since she had used 12 hours of excused absences for the month of June when she went to New York the first time, only 4 hours of the 18 hours from her second visit to New York can be counted as an excused absence.

Example 6: Mrs. C was working at the Guy Noir Detective Agency. She earns 6 days of paid sick leave a year, but had used them by November when she was out of work for a week with the flu. Since she had already used all her leave, she was not paid for those five days, totaling 40 hours, even though her boss sympathized with her situation. None of the 40 hours can count as actual hours of participation. (Only hours of paid employment, including paid vacations, paid sick leave, and paid holidays can count as actual hours for clients who are working or are in OJT).

Unpaid activities - individual job search: It is the responsibility of the participant to record actual hours for each job contact listed on the Job Search form. Actual hours include travel time between interviews. Actual hours do not include travel time to the first interview or from the last interview. Questionable contact information will be verified by the agency with the employer. If travel time incorporated into actual hours reported appears questionable, verify the expected travel time through MapQuest or a similar site. Only actual hours reported (and verified, if questionable) can be counted in determining participation.

Since individual job search does not have to be conducted within a fixed daily schedule, and can be scheduled around holidays and other appointments, holiday closures and excused absences cannot be considered in determining actual hours of participation.

Case Record Documentation: The case record must be thoroughly documented using the Holidays and Excused Absences for Participants in Unpaid Activities form (032-03-0106) whenever holidays or excused absences are counted as actual hours of participation for unpaid activities.
1000.3 - VIEW Annual Plan

It is the responsibility of each local agency to submit a VIEW Annual plan to the TANF/VIEW Field Consultant for approval. The plan will be developed in accordance with guidelines issued by VDSS and will ensure that the agency is able to meet federal participation rate requirements. **Beginning in 2009, the complete (full) VIEW Annual Plan will be due biennially by July 1 of each odd numbered year. The complete VIEW Annual Plan will consist of the four sections listed in Item A below. On July 1 of each even numbered year, each local agency will be required to submit a partial VIEW Annual Plan which will consist of Section 2-4 listed in Item A below.**

**Note:** The local agency may choose to submit the complete VIEW Annual Plan by July 1 of each year.

**Example:** Each local agency will submit a complete VIEW Annual Plan (Section 1, 2, 3, and 4) to the agency’s TANF/VIEW Field Consultant for 7/1/09. For 7/1/10, the local agency may choose to submit a partial VIEW Annual Plan (Sections 2, 3, and 4). For 7/1/11, each local agency must submit a complete VIEW Annual Plan (Sections 1, 2, 3, and 4).

A. The plan will describe the agency’s VIEW Program and must include the following sections:
   1. Standard Operating Procedures
   2. Budget Allocations
   3. Contacts and Interagency Agreements Summary
   4. Employment Services Staff Report

B. Changes to the VIEW Annual Plan are to be made under the following circumstances:
   1. When a program component is modified.
   2. When there is a change in the numbers of participants to be served, or in planned expenditures, of 15% or more.

Plan changes are to be submitted to the TANF/VIEW Field Consultant in advance.

Approval of local agency requests for additional funding must be submitted through the **Budget Request System (BRS)**. Approvals and denials will be made based on funding availability and performance.
1000.4 - VIEW Program Flow

The VIEW Program is designed to promote the self-sufficiency of program participants through intensive and continuous engagement in program activities until the client finds employment. This may result in periods during which a client may be assigned to an activity that promotes self-sufficiency but which does not contribute to the agency’s overall participation rate. It is expected that each local agency will meet the work participation rate of 50% for the locality.

A. **The ESW will complete an initial assessment of the participant within 10 (calendar) days if possible, but in all cases within 30 (calendar) days of the referral from the EW.** The 30 days begins with, and counts, the date the client was assigned to the queue.

   The assessment will include an explanation of VIEW program opportunities and requirements. Additionally, it may include an explanation of the availability of screening for learning disabilities, mental health problems, and alcohol and substance abuse, and of reasonable accommodations if needed. The participant must be told about the availability of disability screening within 90 days of signing the APR if it is not explained at the initial assessment.

B. The ESW will review and explain the VIEW Agreement of Personal Responsibility (032-02-0310) individually with the participant at the time of the initial assessment, and then both the ESW and the client will sign the document.

C. In most situations, the client’s initial assignment will include one of the following program activities:

   1. Individual Job Search
   2. Group Job Search
   3. Job Club

   The client may also be assigned to Job Readiness, a separate component activity, as part of the overall initial job search assignment. Job Readiness may be offered before, during, or after an assignment to one of the three job search components.

   The length of the initial job search assignment will depend on the type of job search and the point in the month at which the assignment is made.

   Clients who are assigned to individual job search should have the assignment begin immediately, as long as any needed supportive services are in place, and continue through the end of the month. If the client does not find employment, she should be reassigned to a full month of job search beginning on the first day of the next month. If the client and/or agency needs to arrange supportive services before the client can begin to participate in the program, this will be completed during the month of the initial assessment and the first assignment will begin on the first day of the month following the initial assessment.

   Agencies who operate 4-week group job search or job search programs may wish to begin the programs at the start of the month and assign the client to individual job search until that time. Agencies who operate their 4 week group job search programs on a Monday-Friday basis may need to begin the activity at the end of one month, continue through the next month, and add an individual job search assignment at the end in order to have the client fully engaged for the entire month.

   Agencies who are able to offer longer group job search or job club programs can assign the client immediately once the initial assessment is completed, and then reassign the client to 4 weeks of the activity beginning with the next month.
In the following specific circumstances, the initial assignment to job search and/or job readiness may be waived:

- when the client is working full time and earning at least minimum wage
- when the client has already completed an assignment to job search/job readiness during her current 2-year period of TANF eligibility and would benefit from direct assignment to a component activity designed to lead to employment
- when the client is in the last three months of pregnancy and would benefit from an assignment to another VIEW activity.
- when the individual was participating in education or training activities prior to coming into the VIEW Program and the activities have been approved by the VIEW worker and incorporated into the participant’s Activity and Service Plan. See 1000.13.A.3.

(Note: The agency should be aware that an assignment to Job Search/Job Readiness activities will not count toward the TANF Work Participation Rate if the client has already completed the maximum number of hours in Job Search/Job Readiness activities during the previous 11 months.)

D. The VIEW worker may assign the client to activities for the month of assessment (which may be a partial month). Additionally, the VIEW worker must assign the client to activities for the next three full months after the month of assessment. All assignments will be recorded on an Activity and Service Plan (032-02-0302) and will be entered into ESPAS no later than 3 working days after the Activity & Service Plan is completed. (Note: The worker will enter the initial job search assignment into ESPAS immediately. The additional assignments will not be entered into ESPAS until the required reassessment is completed at, or near the end of, the job search assignment.) If it becomes necessary to change an assignment included on the initial Activity and Service Plan, a new plan will be completed.

E. If the participant obtains full-time employment (30 hours a week or more at minimum wage or greater), she will not be required to participate in other VIEW activities. While the client is not required to participate in other program activities, she is required to respond to any correspondence from the VIEW worker and to keep all appointments, including reassessment appointments.

F. If the participant obtains employment that is not full-time or employment less than minimum wage, she will be required to fully participate in VIEW program activities designed to help her find full-time employment. She will be assigned to activities that combined with employment hours, total at least 35 hours a week.

G. If the participant has not obtained unsubsidized full or part-time employment at minimum wage or greater at the completion of the job search component, she will be reassessed and placed immediately into another program activity.

It is anticipated that the client’s specific program assignment will be to an activity/activities that most directly leads to employment and which allows the client’s participation to be included in the federal participation rate calculation.

H. At the end of each component assignment, the client will be reassessed and assigned to another work activity. The focus of the reassessment will be on the client’s progress in the activity, and an evaluation of the client’s needs for additional program activities and services in order to secure unsubsidized employment.

The reassessment will include an exploration of any barriers, including a verified disability, limited English proficiency, lack of reasonable accommodations or support services, or other barriers, that may make it difficult for the client to search for or obtain employment.
The ESW will offer the client screening for learning disabilities, mental health problems, and alcohol and substance abuse if a disability determination has not been made and if it seems likely that a disability may be affecting the client’s progress in the program. All VIEW participants must be offered the screenings within 90 days of signing the APR. If the screening indicates that the client may have a disability, the ESW will refer the client to a qualified professional for an in-depth disability evaluation.

The client’s Activity and Service Plan will be revised and updated to reflect all needed services and any accommodations relating to disabilities or other barriers to participation.

I. Unless the client is employed full time and earning at least minimum wage sixty days prior to the end of her two-year limit on assistance, the ESW will reassess the client and assign her to Individual Job Search, Group Job Search, or Job Club and to either FEP, CWEP, PSP, or OJT. If the participant is currently working at least 30 hours at minimum wage or greater 60 days prior to the two-year time limit, she is not required to participate in additional component activities.

If a VIEW participant is employed full time and earning at least minimum wage 60 days prior to the end of her 24-month period then loses her job, her hours decrease to less than 30 hours per week, or her wages decrease to less than minimum wage, she will be assigned to Individual Job Search, Group Job Search, or Job Club, and also to either FEP, CWEP, PSP, or OJT for the remainder of her VIEW enrollment.

This assignment will be made even if the client has already participated in the maximum 6 weeks of countable Job Search for the fiscal year.

J. A participant can apply for a hardship exception during the 60-day period prior to the end of the two-year time period. Clients who are granted a hardship exception will have the period of TANF eligibility extended.
1000.5 - LIMITATIONS ON THE RECEIPT OF TANF BENEFITS

A. The Two-Year VIEW Time Limit

TANF recipients who are required to participate in VIEW are subject to a two-year limit on receipt of TANF benefits. Once 24 months of benefits have been received, the client is ineligible to receive TANF benefits again until 24 months from the date of the TANF case closure. The months of TANF assistance that count toward the two-year time limit are recorded by the ESW on the 24 month VIEW clock. Months of participation in the TANF employment programs of other states are not counted on the 24 month clock.

1) The two-year time limitation for receipt of TANF benefits begins the first of the month after the date the Agreement of Personal Responsibility is signed. The VIEW status of the TANF recipient on the first of each month determines if the month will count toward the two year period.

2) The months in which the participant meets any of the following conditions on the first of the month will not count toward the two-year time period:

   a) she is exempt from VIEW;
   b) she does not have an open VIEW supplement, for reasons other than sanction;
   c) she is assigned to inactive.

3) The months in which the participant meets any of the following conditions on the first of the month will count toward the two-year time period:

   a) she is assigned to pending;
   b) she is assigned to an active component (this will also apply if she is assigned to an active component at any time during the month AND was already enrolled in VIEW but not assigned to Inactive on the first day of the month);
   c) she is sanctioned;
   d) her TANF benefits are continuing due to an appeal;
   e) she is employed at the time the case transfers from another locality and is receiving the VIEW enhanced disregard.

B. The Five-Year Federal Life Time Limit

Sixty months is the federal lifetime limit to receive TANF. The 60 month clock is based on months for which the client received TANF cash assistance. The 60-month clock works independently of the VIEW clock. The 60-month clock advances for each month a TANF payment is issued, to include when a client is in an Inactive status. The 60-month clock will not advance during any TANF suspension. TANF assistance that a client received in another state is counted on the 60-month clock.
A. To the extent that funding is available, agencies may serve TANF recipients who are exempt from VIEW and choose to volunteer. An individual who is exempt from participation can volunteer for VIEW only if she is able to participate for the required number of weekly hours after any needed accommodations are provided. (See 1000.7). It should be made clear to these individuals that by volunteering, the individual gives up her exempt status and becomes a mandatory participant subject to the same participation requirements and penalties for non-participation as other mandatory VIEW participants.

B. If the TANF case of an exempt client who volunteers for VIEW closes, and the client reapplies, the client’s exemption status will be determined as part of the eligibility process. The client will be referred to VIEW if she is no longer exempt. If the client’s previous exemption was for a temporary medical condition (V5) or for caring for a disabled household member (V7), she must secure a new medical if she states she is unable to participate in VIEW for either of those reasons. If the client is determined to be exempt at reapplication and again wishes to give up her exemption and participate, she may do so if funding is available.

C. Applicants can volunteer for VIEW only after the TANF application has been approved. They are eligible for the VIEW enhanced disregards in the month following the month the VIEW APR is signed at the initial assessment. Note: The APR cannot be signed prior to the initial assessment except when it must be signed prior to TANF approval as a condition of eligibility. (See 1000.9)

D. If a volunteer is assigned to an activity and does not participate as required, that individual will be referred for sanction. A sanction will be imposed unless the individual has good cause for not participating. Following the end of the fixed sanction period and compliance, the individual will continue as a mandatory participant. Exception: In the case of an individual with a V1 exemption who fails to comply and is sanctioned, the individual can reclaim her V1 exemption following the end of the fixed sanction period if she no longer wishes to participate in VIEW. Her V1 exemption will end once she has used the balance of the 12-month eligibility period and she will then be referred to VIEW as a mandatory participant.
1000.7 - VIEW Participants with Disabilities

Some VIEW participants have disabilities, including temporary medical conditions, or are caring for family household members with disabilities, that may affect program participation. Disabilities may be identified during the application process when the client is given the “Do You Have a Disability” form, or later as a result of VIEW screening or evaluation. When the ESW has documentation of a verified disability and the effect of the disability on program participation, accommodations can be put in place so that the participant is not denied the opportunities available through VIEW. Having a disability does not mean that an individual cannot achieve.

The Medical Evaluation Form (032-03-0654-03-eng) will be used to determine if a client referred to VIEW should be made exempt or when the client’s ability to work or participate in the program is unclear. See Chapter 901.2.C for specific guidelines regarding medical evaluations.

Accommodations may include, but are not limited to: part-time or flexible hours for work activities, providing the individual with work activities in a specific work environment that enables the individual to participate in work activities, providing particular types of jobs or work activities that are consistent with the person’s limitations, activities that are scheduled so they do not conflict with ongoing medical or mental health treatment, additional notice of program appointments, additional explanation of program rules, job coaches, additional time to complete program requirements, and additional intervention before an individual is sanctioned for non-compliance with VIEW program requirements.

See the Job Accommodation Network site for an extensive list of accommodations by disability [http://www.jan.wvu.edu/media/atoz.htm](http://www.jan.wvu.edu/media/atoz.htm).

Some individuals are caring for family household members with a disability, and can only participate part-time, during particular hours, or on a flexible schedule. When an individual has such a limitation, the employment services worker must find work activities for the individual that do not conflict with the individual’s care-taking responsibilities. **The Statement of Required Presence of Caregiver form (032-03-0020-00-eng) will be used to verify** the family member’s condition, as well as the necessity for care that limits the individual’s availability for work.

Some individuals have disabilities that limit when they can carry out work activities. For example, some individuals have appointments for medical or mental health treatment, substance abuse treatment, or rehabilitation services such as physical therapy. When an individual has such appointments, the employment services worker will coordinate program assignments with the verified treatment-related appointments.

Examples: Ms. A lost her job because she frequently gave customers the wrong amount of change. It is determined that she has a learning disability that makes such transactions very difficult. The worker may arrange for training that will teach Ms. A techniques that will allow her to make accurate change despite her disability. Alternately, the worker might assist Ms. A in identifying suitable jobs that do not require this skill.

Ms. B is caring for a child with a disability and keeps losing her job because she is frequently called away from work by the child’s school to deal with health-related emergencies. The worker helps Ms. B locate employment that allows the flexibility she needs.

Ms. C frequently leaves TANF due to employment, but just as frequently reapplies after losing her job. It is determined that a mental impairment prevents her from handling many work situations. The worker helps Ms. C find employment with fewer challenges and provides intensive job follow-up to help Ms. C work through any problems before they result in job loss.
1000.8 - VIEW Initial Assessments

A. Overview - Local Agency Responsibilities.

Each local agency will establish a process so that the initial assessment of VIEW clients includes the following:

1. An identification and evaluation of the participant’s job readiness skills, occupational skills and interests, education, work history, and family/life circumstances including disabilities.
2. A determination of the participant’s functional literacy if the participant does not have a GED, associate degree, or bachelor’s degree.
3. An initial identification of the program activities that will be needed if the client does not find full time employment.
4. A detailed evaluation of child care and other supportive service needs.
5. The signing of the Agreement of Personal Responsibility (APR).

B. Scheduling the Initial Assessment Interview

1. **The ESW will assess the participant within 10 calendar days if possible after assignment to the queue, but in all cases within 30 calendar days of assignment.**
2. The assessment will take place during an individual, face-to-face interview between the participant and the ESW. The assessment interview will be scheduled at a time that does not conflict with work hours, or with previously scheduled medical or mental health appointments, whenever possible. When necessary, the worker can meet with the participant at a mutually agreed upon location outside the agency.
3. The ESW will send the participant a letter informing her of the date of the assessment interview. The letter will explain that appearance for the assessment interview is a condition of continued eligibility for TANF and that failure to attend the interview and sign the Agreement of Personal Responsibility (APR) may result in termination of the TANF grant. The letter will also tell the participant how to contact the ESW if she is unable to attend the interview and needs to reschedule it.

Note: When the VIEW client is a refugee in a locality served by a Refugee Resettlement agency, the local agency should initiate contact with the resettlement agency to coordinate employment and training services. (See Appendix I for refugee resettlement agency contact information and local agencies served.) The resettlement agency will be responsible for sending the local agency a copy of the refugee’s Individual Employment Plan (IEP) which details the employment services the resettlement agency will provide. Some of these services may count toward the client’s VIEW participation requirement, but the overall responsibility for insuring that the refugee meets VIEW program requirements, including assignment of additional hours if needed, and verification of participation, remains with the local agency.

Refugees who receive Refugee Cash Assistance (RCA) rather than TANF or TANF-UP are not eligible to participate in VIEW and are not referred to the VIEW program. The responsibility for meeting their employment and training needs rests solely with the refugee resettlement agency serving the locality.

C. Client Failure to Attend the Initial Assessment Interview

If the recipient requests the closure of her TANF case prior to the scheduled date of the initial assessment
appointment, the ESW will send a Communication form to advise the EW to close the case. If the recipient subsequently requests that the TANF case be reopened prior to the effective date of the case closure, she must complete the initial assessment appointment and sign the Agreement of Personal Responsibility before the TANF case will be reopened. The VIEW worker will make every effort to schedule this appointment prior to the effective date of the TANF case closure. The recipient will be advised that if she fails to attend the appointment, the TANF case will be closed based on her original request.

1. If the participant does not appear for the interview, the ESW must attempt to contact the client verbally. If the ESW determines from the contact that the participant did not have good cause for missing the appointment, or if the ESW is unable to contact the client verbally, the ESW must take action to begin the termination process. Based on agency procedures, the ESW will either send the client the VIEW Notice of Sanction/Termination (032-02-0307) or the Advance Notice of Proposed Action within 3 business days of the missed appointment. Alternately, the ESW will immediately notify the EW who will send the ANPA within 3 business days of receipt of the notification.

2. The ANPA notifies the client that she must contact the ESW within 10 days from the date of the notice with documented good cause or the agency will take action to terminate the TANF case.

3. If the client decides to be interviewed by the ESW and to sign the APR, and does both prior to the effective date of case closure as specified on the ANPA, the case will not be closed.

D. Client Failure to Attend the Initial Assessment Interview After Having Signed the APR as a Condition of TANF Eligibility.

If a client’s TANF case is closed because she refused to sign the APR, she must sign the APR as a condition of eligibility if she reapplies for TANF. If her TANF case is approved and she is referred to VIEW, and if she then fails to keep the appointment for the initial assessment interview, her case will be sanctioned, not terminated.

E. The VIEW Assessment Interview

The ESW will conduct a face-to-face interview with the client to determine her prior education, training, work experience, service needs and current job readiness. The interview will be strength-based, and will focus on the client’s strengths in all areas of life and work rather than on deficits or barriers.

The interview will include:

1. An identification and evaluation of the participant’s job readiness skills, occupational skills and interests, education, work history, and family/life circumstances. The assessment will focus on the skills and abilities the participant already possesses that would allow her to find immediate employment. The VIEW Assessment form (032-02-0303), or other assessment instrument approved by the agency’s TANF/VIEW Field Consultant, will be used to record the information obtained in the interview.

2. A determination of the participant’s functional literacy. If the participant does not have a GED, associate degree, or bachelor’s degree, her functional literacy will be determined through use of the Information Sheet (032-03-0311) or other literacy assessment tool such as the Test of Adult Basic Education (TABE). Prior test scores such as the TABE, which
establish an approximate educational/basic literacy level, can be used in place of the Information Sheet if the score is no more than one year old. [Note: The literacy determination can be made at the initial assessment, or can be conducted later; in all cases, it must be completed by the first reassessment].

3. An initial identification of the client’s employment/educational goal(s) and the types of program assignments that may be completed throughout the client’s VIEW participation. The VIEW Assessment form, Part 2 (032-02-0303) will be used to record this information.

4. A detailed evaluation of child care and other supportive service needs.

5. An initial discussion of possible disabilities of the client or family household member that may interfere with the client’s ability to participate in VIEW and/or to work. A copy of the “Do You Have a Disability” form must be in the case record.

   a. All VIEW participants must be offered screening for learning disabilities, mental health disabilities, and alcohol and substance abuse within 90 days of signing the APR. Examples of valid screening tools can be found in “Screening for Employment Barriers: Issues and Tools” which can be accessed from the TANF Guidelines and Procedures page on SPARK at http://spark.dss.virginia.gov/divisions/bp/files/tanf/policy/employmentbarriers.pdf

   b. Participants whose screenings indicate the possible presence of a disability will, with the client’s agreement, be referred for an in-depth evaluation.

   c. All individuals, including those who choose not to be screened, and those who have been screened and referred for an in-depth evaluation, will be assigned to an appropriate program activity based on the initial assessment.

   d. If the in-depth evaluation indicates the existence of a disability, treatments and/or services to address the disability will be made part of the client’s required program assignments and will be recorded on the Activity and Service Plan.

6. An evaluation of other issues that may clearly affect program participation or employment. Such issues may include verified barriers to employment.

   Verified barriers to employment include mental and physical disabilities, learning disabilities, substance abuse and domestic violence. Barrier codes are entered into ESPAS after verification of the barrier by another agency or professional qualified to identify the specific barrier. Verification may be provided by agencies such as domestic violence shelters or substance treatment programs, as well as by professionals qualified to assess learning disabilities, health or mental health conditions. In all cases in which the worker receives documented confirmation of the condition or situation from the referral source, the worker will enter the appropriate code or codes:

   01 – Learning Disability
   02 – Domestic Violence
   03 – Mental Health
   4 – Physical Disability
   5 – Substance Abuse

   Note: The barrier codes are used to record a client’s verified barrier(s) and are
considered in making program assignments. They are not the basis on which hours of participation can be reduced except in the case of domestic violence when the specific VIEW assignment is identified as putting the family's safety in jeopardy. All other reductions in the hours of participation must be based on a Medical Evaluation signed by a medical professional. (See 901.2C)

7. An explanation to the client of the following:
   a. program goals and philosophy
   b. program requirements, including an explanation of the responsibilities and expectations of participants in the VIEW program
   c. the right to disclose a disability to the agency, and the benefits of doing so
   d. role of the Agreement of Personal Responsibility in describing the mutual responsibilities of the client, worker, and agency
   e. the consequences of not signing the Agreement of Personal Responsibility
   f. the beginning of the two-year limitation on the receipt of TANF benefits which begins the month after the month the Agreement of Personal Responsibility is signed
   g. the requirement to be involved in work activities throughout the two-year period of VIEW participation
   h. the benefits of immediate employment (eligibility for the enhanced disregard, increase in skill level, employability, and income)
   i. the benefits of "banking" months in order to save TANF eligibility
   j. penalties for failure to comply with program requirements including sanctions and consequences for hardship exception requests
   k. good cause reasons for not complying with program requirements
   l. the requirement to respond to all agency correspondence
   m. the name and phone number of the ESW and/or other agency contact
   n. the availability of the VIEW Transitional Payment (VTP) as an incentive for retaining employment

8. Signing the Agreement of Personal Responsibility (APR)

9. Following the interview, the ESW will assign the client to the appropriate program activity. The assignment will be located within a reasonable distance of the participant’s home. The Activity and Service Plan (032-02-0302-05) will be used to record this information.
1000.9 – VIEW AGREEMENT OF PERSONAL RESPONSIBILITY

A. The Agreement of Personal Responsibility (032-03-0310) outlines the participant’s responsibility:

1. to seek employment to support her own family;
2. to participate in assignments made by the ESW;
3. to notify the ESW of any change in circumstances which would impact the participant's ability to satisfactorily participate in the program;
4. **to notify the eligibility worker of changes as indicated on the Change Report form and the consequences for withholding or giving false information.**
5. to accept a job offer. Refusal to accept a bona fide job offer will result in a full household sanction;
6. to arrange and find transportation and child care. The ESW will assist the participant when the participant has tried but has been unable to find transportation and child care.

Additionally, it provides notification to the client of the two year time period for receipt of TANF benefits, and the enhanced disregards available to the participant if unsubsidized employment is obtained.

B. The participant and the ESW will sign a new Agreement of Personal Responsibility (APR) at the time of the initial assessment; at each subsequent referral following approval of a TANF reapplication; at re-referral following a period in which the individual was exempt; and when a former VIEW participant whose TANF case closed while she was subject to a VIEW sanction reapplies and subsequently returns to the VIEW program after the sanction has been lifted. (The sanction will not be lifted until the minimum fixed sanction period has been served and the individual has completed an act of compliance.) If the client refuses to sign the APR at the initial assessment, the worker must sign it and date it. The worker must also document the case record that the client refused to sign.

Examples of when a new APR must be signed:

**Example 1:** At each reapplication for TANF.

Client is approved for TANF effective 08/11/07 and is mandatory for VIEW participation. Client signs the APR 09/03/07 and remains on TANF as a VIEW participant until 03/31/08 when the TANF case is closed. Client reapplies for TANF and is approved effective 06/01/08. Client is again mandated to participate in VIEW and is referred using a VA code. Client must sign a new APR. Failure to sign the APR will result in case closure.

**Example 2:** In a TANF UP household, each time one of the parents is referred.

TANF-UP case is approved effective 09/01/07 and the dad is mandatory for VIEW. The mom is exempt based on caring for a child under 12 months old. The dad signs the APR on 09/15/07 and eligibility continues. The child turns 12 months old on 12/23/07, and mom is referred to VIEW. She is required to sign an APR, but refuses. The TANF case will close.

**Example 3:** In an ongoing case, each time an individual cycles in and out of the VIEW program due to exemptions.

TANF is approved effective 03/01/07; client is mandatory for VIEW. The client signs the APR on 03/11/07. Client provides a medical on 06/04/07. (Medical exempts the client for 06/04/07 – 09/04/07). The EW will code the client as a V5 on AEGNFS and the ESW will close the ESPAS enrollment with a closure code of 02.
Client is released to return to work on 09/05/07 and is again referred to VIEW (using a “VA” code). Client must sign a new APR. If she refuses, the TANF case will close.

**Example 4:** When the TANF case is closed due to the client’s failure/refusal to sign APR, the client must sign a new APR as a condition of eligibility at reapplication for TANF.

TANF is approved effective 12/15/07. Client fails, without good cause, to appear for the initial assessment on 12/24/07. The TANF case is closed effective 01/31/08.

Client reapplyes 05/14/08, and does not meet a VIEW exemption. (If the client is exempt at reapplication, she is not required to sign the APR as a condition of eligibility.) She must sign a new APR prior to case approval. If the client fails to sign the APR, the TANF application will be denied. If the client signs the APR then fails to attend the initial assessment interview after TANF case approval, she (and the TANF case) will be sanctioned.

**Example 5:** After reapplication for TANF (TANF case was closed while client subject to sanction) when the client has served the minimum fixed period and completed an act of compliance to cure the sanction.

Client is sanctioned for 5/1/08 – 7/31/08. Client requests closure of the TANF case on 8/15/08. TANF case closed effective 8/31/08 with sanction still in place as client has not completed an act of compliance.

Client reapplyes 10/10/08. EW advises client to contact ESW to cure sanction. Client contacts the ESW on 10/10/08 and completes an act of compliance. ESW advises EW to lift sanction effective 10/10/08. After the TANF case is approved, the ESW will schedule a reassessment appointment with the client to sign a new APR and assign the client to VIEW activities. The EW will enter the new APR date on the AEGNFS screen and run ED/BC.

Note: If the TANF case had not closed (remained open in a suspended status throughout the sanction period), a new APR would not have been required. The ESW would review the 24-month clock and advise the client of the number of months left on the clock as part of the reassessment process when the client resumes her VIEW participation.

C. If the participant chooses not to sign the Agreement or fails to keep the initial assessment appointment at which the APR is to be signed, the agency will take action to terminate the participant’s TANF grant. If a TANF-UP participant chooses not to sign the Agreement, the entire household will have its TANF benefits terminated regardless of whether another eligible TANF-UP participant is in the household.

D. If the Agreement was signed as a condition of TANF eligibility, the household will be sanctioned rather than terminated for missing the initial assessment appointment.

E. An individual who has refused to sign the Agreement of Personal Responsibility and has had her case closed must sign the APR prior to approval of the TANF application as a condition of eligibility. The signed APR may be obtained by either the EW or the ESW. (Note: This is the only instance in which the EW may obtain the signed APR). Local agencies should develop a procedure by which the APR is signed as quickly as possible to ensure that the processing of the TANF application will not be delayed. The failure of the client to sign the APR in these circumstances will result in the denial of the application.

In these situations, the queue or start date entered in ESPAS will be the TANF approval date rather than the date the APR was signed. However, the two-year clock will begin the first of the following month after the APR was signed. **The Employment Services Worker will adjust the two-year clock.**
1000.10 - TERMINATION OF TANF BENEFITS

If a mandatory participant fails to report for his initial assessment, or refuses, without good cause, to sign the VIEW Agreement of Personal Responsibility, the household's TANF benefits will be terminated. **Note:** If a non-parent caretaker who is receiving TANF assistance fails to report for his initial assessment, or refuses, without good cause, to sign the VIEW Agreement of Personal Responsibility, the non-parent caretaker's needs will be removed from the grant and the TANF case will remain open as a child-only case.

A. Notice of Termination Procedures

1) If the participant does not appear for the interview, the ESW must attempt to contact the client verbally. If the ESW determines from the contact that the participant did not have good cause for missing the appointment, or if the ESW is unable to contact the client verbally, the ESW must take action to begin the termination process. Based on agency procedures, the ESW will either send the client the VIEW Notice of Sanction/Termination (032-02-0307) or the Advance Notice of Proposed Action (ANPA) within 3 business days of the missed appointment. Alternately, the ESW will immediately notify the EW who will send the ANPA within 3 business days of receipt of the notification.

2) The Advance Notice of Proposed Action will inform the participant that she failed to meet the specific requirement and that in order to establish good cause the participant must contact the ESW within 10 days from the date of the notice to discuss the reasons for the claim of good cause. Merely contacting the EW or ESW does not constitute good cause. The Notice will inform the participant that her TANF benefits will be terminated if good cause does not exist. If the participant contacts the ESW within the 10 day grace period (with or without good cause) and is given another initial assessment appointment date, the appointment date will be documented in the case record. If a new appointment letter is sent, it should state that the termination will be imposed if that appointment is not kept. A new Advance Notice of Proposed Action is not required.

3) If the participant fails to contact the ESW within 10 days to establish good cause or does contact the worker but does not present good cause, the EW will proceed to terminate the household’s TANF benefits. The ESW must complete a new communication form, and a copy must be sent to the EW to stop the termination, if the client presents acceptable documentation of good cause for the non-compliance.

4) The ESW will not enter the termination in the automated system (ESPAS) until after the effective date of the termination of TANF benefits.

B. Documentation For Failure To Report For The Initial Assessment

1) The ESW will notify the participant of the scheduled interview.

2) If the participant fails to keep the appointment, the ESW must document the failure in the contact log.

3) The ESW must document in the contact log that a telephone call or personal contact was attempted.
C. Documentation for Failure to Sign the Agreement of Personal Responsibility

1) The ESW must document in the contact log that the participant refused to sign, or did not sign, the Agreement of Personal Responsibility.

2) Based on the client’s refusal, or failure, to sign the Agreement of Personal Responsibility, the ESW must take action to begin the sanction process. Based on agency procedures, the ESW will either send the client the VIEW Notice of Termination (032-02-0307) or the Advance Notice of Proposed Action (ANPA) within 3 business days of the missed appointment. Alternately, the ESW will immediately notify the EW who will send the ANPA within 3 business days of receipt of the notification.
1000.11– VIEW ACTIVITY AND SERVICE PLAN

A. Based on the information obtained during the assessment, the ESW and participant will develop an Activity and Service Plan. **While the development of the Activity and Service Plan is a joint activity, the local agency, in accordance with program guidance, will make the final decision regarding which component assignments are made and the sequence of assignments.**

The Activity and Service Plan will detail:

1) the participant's current assignments, and specific responsibilities of the participant and the agency, including but not limited to the expected levels of a) participation, b) attendance and/or c) the requirement to return information to the ESW and report changes which impact employment and/or participation.

2) the supportive services needed by the individual to comply with program requirements. The Activity and Service Plan may take the place of a service application for child care.

3) a statement explaining the reason(s) for assignment to Pending or Inactive, if applicable, and a list of the steps planned to resolve the issues leading to that assignment.

4) a description, begin and end dates, and planned weekly hours of the participant's assignment or assignments.

Note: The Activity and Service Plan developed at the initial assessment will include any assignments for the month of the assessment (which may be a partial month), and the next three full months. The ESW will explain to the client that the assignments, beyond the initial job search, are designed to increase her employability if she does not find employment during the job search. Additionally, the ESW will explain to the client that the Activity and Service Plan will be updated to show employment as her VIEW component if her job search is successful.

5) the requirement that the participant contact the ESW if she is considering quitting a job or, if she believes she is in danger of being fired from a job. This information will enable workers to either help the participant retain that position or obtain other employment.

6) Reasonable accommodations needed by an individual to fulfill participation requirements based on recommendations developed as part of an evaluation by a qualified professional.

B. The ESW must complete a new Activity and Service Plan at initial assessment, reassessment, or whenever there is a change to the participant's activity assignments. Modifications to the Activity and Service Plan due to changes in assignments will not affect the TANF two-year time limitation.
Supportive services are provided to remove barriers to the individual's participation and to stabilize employment. The provision of supportive services is contingent upon the availability of funds based on local VIEW allocations. Spending limits for supportive services are determined by the local agency and will be described in the agency’s Standard Operating Procedures (SOP). Spending limits on supportive services will be applied equitably to each participant needing a specific service. Agencies are encouraged to explore alternatives to removing barriers if supportive service funds are limited.

If supportive services are essential for participation, and neither the participant nor the agency can provide them, and no alternatives are immediately available, the participant will be placed in an “Inactive” status for up to 90 days, which will prevent the clock from counting against the 24 month time limit. (See 1000.16 regarding limitations on the use of inactive status.) It is expected that the local agency and the participant will work together to resolve any barriers to participation.

A. Duration of Supportive Services

1) Supportive services may be provided for as long as the participant is in a VIEW activity, including full or part time employment, and the TANF case is open. Supportive services may also be provided when the TANF case is suspended due to a sanction in order to allow the client to comply with program requirements.

The participant may be eligible for transitional supportive services once the TANF case is closed. See 1000.22, Transitional Services. Any services that continue to be provided to the client after TANF case closure must be provided as transitional services.

B. Employment Service Worker Responsibilities

1) It is the responsibility of the ESW to assist the applicant/recipient in meeting her service, as well as employment, needs. These needs may be met directly by the ESW or by other local agency staff or through a referral to another service provider.

2) When providing social services to recipients, the Activity and Service Plan may replace the Service Application.

C. Supportive Services for Recipients

There are five types of VIEW supportive services that the local agency can provide directly or can purchase. These services are child care, transportation, medical/dental, program and/or work related expenses and emergency intervention.

Participants who have been sanctioned or found guilty of an Intentional Program Violation are entitled to supportive services in order to maintain their employment.

Participants who have been sanctioned may also receive supportive services when the participant is performing a verifiable act of compliance as described in 1000.21. For participants who have been sanctioned, supportive services will be provided, based on client request, for a period corresponding to the time needed to perform the appropriate act of compliance. If the client
does not perform a verifiable act of compliance despite provision of the supportive services, supportive services will not be authorized again for the same sanction. In such a situation, it will be the responsibility of the client to arrange and pay for any supportive services needed to cure the sanction.

1) Child Care

Child care services are provided to enable the participant to gain and/or keep employment or to participate in program activities.

a. Arrangement for and/or payment of child care as a supportive service will be provided only when the participant is unable to obtain child care on her own at no cost.

b. Participants who are parents of school age children are expected to search for a job during the hours that the children are in school. However, if a job interview must take place outside of school hours, child care may be authorized.

c. Participants who need child care and cannot arrange to find their own may be provided assistance, including payment within child care guidance as found in Volume VII, Section II, Chapter D, of the Services manual.

This payment may include the cost of transportation when transportation services are provided by the child care provider and the total cost of all services provided by the child care provider does not exceed the Maximum Reimbursable Rate.

d. Participants who have been sanctioned are not entitled to child care service while in the sanction status unless it is needed to maintain employment. However, an individual who has been sanctioned may receive child care service upon request, based on the terms outlined above, if the service is necessary in order for the participant to perform a verifiable act of compliance.

2) Transportation and Related Services

Transportation services are provided to enable participants to travel to and from authorized VIEW activities or employment. The need for transportation must be linked to needs identified on the participant's Activity and Service Plan. The participant must be regularly attending the component activity, and, if in an education component, making satisfactory progress, in order to continue receiving transportation services.

a. The participant will have the primary responsibility to arrange transportation for employment or to participate in activities required by the Agreement of Personal Responsibility. Transportation services will be provided only when the participant is unable to make necessary arrangements.

b. Transportation can be provided by any of the following means:

   1) Individuals other than public conveyors. In this circumstance, payment is made to the individual provider. Such payment must be pre-authorized. A reimbursement-type purchase order may serve as a pre-authorization;
2) Agency or individual public conveyance sellers; or

3) Commercial establishments. For example, an agency could arrange with a gas station to accept vouchers for a client needing that type of transportation assistance. Through the purchase order/invoice system, the station would receive payment.

c. Criteria for approval of vehicle repairs.

1) A request for payment of a vehicle expense or repair can be approved if the following conditions are met:

a. public transportation is not available;

b. the agency cannot provide transportation and there are no other available resources; and

c. the general condition of the vehicle justifies the cost of the repairs;

2) The participant must provide documentation of:

a. required insurance coverage for the vehicle if the request is for repair, tires, etc;

b. a valid drivers license; and

c. a registration showing the vehicle is in the participant’s name. The vehicle may be co-owned if the participant’s name is also on the registration. In the case of TANF-UP households, the registration may be in either one of the participant’s names or both their names.

3. Medical/Dental Services

A. Payment for medical/dental services not covered by the state Medical Assistance Plan (Medicaid) may be made if the services relate directly to VIEW activities or employment. The need for medical services must be documented on the Activity and Service Plan. The participant must be making satisfactory progress and regularly attending the assigned component activity in order to continue receiving medical/dental services.

B. Examples of medical/dental services include medical statements or other necessary medical verifications or evaluations, including those requested to determine if a client has a disability that affects program participation, dentures, glasses, orthopedic shoes, and other items required prior to entry into jobs, work-sites, or other components.

4. Program Participation and Work-Related Expenses

This service provides assistance to the participant with employment-related expenses or expenses incurred through participation in an approved VIEW component(s). The ability of a local agency to pay participation or work-related expenses is based on the
availability of funds and local resources. The agency may wish to restrict some participation or work-related expenses to one time only purchases. Each local agency is encouraged to develop additional guidance and procedures for approving expenses and to include them as part of the Standard Operating Procedures.

A. Criteria for Assessing Need

The ESW will use the following criteria when assessing the need for participation or employment-related expenses for the VIEW participant:

1. The expense is necessary to enable the individual to participate in approved activities or employment;
2. The need for expenses is clearly linked to the needs identified on the APR, Activity and Service Plan, or, in the case of assessment, in the case record; and
3. The participant must be making satisfactory progress in the component/activity.

B. Participation and work-related expenses which are reimbursable include, but are not limited to:

1. Fees for birth certificates;
2. License fees;
3. Registration/graduation fees;
4. Picture ID costs;
5. Uniforms or other clothing or shoes;
6. Safety equipment and tools;
7. Car repairs and insurance.

C. Additional work-related expenditures may be made to enable a participant to accept a job offer or maintain employment. These expenses include, but are not limited to:

1. purchase of an initial set of tools or equipment;
2. uniforms;
3. safety equipment;
4. professional fees and licensing required for the occupation.

5. VIEW Emergency Intervention Services

This service provides assistance during crisis situations which may affect the individual’s participation in an activity or employment. Examples are emergency provisions of food/utilities, or other items necessary for the client to gain and/or keep employment or participate in other VIEW activities. Automobile expenses are not covered under this section. VIEW emergency intervention services are intended to assist the participant in gaining and/or retaining employment. They are not intended as a method of funding assistance for any emergency that may arise. The local agency should include guidance regarding the use and limitations of VIEW Emergency Intervention Services in its Standard Operating Procedures.
VIEW program components include the following core work activities – job search, job readiness, unsubsidized employment, subsidized employment (FEP), the community work experience program (CWEP), the public service program (PSP), on-the-job training (OJT), and vocational education and training. All program components must be monitored monthly for attendance of scheduled hours. In addition, education and training activities must be monitored for satisfactory progress at periodic intervals.

A. JOB SEARCH

Job Search is a structured activity carried out over a defined time period during which the participant must spend a specified number of hours in job search activities. Job search and job readiness may be assigned as appropriate and recorded in ESPAS, but the combined hours of job search and job readiness assignments will count toward the work participation rate for no more than 180 hours in a 12-month period. Hours assigned to job search/job readiness can be counted toward the work participation rate for four consecutive weeks. Additional hours of job search/job readiness may be assigned, but no hours will be counted toward participation unless there has been an intervening time period of at least one week after each 4 consecutive week assignment.

For federal reporting purposes, when a participant successfully completes a 4-week job search and is counted in the participation rate for that month, 120 hours of the total 180 hours available for job search/job readiness in a 12-month period are considered to have been used. The client also has used up 4 consecutive weeks of job search and cannot be assigned again until at least one week has passed. After that time, assignments to additional hours/weeks of job readiness and/or job search can be made in conjunction with other program activities in order to meet both the core work activity and the 35 hour overall participation requirement, but no more than the remaining 60 hours can be counted toward participation in the 12-month period.

Assignments to hours of job search/job readiness beyond those associated with the initial job search should be made in conjunction with other program activities so that both the core work activity requirement and the 35 hour overall participation requirement are met.

NOTE: Federal requirements limit countable hours of job search/job readiness for a single parent with a child under age 6 to 120 hours in a 12-month period. A successful 4-week job search will use up 80 of the total 120 hours available in the 12-month period as well as 4 consecutive weeks of job search/job readiness. No more than the remaining 40 hours can be counted toward participation through the end of the 12-month period.

1. Overview
   a. A maximum of 35 hours can be assigned each week.
   b. The number of hours for participant job search required must be determined on an individual basis. The number of required hours set on an individual basis should be determined based upon criteria such as other work or training activities in which the participant is involved, barriers such as language or disability of the participant or family household member, other barriers including employment conditions within the locality, and availability of transportation or child care.
c. For the purpose of discussing progress of the job search, and ensuring that the contacts made are reflective of the participant’s job skills, weekly or bi-weekly contact between the participant and the ESW is recommended.

d. Local departments must work with public and private providers of job development/job placement services, including the VEC, the Workforce Investment Board (WIB), and the local Department of Economic Development to facilitate job development and job placement.

2. Outcome of the Job Search

   a. A participant must accept a bona fide offer of employment. Participants who refuse to accept a bona fide offer of employment will be sanctioned.

   b. If the participant finds full-time employment paying at least minimum wage, the job search will terminate.

   c. If the participant finds part-time employment paying at least minimum wage, the ESW may decide whether to terminate the job search or require the individual to continue looking for full-time employment. The participant will be required to fully participate in other work activities designed to assist her in obtaining full-time employment.
3. Assignment to Job Search
   a. Participants who are not employed full-time and earning at least minimum wage at the
time the Agreement of Personal Responsibility is signed, including participants who are
self-employed, must be placed into job search. The length of the initial job search
assignment will depend on the type of job search and the point in the month at which the
assignment is made. See 1000.4, VIEW Program Flow.
   b. In some circumstances, the initial assignment to job search and/or job readiness may be
waived. See 1000.4C.
   c. A participant who has not found full-time employment paying at least minimum wage 60
days prior to the end of her 24-month TANF time limitation must be placed in a job search
component in conjunction with any other program assignment. This assignment will
continue until the participant leaves TANF at the end of the two-year time period.
4. Elements of the Job Search Component
   When developing the Job Search assignment, the worker must incorporate the following elements
based on the participant's needs:
   a. techniques to help the participant identify good work attitudes, strengths and job
skills/transferable skills.
   b. job seeking skills to train the participant to successfully seek and obtain appropriate
employment. This instruction/guidance will enable participants to market themselves
in a job interview and on the job. Subjects include, but are not limited to,
development of job leads, job interviewing techniques, discussion of local labor market
information, employer expectations, and accurate completion of applications.
   c. activities and opportunities for the participant to build self-esteem. A group setting is
one of the best ways to build self-esteem. Brief periodic meetings may be held to
allow the group members an opportunity to report progress, discuss problems and
receive specific help with job search techniques.
   d. use of the telephone as a primary employer contact to develop job leads and obtain
interviews. Developing and writing a good phone script and practicing employer
contacts will be an effective aid for the participant in the job search.
5. Employer Contacts
   a. The participant has the responsibility to submit enough applications/resumes and
participate in enough job interviews to meet the hourly job search assignment. (NOTE:
travel time between interviews, but not to the first interview or from the last interview, can
be included in determining hours of job search). The ESW provides support and direction
in these areas throughout the job search assignment. If, however, the individual has a
verified disability or language barrier that limits the ability to arrange for the required
number of job search hours, the ESW must assist the individual in arranging for the needed
contacts, reduce the number of job search hours required, or both.
b) To qualify as an employer contact, five conditions must be met:

(1) The participant must present herself to an employer as being available for work;

(2) The place of employment must be geographically accessible to the client on a regular basis. Contact with an employer located in another community or state out of commuting range from the client’s place of residence will not count as an employer contact.

(3) The employer must ordinarily employ persons in areas of work for which the participant is reasonably qualified by means of experience, training or ability;

(4) The participant cannot count the same employer more than once during a given job search period unless she applies for different positions; and

(5) Contacts with employers will only be in the form of face-to-face interviews or by submission of applications or resumes.

c) All participants must register with the nearest Virginia Employment Commission Office. Registration with the Virginia Employment Commission will be considered one employer contact.

d) The participant will report to the ESW during the job search period and must sign the VIEW Job Search Form attesting to the number of job search hours completed.

e) The ESW may contact any employer listed on the VIEW Job Search Form to verify that the participant made a contact.

f) Employer's signatures are not required on the Job Search form.

6) Types of Job Search

There are three types of recipient job search: Group Job Search, Job Club, and Individual Job Search.

a) Group Job Search

Group job search brings participants together for group activities and/or classroom instruction related to job search hours and job retention.

(1) Classroom instruction provides the participant with sound skills for finding and keeping employment.

(2) The participant in group job search is bound by the participation requirements of the specific group activity. The number of weeks and job search hours required of a participant in group job search cannot be less than the requirements of individual job search.
b) Job Club

(1) Job Club is a tightly-structured, intensive program including instruction in job search methods, extensive use of the telephone to obtain job leads and interviews, peer support, direct monitoring of participant activities, and self-placement through job search. In order to be classified as a Job Club, the job search activity must be operated using the VDSS guide, “Finding Work: A Manual for Successful Job Club Operation”. VDSS will provide a locality with on-site Job Club training, the VDSS guide, and other materials based on the locality’s request to the Virginia Department of Social Services, Division of Benefit Programs, Economic Assistance and Employment Program Manager.

(2) The participant in Job Club is bound by the participation requirements of the activity. The number of weeks and job search hours required of a participant in Job Club cannot be less than the requirements of individual job search.

c) Individual Job Search

Individual job search is independent job search carried out by the participant. For individual job search to be successful, it is necessary for the ESW to assist the participant in understanding the elements of a successful job search. At a minimum, the ESW should assist the client in developing a resume, in learning how to accurately complete a job application, and in utilizing proven job seeking methods and interview techniques.

B. JOB READINESS

The purpose of job readiness training is to prepare the participant for employment or program component participation so that she can be competitive and succeed in the labor market. Job readiness training may be offered before, in conjunction with or after the job search assignment. Unsupervised study or homework assignments cannot be counted as hours of job readiness. While assignment to job readiness and/or job search should be based on the needs of the client, the combined hours of job search and job readiness assignments will count toward the work participation rate for no more than 4 consecutive weeks. Additional hours of job search/job readiness may be assigned, but no hours will be counted toward participation unless there has been an intervening time period of at least one week after each 4 consecutive week assignment. Additionally, no more than 180 hours of job search/job readiness can be counted toward participation in each 12-month period. Assignments to additional hours/weeks of job readiness and/or job search beyond the initial assignment should be made in conjunction with other program activities so that both the core work activity requirement and the 35 hour overall participation requirement are met. Note: The assignment to the additional hours/weeks of job search and/or job readiness should be made only after at least one week has elapsed since the participant completed 4 consecutive weeks of job search and/or job readiness.

For federal reporting purposes, each time a participant successfully completes the initial 4-week job search/job readiness activity and is counted in the participation rate for that month, 120 hours of the total 180 hours available for job search/job readiness in a 12-month period are considered to have been used. The client also has used up 4 consecutive weeks of job search and cannot be assigned again until at least one week has passed. After that time, the client can be assigned to job readiness/job search as needed to facilitate her participation in the program. However, only 60 additional hours can be counted toward participation for the remainder of the 12-month period.
NOTE: Federal requirements limit countable hours of job search/job readiness for participants with a child under age 6 to 120 hours in a 12-month period. A successful 4-week job search will use up 80 of the total 120 hours available in the 12-month period as well as 4 consecutive weeks of job search/job readiness. **However, only 40 additional hours can be counted** toward participation for the remainder of the 12-month period.

1) Job readiness training includes activities to assist the participant in program participation by helping her recognize and overcome personal and family problems which may be a barrier to accomplishing her employment and training goals. Job readiness activities also prepare the participant for work by assuring that she is familiar with general work place expectations, work behaviors, and attitudes necessary to compete successfully in the labor market. Job readiness should also address the economic benefits of going to work. These include wages above the TANF grant, the enhanced earned income and savings disregards, and the Federal Earned Income Tax Credit.

2) Job readiness topics may include, but are not limited to, communication skills, life skills, motivational training, problem solving, assertiveness, nutrition, money management, time management training and other activities that enhance specific work place expectations and behaviors. Substance abuse treatment, mental health treatment or rehabilitative activities may also be counted as job readiness based on the same conditions and time limits that apply to job readiness generally.

3) Job readiness training may be conducted through workshops or seminars and through treatment programs, as well as through one-on-one counseling.
C. UNSUBSIDIZED EMPLOYMENT

1. Full Time Employment
   a. Unsubsidized employment is employment for which the participant is paid at least minimum wage and for which no government funds are used to subsidize the wages earned by a participant. Full-time employment is employment of 30 hours per week or greater.

   b. A participant employed at least 30 hours per week and earning at least minimum wage is not required to participate in any other VIEW assignment, but she must respond to all correspondence from the case manager and keep all scheduled appointments for reassessments. Each assignment to full-time employment should be for a period of six months.

   c. Employment at less than minimum wage does not meet the definition of employment and is not a countable work activity. Therefore, the participant must be assigned to other activities.

2. Part Time Employment
   a. Part-time employment is employment of less than 30 hours per week, at which the participant earns at least minimum wage. The participant must also be assigned to a concurrent program activity so that the concurrent activity and the part-time employment assignment meet the participation requirement.

   b. A participant working part-time may be assigned to job search as appropriate. However, the restrictions on counting job search/job readiness for federal participation limit the use of job search as a concurrent program activity for other components, including part-time employment. If the maximum 120/180 hours of job search/job readiness have already been met in terms of federal reporting, additional hours of job search/job readiness participation will not be reported or counted in determining the agency’s participation rate.

3. Self-employment
   a. If a participant becomes self-employed, the participant must provide documentation to show she is legitimately engaged in self-employment. The information could include, but is not limited to the following information: the kind of business, location, hours of operation, source of funding, prospective customer base, earnings, business license, if applicable, and lease or agreement if space is rented.

   b. If a participant enters the VIEW program and states she is self-employed and has been self-employed for less than a year, the participant must provide the above documentation including copies of rent receipts, appointment books or any other documentation that will show the participant is engaging in a legitimate business.
If the participant states she has been self-employed for a year or more, a copy of the previous year’s income tax return will suffice to show that the participant is engaged in a legitimate business. If the tax return is provided and the worker is satisfied with the documentation, the up-front job search can be waived if the participant is engaged in self-employment for 30 or more countable hours of self-employment per week.

c. For self-employment to be a countable activity for VIEW, the participant must be paid at least minimum wage. Countable weekly hours are actual hours worked, or hours computed as follows, whichever is less:

Determine the monthly net income by subtracting the monthly business expenses from the monthly gross income. The VIEW case record must contain a copy of the verification of the gross income and business expenses. This will apply even when the information is contained in the TANF case record.

Divide the monthly net income by the minimum wage.

Divide this figure by 4.33 and round the result down to the next whole number. Compare the computed hours to those that are verified as actual hours of participation. The countable hours are the actual hours worked (if verified by a source other than the client), or the hours computed above, whichever is less. If the countable hours are 30 or more, the assignment to (full-time) self-employment should be for a period of six months. If the countable hours are less than 30, the client must be assigned to additional activities to meet participation requirements.

Example: Ms. A is self-employed as a nail technician. She provides a signed statement from the property owner verifying that the business is in operation 40 hours per week. Her gross income is $550 for the month and she has business expenses of $340 per month.

\[
\begin{align*}
\text{\$550} & \quad \text{– gross income} \\
- \text{\$340} & \quad \text{– business expenses} \\
\text{\$210} & \quad \text{– net monthly income} \\
\div \text{7.25} & \quad \text{– minimum wage} \\
\text{28.97} & \\
\div \text{4.33} & \\
\text{6.69} & \quad \text{will be rounded down to 6 countable hours per week}
\end{align*}
\]

Only 6 hours per week are countable. Ms. A must be assigned to an additional 29 hours per week in other activities (with at least 14 of the additional hours in another core work activity) so that her total countable hours equal 35.

4. Employment and the TANF Earned Income Enhanced Disregard

a. A TANF recipient who is employed in an unsubsidized job at the time she signs the Agreement of Personal Responsibility at the initial VIEW assessment will receive the TANF enhanced earned income disregards the following month. Enhanced disregards allow a participant to remain eligible for TANF benefits as long as the participant’s total household income does not exceed 100% of the federal poverty limit for the size of his household or 150% of the federal poverty level for TANF-UP households.
b. Participants will receive the enhanced earned income disregard only after they have entered the VIEW program and signed the Agreement of Personal Responsibility.

c. A participant who obtains employment while in the VIEW program will receive the VIEW enhanced earned income disregard the month following the month of employment.

d. Eligible TANF recipients (in ongoing TANF cases) who are employed prior to referral to VIEW should be treated as a priority referral and served as soon as possible so that they may begin to receive the enhanced disregard or be evaluated for eligibility for a VIEW Transitional Payment. The TANF recipient should be seen and the initial VIEW assessment completed prior to monthly ADAPT cut-off whenever possible to avoid potential issues with TANF Benefit Adjustment and the opening of the VTP.

D. SUBSIDIZED EMPLOYMENT - FULL EMPLOYMENT PROGRAM (FEP)

Subsidized employment is employment in which government funds are used to directly subsidize the participant's wages. Subsidized employment is designed to provide training while the participant works on the job.

The Full Employment Program is a work activity in which a participant is placed in a public or private sector job and is paid an hourly wage for the work done. The Department of Social Services will pay the employer a predetermined, fixed stipend of $300 per month. TANF benefits are not paid to the participant during the time the employer is receiving a stipend except when the participant has not worked his scheduled hours for reasons beyond his control.

1. The goal of FEP – The overarching goal of this work activity is for the employer to retain the participant at the completion of the training period. The placement should provide the participant the opportunity to gain work experience, develop job skills and enhance work place social skills. To increase the likelihood that the participant will be hired on a permanent basis for the job and to promote further FEP placements with the employer, the worker should make every effort necessary to insure that the participant’s skills, abilities, and interests are a good match for the job description for the placement.

2. FEP Placements - VIEW participants who have been unsuccessful in obtaining unsubsidized employment by the first assessment following the initial job search activity will be screened for placement with a FEP employer. Participants who are referred to VIEW and have accrued months on the current AECLOC (24-month VIEW participation clock) may be immediately placed in FEP. VIEW participants in a FEP placement are required, at a minimum, to work a monthly average of at least 20 hours a week. VIEW participants in a FEP placement of 20 hours a week must also be assigned to 15 hours in another work activity. Each assignment to FEP will be for a period of six months.

3. Suitable Placements - If the ESW does not have a suitable FEP or on-the-job training placement available, the participant will be immediately screened for placement in a suitable community work experience site.

Suitable is defined as follows:

a. The worker has evaluated a good match between the participant’s skills, abilities, and
interests and the position description;

b. The employer agrees to provide needed training to do the job; and

c. The net monthly wages (take home pay) estimated by the employer exceed the amount of monthly TANF benefits the participant was last paid. The ESW can obtain the most recent TANF payment amount by reviewing the participant’s TANF payment history in ADAPT or by contacting the EW.

4. Criteria for the FEP Participant

a. The participant must be able to perform the minimum requirements for entry into the job and be capable of performing the duties of the job with the provision of training by the employer at the end of the placement.

b. The supportive services needed by the participant can be provided.

c. The participant may participate in FEP more than one time but must not have been previously sanctioned while assigned to a FEP placement.

d. A participant cannot enter a FEP placement if she is in the process of being referred for a 2nd or 3rd sanction.

If the participant has been referred for a 1st sanction and a FEP placement is available and the participant signs the Full Employment Program Agreement prior to the effective date of the sanction, the 1st sanction can be avoided. For a participant in a first sanction, a participant may be referred for FEP participation. As long as the fixed period has been served, the FEP assignment is the verified act of compliance and the sanction may be lifted.

e. More than one participant may be screened and referred to an employer for an interview for the FEP positions.

1. The ESW should complete the VIEW Referral to Work Site form (032-02-0300) to be given to each referred participant to take to the job interview.

2. After the employer indicates his selection on the participant’s VIEW Referral to Work Site form (032-02-0300) and signs the Full Employment Program Agreement (032-02-0309) for the participant’s placement, the participant is to be assigned to the FEP position on the Activity and Service Plan (032-02-0302) and in ESPAS. **The ESW will give the participant the FEP Information Sheet, (032-03-0440-00).**

3. The ESW will complete the Full Employment Program Communication Form (032-03-0655) and forward it to the EW as notification of a FEP placement. This form is available on the intranet at [http://www.localagency.dss.state.va.us/divisions/bp/tanf/forms.cgi](http://www.localagency.dss.state.va.us/divisions/bp/tanf/forms.cgi) and can be completed online and emailed to the EW. The eligibility worker is responsible for updating ADAPT to pay the employer’s stipend in place of the TANF grant as indicated on the Full Employment Program Communication Form (032-02-0655) from the ESW.
f. If a participant does not attend the employer interview, the EW must contact the participant to determine if good cause for the missed interview exists. If the ESW determines from the contact that the participant did not have good cause for missing the interview, or if the ESW is unable to contact the client verbally, the ESW must take action to begin the sanction process. Based on agency procedures, the ESW will either send the client the VIEW Notice of Sanction/Termination (032-02-0307) or the Advance Notice of Proposed Action within 3 business days of the missed appointment. Alternately, the ESW will immediately notify the EW who will send the ANPA within 3 business days of receipt of the notification.

g. If a participant transfers to another locality, the FEP Agreement will be terminated.

5. Criteria for the FEP Employer

a. FEP placements may be established in public or private sector employment.

b. The employer must offer employment of not less than 20 hours per week at minimum wage or greater. The position offered must meet the definition of a suitable placement, (see Suitable Placements at 1000.13, D.3). Wages paid to FEP participants must be the same rate as paid to other employees who perform the same work and who have similar experience and tenure.

c. The employer must pay Virginia Unemployment Insurance tax for its employees. FEP participants may qualify for unemployment benefits if not retained as a permanent employee. Eligibility for such benefits must be determined by the Virginia Employment Commission on an individual case basis. Former FEP participants not hired permanently should be encouraged to apply.

d. The employer must offer a position in conformity with section 3304 (a) (5) of the federal Unemployment Tax Act which requires the following:

1. The job offered cannot be available as a result of a strike or labor dispute;

2. The job cannot require the employee to join, nor prohibit the employee from joining, a labor organization;

3. The FEP participant cannot be used to displace regular workers.

e. The employer must agree to pay the participant through his payroll system. The employer agrees to pay his share of the premiums for Social Security contributions, unemployment insurance, and worker's compensation related to the participant's wages.

f. The employer must sign a VIEW Full Employment Program Agreement (032-02-0309) for each participant she employs in a FEP placement. The Full Employment Agreement includes:
1. The amount of the employer stipend;

2. The skills and equipment operations the participant will learn;

3. The hourly wage, number of hours per week the participant is expected to
   work, and estimated net monthly wages.

4. The duration of the placement and the conditions under which it will end;

5. Conditions under which the employer must repay FEP reimbursements;

6. Provisions regarding termination of the FEP Agreement; and

7. Responsibility of the employer to report when a FEP participant works less than
   an average of 20 hours per week. If the agreement is not in effect for a full
   calendar month, the participant must have worked an average of at least 20
   hours per week for the number of full weeks the FEP agreement was in effect
   during the month.

Example 1 – A participant begins employment on July 13 and works a total of 58 hours
between July 13 and July 31. Since the agreement is in effect for less than a full month,
the ESW will need to determine the average number of hours worked per week to enter
in ESPAS as well as the number of full weeks worked during the month. The
calculation will be as follows:

\[
\frac{31 \text{ (last day of the month in which the assignment began)} - 13 \text{ (the day of the month when the assignment started)}}{18 +1 = 19 \text{ days employed during the month}} = 2.71 \text{ weeks employed during the month}
\]

\[
58 \text{ hours } \div 2.71 \text{ weeks } = 21.4 \text{ average hours per week; round down to 21}
\]

Example 2 – A participant is employed for a full month. The employer reports that the
participant worked for a total of 90 hours during the month. The average number of
hours worked per week during the month was 21 (90 hours ÷ 4.33 = 20.78 average
hours per week; round up to 21).

g. The employer must also agree to the following:

1. Provide on-the-job training to the degree necessary for participants to perform
   the duties of the job;

2. Provide sick leave, holiday, and vacation benefits to participants to the same
   extent provided to other employees performing the same work and having
   similar experience and tenure;

3. Maintain healthy, safe working conditions at or above levels generally
   acceptable in the industry and no less than those in which other employees
perform the same work;

4. Agree not to discriminate against any person, including program participants, on the basis of race, color, sex, national origin, religion, age, or disability.

h. In addition to completing the VIEW Full Employment Agreement, the ESW will require the employer to fill out the Request for Taxpayer Identification Number and Certification Form (IRS Form W-9). File the completed form in the case record.

6. Payments to the Employer

The employer stipend is a reimbursement for participation in FEP. The stipend is issued for each month of FEP participation.

a. Two types of payments are made to an employer.

1. Stipend - The employer stipend is a predetermined, fixed amount of $300 paid monthly. Stipends are paid beginning the month after the participant enters a FEP placement. FEP stipends are issued for six consecutive months, unless notified by the ESW to discontinue the payments. In no instance are stipends to be paid for more than six months.

The ESW is responsible for notifying the eligibility worker within 3 business days of making a FEP placement. Upon receipt of notification from the ESW that the participant has entered a FEP placement, the eligibility worker will complete the required ADAPT screens to stop the participant’s TANF payments and start the employer’s stipend payments as soon as administratively possible. The employer’s stipend will be mailed on or about the first day of each month.

Using the Full Employment Program Communication Form (032-03-0655), the ESW must notify the EW when a FEP placement is made and when changes occur during the placement including the need to issue a supplemental TANF payment, issue a replacement check to the employer, terminate the FEP placement, or reinstate TANF benefits upon completion of the placement.

2. Bonus

a. The bonus is a predetermined, fixed amount of $500 paid to the employer if the participant is hired on a permanent basis (for at least 20 hours per week) at any time during the six-month placement period or within 30 calendar days after the placement has ended.

b. Limitations on Payments to the Employer

1. No employer will be paid a stipend unless the local department of social services has a signed and completed VIEW Full Employment Agreement.
2. The employer will receive a stipend only when the participant was paid for at least 20 hours per week or an average of at least 20 hours for the number of full weeks the agreement was in effect during that month. The EW must be notified within 3 business days that the 20 hour minimum was not met and whether a supplemental payment should be issued to the FEP participant.

3. The employer may receive one bonus payment per VIEW participant.

4. A bonus payment cannot be issued in the same month as a monthly stipend. For example, if the last stipend payment is issued in October, the bonus will be issued in November.

7. FEP Participation

   a. The ESW will track participation by conducting a FEP follow-up by the fifth day of each month for the previous month. This is to be accomplished by contact with the employer to verify that the participant is satisfactorily continuing in the placement and is meeting the minimum requirements for the job, including working at least 20 hours per week or an average of at least 20 hours during a month.

   The ESW should also discuss any concerns the employer may have regarding the participant’s performance or attendance. Hours of participation will be verified by the employer’s statement. In any case, monthly contact with the employer should be part of the follow-up process to insure that the employer’s needs are being met, to maintain rapport with the employer, and to insure the likelihood of future FEP placements.

   The employer contact may be written or verbal. In either case, the ESW must obtain the information requested on the Attendance/Performance Rating Sheet (032-03-0305). If the information is to be obtained in writing, the ESW may provide the employer with a six-month supply of the form at the time the FEP Agreement is signed. If the contact is verbal, the ESW should record the information obtained on the Attendance/Performance Rating Sheet.

   If the employer recommends the termination of the Full Employment placement, the ESW will document the reasons in the contact log for the recommendation and determine if there are grounds for sanctioning the participant. If grounds for sanctioning do not exist, the ESW will reassign the participant to another work activity immediately. If grounds exist for sanctioning, the ESW must take action to begin the sanction process. Based on agency procedures, the ESW will either send the client the VIEW Notice of Sanction/ Termination (032-02-0307) or the Advance Notice of Proposed Action within 3 business days of the missed appointment. Alternately, the ESW will immediately notify the EW who will send the ANPA within 3 business days of receipt of the notification.
b. ADAPT will automatically issue the stipend through month six unless cancelled by the EW. If the ESW determines that the employer was not entitled to the stipend received for the prior month, the ESW will inform the employer of his responsibility to return the check. The employer is ineligible for a stipend for any month in which the FEP participant did not work an average of at least 20 hours per week during the month. The stipend or, if the check has been cashed, a check issued by the employer should be sent to the Virginia Department of Social Services, Division of Financial Management, P.O. Box 606, Richmond, VA 23219-2901. If a check from the employer is used to repay the stipend the employer should reference the participant and the case number on the check. If the employer does not return the check, the local department of social services may pursue civil action through their city or county attorney’s office.

c. The participant may work additional hours beyond the number listed on the VIEW Full Employment Agreement (032-02-0309). Overtime hours can be required by the employer, but only to the extent that they are required of other employees with similar positions and experience.

d. Whenever possible, FEP placements should begin at the first of the month. This will allow the FEP participant to receive maximum wages to prepare financially for the suspension of TANF benefits during the FEP placement. Under no circumstances can a placement begin during the last 11 days of the month due to notification requirements at 401.4. The TANF recipient must be provided with an advance notice of action that the TANF payment will be suspended due to the FEP placement. At a minimum, the participant must have worked at least one full week for at least 20 hours for the employer to qualify for a stipend.

For example, a participant’s placement begins on April 19. The employer may qualify for a stipend for each placement month (April through September). Stipends are paid on or about the first day of the month following the month of participation, e.g., May through October in this example. If the participant does not work at least one full week for a minimum of 20 hours, the employer will not receive a stipend for participation in April.

8. FEP Employer Outreach

a. VIEW case managers should work through existing employer networks (workforce investment boards, chambers of commerce, faith-based organizations, local business organizations, etc.) in order to locate employers who are interested in accepting a FEP placement. The ESW will schedule an interview with any employer who expresses an interest.

b. The ESW will explain FEP to the employer and the advantages of entering into a FEP agreement. In addition to the reimbursement to the employer, the ESW should discuss Work Opportunity Tax Credits, the supportive services VIEW offers to help the participant be successful on the job, and the case management services in place to support the participant’s efforts.

c. The employer should complete a Work Site Position form (032-02-0306). The information obtained from this form will be used to screen participants for the FEP position(s).
d. If a regular employee at the FEP place of business feels that he/she has been displaced and the situation cannot be handled satisfactorily through the employer’s grievance process, the Virginia Department of Social Services will act as a mediator. The employer should be informed that the “Employee Grievance Procedure – Grievance Form A” form can be obtained at the local social services agency employment services department or at http://www.edr.virginia.gov/docs/Forms/gray/gre/FORMA2010rev.pdf

Once the form is completed, it is to be given to the local agency’s employment services department. The employment services department will send the form and all pertinent information to:

Virginia Department of Social Services  
801 East Main Street  
Economic Assistance and Employment Unit  
Richmond, Virginia 23219-3301

e. The employer should agree to contact the ESW as soon as a FEP placement position is available.

9. FEP Assignment

a. Once the agreement is signed, the ESW will meet with the participant to develop a new VIEW/TWA/Transitional Activity and Service Plan (032-02-0302) and to arrange needed supportive services. At a minimum, the Plan must include:

1. Name and phone number of the FEP supervisor;
2. Place of employment;
3. Days and hours of work, and hourly pay the participant will receive;
4. Notice that the participant must call the FEP placement supervisor and the ESW if the participant will be absent from work;
5. An explanation that the participant’s monthly TANF benefits will be stopped for the duration of the placement, except when the participant was unable to complete the scheduled hours for a reason beyond his control, and that wages received from the FEP employer will be counted for the Supplemental Nutrition Assistance Program (SNAP) and Medicaid.
6. Notice that the participant has the right to appeal the suspension of the participant's TANF benefits; and

b. The ESW will explain the benefits of the Earned Income Tax Credit (EITC) to the participant.

c. The ESW should assist each participant in applying with the employer to receive a monthly advance EITC payment.
10. **Supplemental Payments to the FEP Participant**

A supplemental payment is to be issued to the participant if monthly earned income is less than the frozen TANF benefit amount and good cause exists. Good cause includes circumstances beyond the participant’s control, such as but not limited to, loss of child care, transportation, illness of the FEP participant or a family member, or another emergency situation. Good cause is determined by the ESW.

**The ESW will advise the EW when a supplemental payment should be issued to the participant.** The amount of the supplemental payment will be calculated in ADAPT based upon gross earnings received in the month being supplemented.

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**E. COMMUNITY WORK EXPERIENCE PROGRAM (CWEP)**

Community Work Experience (CWEP) provides an unpaid work placement in a public or private non-profit organization. An assignment to CWEP is appropriate for participants who need to learn or improve skills or work behaviors, or to secure a job reference, in order to find paid employment.

1. **Work Site Development**

Overview: In order to make the opportunities provided by CWEP available to the VIEW population, the agency will develop and maintain suitable positions at public or private non-profit organizations providing worksites. The following considerations guide the development of worksite positions:

   a. Worksites must provide opportunities that can be matched to client interests and abilities in order to enhance employability.

   b. Worksites must be located at public or private non-profit organizations which provide a useful public function. For-profit businesses or organizations cannot be worksites. Possible worksites include hospitals or other health care facilities, social service agencies and charities, environmental protection agencies, schools and colleges, libraries, urban and rural development organizations, recreational organizations, highway and transportation departments, other public or private non-profit departments, agencies or organizations.

   c. Worksites must provide reasonable working conditions and must not violate Federal, state, or local health and safety standards. The ESW is not responsible for monitoring working conditions, but must work to bring the worksite into compliance with health and safety standards, or take action to terminate the agreement with the worksite if violations become known.

   d. Worksites will not be developed in response to, or in any way be associated with, the existence of a strike, lockout, or other bona fide labor dispute, or violate any existing labor agreement between employers and employees.
Guidelines:

e. The local agency and the public or private non-profit organization to be used as a worksite will enter into an agreement that sets forth the responsibilities of each. The form, VIEW Work Site Agreement (032-02-0308), will be used for this purpose and will be signed by both parties.

f. The ESW and/or work site supervisor will complete a VIEW Work Site Position form (032-02-0306) for each position developed at a work site. The form will describe the specific duties of the position, the days and hours the position is available, and will provide contact information for the work site supervisor. The form will be signed by the ESW or other local agency contact and by the work site supervisor or other contact.

g. The ESW will provide the work site supervisor with a written description of the expectations for supervision of a CWEP placement. The expectations for the supervisor will include, but are not limited to, the following:

1. Explain the rules and expectations of the work place to the client.
2. Provide a work space, and any necessary tools or supplies, in order for the client to carry out the responsibilities of the position.
3. Ensure that any reasonable accommodations identified on the Activity and Service Plan are provided by the work site.
4. Provide daily supervision and training as agreed to in the VIEW Work Site Agreement.
5. Provide immediate notification to the ESW in case of an accident, or if the participant does not come to work, comes in late, or does not follow the rules of the work site.
6. Provide the ESW with a completed VIEW Attendance/Performance Rating Sheet (032-02-0305) by the 5th day following the end of the report month.

2. Matching the Client to the Worksite

The goal of the VIEW Program, and of each of the VIEW allowable activities, is to increase the client’s employability and help her become self-sufficient. In order to accomplish this when assigning a client to CWEP, the worker must ask the following questions in order to make an appropriate placement:

- What is the client’s employment goal?
- What are her interests?
- What kind of environment will best suit her? Does she want to be in an office? Does she like working outside?
- What new skills does she need to learn? What old skills does she need to refresh?
- Does she need to learn and practice work behaviors?
• What barriers does she have that might affect a work site placement?
• What kind of work site position will appeal to her?

Once the ESW has the answer to these questions, work can begin on matching the client with a work experience position, or on developing a position for the client. The client can identify her own work site placement as long as it with a public or private non-profit organization willing to enter into a work site agreement with the agency.

There may be some situations in which a participant was sanctioned for non compliance at a CWEP or PSP sites. The client has completed an act of compliance and the sanction is lifted. The ESW would like to assign the participant to another CWEP or PSP, but due to a negative history at assignments for CWEP and PSP there are no other placements available. In this circumstance, the participant should be encouraged to develop her own worksite.

The agency will work with the client to secure any evaluations, counseling, or treatments needed to resolve the reasons for the non-compliance, or which would support the client being exempted from VIEW due to a verified physical or mental health condition.

3. Limitations on Work Site Assignments

a. The participant will not be required to use her public assistance income or personal resources to pay costs incurred while participating on a work site assignment.

b. The work site must be within a reasonable distance from the participant's home. The travel time from the participant's home to the work site cannot be more than one hour each way, based on transportation available to the participant.

c. The participant cannot be permanently placed in the position of a worker who is on sick leave, annual leave, leave without pay, or any other granted leave with or without pay. The participant cannot displace persons currently assigned to established, unfilled positions. The participant must not perform tasks which would have been undertaken by current employees or which would have the effect of reducing the work hours of paid employees.

d. The participant will not be assigned to work sites which are totally involved in political, electoral or partisan activities. The participant may be assigned to sites developed in the office of an elected official, however the participant cannot be required to engage in political, electoral, or partisan activities.

4. Criteria for CWEP Placements

a. A client can be assigned to CWEP immediately after the initial job search.

b. A client whose initial job search was waived because she had previously participated in VIEW during her current 2 year period of TANF eligibility may be assigned immediately to CWEP following assessment.

c. The initial assignment to CWEP shall be for a period of six months. Due to ESPAS system limitations, the assignment will be entered as two consecutive enrollments of three months each. (Note: only one Activity and Service Plan is required.)
d. The weekly number of hours of a CWEP assignment equal the total TANF dollar amount plus the SNAP benefit amount divided first by the federal minimum wage and then by 4.33.

e. The number of hours of a CWEP assignment is calculated at the time of the placement and is fixed. They do not vary from week to week or month to month. The hours are recalculated at each reassessment and at any time there is a change in the size of the assistance unit which also changes the benefit amount. Note: Mass changes to the SNAP allotment amounts and changes to the federal minimum wage amount will be addressed at the next reassessment after the change.

f. CWEP hours are not reduced by travel time to and from the placement. All CWEP hours are to be worked; meals and breaks can be included with hours worked or can be subtracted based on how they are treated for paid employees of the work site.

g. Calculation of Work Hours for TANF and TANF-UP Cases: Combine the total TANF dollar amount with the SNAP benefit amount received by members of the TANF household. Do not include the value of SNAP benefits received by household members who are not included in the TANF grant. Divide the total of the TANF grant plus SNAP benefits by the federal minimum wage, to determine the number of CWEP hours to be worked each month. Divide that result by 4.33 and round the final result down to the next whole number to determine the number of hours to be worked each week in the CWEP assignment.

CWEP placements cannot exceed 32 hours a week. The weekly CWEP assignment will be reduced to 32 hours if the calculated hours exceed that number.

h. CWEP Assignments for TANF-UP Cases: Both parents in a TANF-UP case may be placed in CWEP. In that circumstance, each will be required to participate the calculated hours. For example, if the calculation requires 25 hours of participation, and if both parents are assigned to CWEP, each individual will participate 25 hours a week. Additionally, each individual will have to be assigned to another activity for an additional 10 hours per week to meet his/her VIEW participation requirement.

5. Referral of the Client to the Work Site: After the client’s hours of CWEP participation are determined, and a good work site match is made, the ESW will work with the client and the work site to schedule an appointment for the client to be interviewed for a position. The ESW will complete the VIEW Referral To Work Site form (032-02-3000), make a copy for the record, and give the referral to the client to take to the interview. The work site supervisor will complete the bottom portion of the form, copy it for the work site, and send it back to the ESW showing the outcome of the interview. If the work site accepts the client for the placement, the worker will proceed with putting the client in CWEP and in arranging any needed supportive services.

If the client does not have Medicaid coverage, the worker will provide both the client and the work site supervisor with a signed copy of the Notification of Workers’ Compensation Requirements and Procedures form (032-03-0675) and will explain the responsibilities of all parties should there be an injury at the work site.

6. Concurrent Assignments: Since it is not possible for a CWEP assignment to meet the 35 hour participation requirement, all participants assigned to CWEP must also be assigned to another component that will enhance employability.
If it is in the best interest of the participant, the hours to be worked in the CWEP assignment can be reduced by the hours the client is assigned to another work activity, as long as the CWEP assignment is at least 20 hours.

7. **Work Site Monitoring:** The ESW will provide on-going monitoring of the CWEP placement.
   
a. On-going monitoring will include a monthly review of the VIEW Attendance/Performance Rating Sheet (032-02-0305) received from the work site supervisor by the 5th day of the month following the report month. Based on the review, the ESW will work with the work site supervisor and the client to resolve any issues affecting the placement.

b. The ESW will conduct a formal reassessment with the participant every three months. In preparation for the reassessment, the worker will contact the work site supervisor to determine if the client’s performance is satisfactory. If the client is not satisfactorily performing the duties of the position, the ESW will work with the supervisor to identify the specific duties not being performed, the reason for the unacceptable performance, and ways to improve the participant’s performance.

c. The worker will remove the participant from the CWEP placement for misconduct or violation of the work site’s policies at any time based on the request of the work site.

8. **Workers’ Compensation**

VIEW participants not eligible for Medicaid who are participating in the CWEP component are deemed to be employees of the Commonwealth for purposes of the Workers’ Compensation Act. Such persons shall be eligible for reimbursement for medical costs if the injury is covered under the Workers’ Compensation Act, but shall not be eligible to receive weekly compensation.*

   a. If a claim is accepted, Workers’ Compensation will pay medical costs for services provided by a panel physician as authorized by the Workers’ Compensation Act for covered injuries only.

      (1) The VIEW participant should notify her medical provider that she is seeking attention for a workers’ compensation claim and request medical providers to submit medical reports and bills for covered injuries to Managed Care Innovations (MCI).

      (2) MCI will review the medical report, confirm the treatment is related to a covered injury and remit payment to the medical provider for services of the covered injury.

b. Local agencies who assign VIEW participants not eligible for Medicaid to CWEP placements must follow these steps to ensure proper coverage in the event of an accident on the job.

* 2005 Acts of Assembly, HB2462
(1) Submit the name, case number (legacy number and ADAPT case number), and Begin and End date for the individual assigned to CWEP. The CWEP Placements Without Medicaid Coverage form must be completed online at: http://www.localagency.dss.state.va.us/divisions/bp/tanf/forms/view.cgi.

(2) If the client is injured on the work site and wishes to file a claim with Workers’ Compensation, the ESW must provide to the client in writing a list of three physicians from whom the client may choose to seek medical attention. The list of three physicians must be entered on the Panel Physician Form and given to the client. The form can be located at’ http://www.covwc.com/clientimages/48008/forms/panelphysicianform.pdf’. Print the form.

To obtain a list of physicians, access the WellComp Managed Care Services website from the Rockport Healthcare link, at ‘http://www.rockporthealthcare.com/YORKS/Searchbyzip.aspx’. This site will provide a list of doctors who can be on the physician panel. The physicians listed provide care under the Worker’s Compensation Act. The site allows the worker to find physicians by zip code, city, county, proximity and specialty.

If the worker is unable to provide a panel from the site, the worker may contact Chad Smith at the Department of Human Resource Management to obtain a panel of doctors for her area. The contact information is chad.smith@dhrm.virginia.gov

c. The CWEP work site supervisor must immediately complete an Employer’s Accident Report form when an accident occurs. This Virginia Workers’ Compensation Commission form can be accessed on line at http://spark.dss.virginia.gov/divisions/dhhrm/vdss/forms/index.cgi. Scroll down to Workers’ Compensation. Click on Employer’s Accident Report form. Print the form.

1. The supervisor must investigate the claim, document work place hazards/conditions involved in accident and complete ‘Employer’s Accident Report’ based upon his investigation.

2. List the employer as CWEP and the agency number as 997.

3. The original form must be sent to:

Virginia Department of Social Services
Division of Benefit Programs
Economic Assistance and Employment Unit
Attn: CWEP Placements without Medicaid Coverage
801 E. Main Street
9th Floor
Richmond, VA 23219-2901

TRANSMITTAL 55
d. The Economic Assistance and Employment Unit of the Division of Benefit Programs at VDSS must:

1. Maintain case names and numbers received from local agencies of persons who do not have Medicaid and provide that information to the Department of Human Resource Management (DHRM).

2. Pay premiums per individual in a CWEP placement to DHRM.

3. Maintain a file of all Employers’ Accident Reports.

4. Notify the local department of social services of the disposition of the Workers’ Compensation application.

e. DHRM’s claims administrator (Managed Care Innovations):

1. Will notify VDSS when a claim for Workers’ Compensation has been filed.

2. Contact both the injured worker and the work site supervisor for information about the accident.

3. Notify both the injured worker and VDSS home office of the disposition of the claim.

f. The VIEW participant must:

1. Immediately notify the work site supervisor in writing of workplace accident facts.

2. Inform the doctor when the visit is necessitated by an injury at work and that a claim for Workmen’s Compensation has been filed. The doctor should submit a medical report and bills to MCI.

g. Workers’ Compensation Hearings

1. When a request for Workers’ Compensation has been denied, the VIEW participant may request a hearing. The request must be made to the Virginia Workers’ Compensation Commission.

2. The Office of the Attorney General represents the state on cases in litigation. Managed Care Innovations will manage and coordinate the defense of the case with the Office of the Attorney General. Should any witnesses or supervisory testimony be required, the Office of the Attorney General will provide immediate notification to that individual.
C. PUBLIC SERVICE PROGRAM (PSP)

The public service program (PSP) shares many of the characteristics of CWEP. It provides an unpaid work placement in a public or private non-profit organization with the goal of improving the participant’s employability. Unlike CWEP, the PSP placement must provide a clearly defined public service. Examples of public service activities include court-ordered unpaid work, as well as participation in other programs or placements that benefit the community.

PSP assignments may be made for a maximum of 35 hours, with the exception of court-ordered assignments which will be made at the discretion of the court and may be for more than 35 hours. Participants assigned to PSP for less than 35 hours must also be assigned to another work activity order to meet the 35 hour participation requirement. Each assignment to PSP should be for a period of six months.

VIEW participants placed in PSP are not considered employees of the Commonwealth for purposes of the Worker’s Compensation Act. PSP placements can be made only for participants with Medicaid coverage unless the PSP site agrees to provide coverage under its own Workers’ Compensation plan. If a client is assigned to a PSP site and loses his Medicaid coverage, he is to be reassessed. If an unpaid work placement continues to be appropriate, the client can be assigned to CWEP. Assigned hours will be based on CWEP guidance at 1000.13. The former PSP site can be used for the CWEP placement, or the client can be assigned to another CWEP site. Alternately, the client can be assigned to a different component.

The development of PSP worksites, assignment and referral of participants to PSP worksites, limitations on the PSP positions, and PSP worksite monitoring follow CWEP guidance, with the exception that the public service provided through the placement must be a consideration in development of the site, and must be clearly documented in the record.

D. ON THE JOB TRAINING (OJT)

On-the-job training is a type of paid employment in which an employer provides training to an employee in order to increase the employee’s skills on the job.

1. The following are examples of on-the-job training that may be counted as a work activity in the VIEW Program:
   (a) On-the-job training offered through the WIA;
   (b) Work study offered through a community college or a four year college program;
   (c) Apprenticeship programs;
   (d) Paid internships offered by colleges or training providers in which the participant receives a wage or stipend for working and receiving training while on the job;
   (e) AmeriCorps Program placements in which the participant receives a stipend for living expenses; or
   (f) Sheltered workshop employment

2. With the exception of sheltered workshop employment, an OJT position that pays less than minimum wage does not meet the definition of employment and is not a countable work activity. The minimum wage requirement is waived if the OJT position is sheltered workshop employment. (Sheltered workshops are certified by the U.S. Department of Labor to pay commensurate wages which are based on the individual’s ability to perform in relation to the performance of a person without a disability).

3. Because OJT is a type of paid employment, the participant will not be required to participate in another concurrent activity if the client works in the OJT position 30 hours per week or
more and earns at least minimum wage. Each assignment to OJT should be for a period of six months.

4. If the hours for any OJT position are less than 30 per week, the participant must be assigned to a concurrent program activity and must meet the 35 hour participation requirement.

5. A number of occupations, including cosmetologist, automobile mechanic, and dental assistant, can be trained either as a paid apprenticeship or as unpaid vocational education and training or as unpaid job skills training. Apprenticeship combines paid OJT and a classroom training hours. Registered apprenticeship is a structured training program that combines on the job training and related technical instruction to train employees in occupations that demand a high level of skill in the private and public sectors. Occupations in construction, manufacturing, and the service industries utilize apprenticeship readily. A registered apprentice completes a minimum of 2,000 hours of supervised on-the-job training and a minimum of 144 hours of related classroom instruction for each year of apprenticeship. Information about apprenticeship requirements, apprenticable occupations, and employers offering opportunities in Virginia is available at http://www.doli.virginia/apprenticeship/registered_apprenticeship.html.

E. VOCATIONAL EDUCATION AND TRAINING

1. Vocational education and training is training or education designed to prepare the participant for a specific trade, occupation, or vocation. It is a countable activity for 12 months in a lifetime. The months of training do not have to be consecutive. Each assignment to vocational education and training should be for a period of time that will coincide with the length of the training/education program whenever possible but should not exceed six months.

Vocational education and training does not include education beyond the baccalaureate or degree, nor does it include ABE, GED, or ESL instruction. Examples of activities that can be classified as vocational education and training are technology, business, and health sciences programs leading to certificates, associate or baccalaureate degrees in the trades, information technology, medical equipment repair, accounting administration, medical assisting, practical or registered nursing, business, education, criminal justice and health sciences. Prior to entering vocational education and training, a participant must meet any educational or technical requirements of the occupation for which she is preparing or be enrolled in an activity to meet the requirements.

Programs meeting the definition of vocational education and training are offered by a wide range of institutions including vocational-technical schools, community colleges, post-secondary institutions, proprietary schools, and secondary schools offering vocational education. The choice of vocational education and training offered may vary in each locality, depending upon local labor market conditions.

Up to one hour of unsupervised study or homework time can be counted as vocational education and training for each hour of scheduled class time. The need for unsupervised homework/study time must be confirmed by the education or training program. Unless specifically required by the instructor, unsupervised study or homework time cannot be counted as vocational education and training when the training is outside the classroom and the activity does not support counting unsupervised study or homework hours. Supervised study time verified by the education or training program may also be counted as participation.
Example 1: Client is enrolled in an undergraduate social work program. The professor in one of her classes encourages (but does not require) students to volunteer in their communities. Volunteering is not a class requirement and does not impact class hours or grades. Client volunteers two hours a week at the public housing site where she lives. The client’s volunteer hours do not count toward participation.

Example 2: Client is enrolled in a associate’s level occupational therapist program. One of her classes requires the students to volunteer two hours a week in a nursing home as part of the course requirement. Since the two hours of volunteer time are a requirement, they can be counted as participation. Hours for unsupervised study or homework cannot be counted for the volunteer activity unless the study or homework is specifically required by the class instructor.

Hours for distance learning classes will be counted as participation if attendance and participation are documented on an Education and Training Activities Attendance Report which is signed by the instructor. It is the responsibility of the worker to determine through contact with the institution and/or instructor that the hours for a distance learning class can meet the documentation requirements. Distance learning hours that cannot be documented cannot be counted toward meeting the participation requirement.

Documented hours for distance learning can be counted under the following three circumstances:
- when the individual logs in by computer to a class delivered on a specific day and at a specific time. The hours tracked for an individual participant can count as hours of participation.
- when “clock time” is tracked for an on-line class as long as the clock is stopped when there is no interaction by the student with the on-line course material. The hours tracked as “clock time” for an individual participant can count as hours of participation.
- when an institution tracks “seat time” for participants based on progress in an on-line course. The hours counted as “seat time” for an individual participant can count as hours of participation.

Other types of distance learning courses offered through virtual classrooms or as independent tutorials will be evaluated and approved on a case-by-case basis. Hours of participation will be reported only if attendance and participation can be verified by the instructor.
d) If child care is needed, the ESW will notify the child care staff of the approval or disapproval of the self-initiated training. Child care staff will not authorize child care in cases in which the ESW has not approved the self-initiated training.

e) The training must be for jobs available or likely to become available in the community.

f) If grades have been issued for the training activity, the participant must have met the satisfactory progress requirements of the provider.

g) If the participant is already enrolled in training which will require more than two years to reasonably complete, the participant may be allowed to continue in the activity if she is satisfactorily progressing but will be ineligible for a Hardship Exception based on a one year extension for training.

h) The participant must also meet the conditions described in the section 1000.17 regarding satisfactory attendance and progress.
Program Component – Non-Core Work Activities

Hours assigned to non-core activities are used in the calculation of the participation rate only after the minimum 20 hour assignment to a core activity has been met.

A. JOBS SKILLS TRAINING

Jobs Skills Training is training that prepares an individual for employment, or job specific training required by an employer in order to obtain, keep, or advance in a specific job or occupation or training needed to adapt to the changing demands of the workplace. Each assignment to Job Skills Training should be for a period of time that will coincide with the length of training/education program whenever possible but should not exceed six months.

Job skills training includes the following types of training:

- Individual courses or a series of short term courses in such topics as keyboarding, or computer literacy, or training in a specific software application.

- All training and education programs, including post-secondary certificate, associate, or baccalaureate level programs, that are included in the definition of Vocational Education and Training at 1000.13H. Post secondary education can be provided in nontraditional as well as traditional settings. (Note: All post-secondary education-certificate, associate, baccalaureate level-must be directly related to employment in order to count as a work activity. Post-secondary education that is not related to employment is not allowable as any VIEW component or element of a component, including Other Locally Developed.)

- Instruction in a second language for participants who have a high school diploma or GED, or unpaid practicums or internships offered by a college or training program, or by an employer.

The choice of job skills training offered may vary in each locality, depending upon local labor market conditions. However, job skills training must have a direct relationship to employment as described above. Up to one hour of unsupervised study or homework time can be counted as job skills training for each hour of scheduled class time. The need for unsupervised homework/study time must be confirmed by the education or training program. Unless specifically required by the instructor, unsupervised study or homework time cannot be counted as job skills training when the training is outside the classroom and the activity does not support counting unsupervised study or homework hours. Supervised study time verified by the education or training program may also be counted as participation.

Example: Client is enrolled in a certificate medical assisting program. Students are required to visit various medical settings and talk to medical assistants about the nature of the work they do in those settings. These visits help the students better understand more about the profession and the types of employment opportunities available. Ten hours of visits are required each semester. Because the visits are required, they can be counted (along with the classroom hours) as participation. However, no unsupervised study or homework hours will be counted for the visits.

Hours for distance learning classes will be counted as participation if attendance and participation are documented on an Education and Training Activities Attendance Report which is signed by the instructor. It is the responsibility of the worker to determine through contact with the institution and/or instructor that the hours for a distance learning class can meet the documentation requirements. Distance learning hours that cannot be documented cannot be counted toward meeting the participation requirement. A complete list of allowable distance learning activities is provided in the Vocational Education and Training section, 1000.13H(1).
Prior to entering job skills training, participants must meet any educational or technical requirements of the occupation for which they are receiving training or be enrolled in an activity to meet the requirements.

The participant must also meet the conditions described in the section 1000.17 regarding satisfactory attendance and progress.

Participants who are initially enrolled in Vocational Education and Training because they are in an associate, certificate level, or baccalaureate level post-secondary program directly related to employment, and who reach the 12 month lifetime limit in that component, may be reassigned to Job Skills Training and continue in the education program.
Limitations on Post-Secondary Education Directly Related to Employment meeting the definition of Job Skills Training:

(1) Post-secondary activities directly related to employment, (certification, associate, or baccalaureate programs) will be limited to a period of twenty-four months. Participants will not be assigned to an educational activity which cannot be reasonably completed within a twenty-four month period of VIEW participation.

The assignment to post-secondary cannot exceed the number of months remaining in the 24 month period for a former VIEW participant returning to the program.

(2) The post-secondary education must be related to the jobs which are available in the community or are projected to become available in the community.

(3) Participants referred to post-secondary activities must have a high school diploma or GED prior to beginning the curriculum.

(4) Participants with a Certificate or Associate degree will not be assigned to additional post-secondary education except in situations in which the Certificate or Associate degree is more than five years old and the agency determines that additional education or training is needed to enhance the client’s employability.

(5) Participants with a Baccalaureate degree will not be assigned to additional post-secondary education. These participants are considered to have the education and ability needed to obtain employment.

(6) Reimbursement for tuition, books and fees will be made for only the twenty-four month period unless the participant has been granted a hardship exception of up to one year to enable the participant to complete employment-related education. The participant must apply for all available sources of funding including Pell grants, scholarships, work study or other sources.

B. Education Below The Post-Secondary Level

Education below post-secondary is an allowable program activity for participants who have not received a high school diploma or GED certificate and whose employability would be enhanced by additional education. It includes ABE, GED, and ESL programs as well as secondary school and may be offered in non-traditional as well as traditional settings. Each assignment to this type of activity should be for a period of time that will coincide with the length of the program whenever possible but should not exceed six months.

1. Educational Activities
   a. Participants assigned to this component will be those identified as needing certain educational activities to become ready for further education, training or job entry. Participation in education programs below the Post-Secondary level will be limited to one year.
Hours for distance learning classes will be counted as participation if attendance and participation are documented on an Education and Training Activities Attendance Report which is signed by the instructor. It is the responsibility of the VIEW worker to determine through contact with the institution and/or instructor that the hours for a distance learning class can meet the documentation requirements. Distance learning hours that cannot be documented cannot be counted toward meeting the participation requirement. A complete list of allowable distance learning activities is provided in the Vocational Education and Training section, 1000.13H(1).

b. Educational activities are defined as basic and remedial education that will provide an individual with a basic literacy level equivalent to at least grade 8.9.

(1) education designed to prepare individual for a high school degree or its equivalent (GED).

(2) Community based literacy programs that provide education activities for individual who require remediation to acquire a grade 8.9 literacy level.

(3) Education in English proficiency (ESL) for a recipient, who does not understand, speak, read or write the English language.

Up to one hour of unsupervised study or homework time can be counted as education below post-secondary for each hour of scheduled class time. The need for unsupervised homework/study time must be confirmed by the education or training program. Supervised study time verified by the education program may also be counted as participation.

c. The participant must also meet the conditions described in the section 1000.17 regarding satisfactory attendance and progress.

2. Limitations

a. Educational activities can only be provided in conjunction with a work activity during the participant’s two year time period.

b. Participants who enroll into education prior to coming into VIEW will be required to participate in a concurrent work activity.
1000.15 – Program Components – Locally Developed Activities

Other locally developed activities are activities developed or used by a local agency to increase a client’s employability, but which do not meet the definition of a core or non-core activity, or of post-secondary education. Assignments to other locally developed activities cannot be included in the participation rate calculation.

Hours assigned to other locally developed are not used in the calculation of the participation rate.
There are some situations in which a VIEW participant cannot be assigned or reassigned to an active component immediately.

A. Such situations include, but are not limited to, the following:

1. The local agency determines that transportation or other needed supportive services are unavailable.
2. Neither the participant nor the agency is able to make child care arrangements.
3. The ESW has requested a reevaluation of the client’s exempt status and is awaiting a response by the EW.
4. The start of the activity to which the client is to be assigned has been delayed.
5. The participant states that she has a medical or mental health problem that will prevent participation. The participant will be given a Medical Evaluation to be completed by a physician documenting the medical or mental health condition.
6. The participant has a family crisis or a change in individual or family circumstances, such as the death or illness of a spouse, parent or child, a family violence situation, or other time-limited situation not of the participant's own making that would affect participation.
7. The participant is receiving health, mental health, or substance abuse treatment or rehabilitation services which prevent participation in an active component. Verification is required that participation in the treatment or rehabilitation program is necessary and that the client is participating as required.
8. The participant has a verified disability and needs services, supports or accommodations to participate in an active component, but those services, supports or accommodations are unavailable.
9. Screening indicates that the participant has a potential disability that will affect participation in an active component but the agency is unable to obtain an assessment by a qualified professional.

B. If the VIEW participant must be assigned to a non-active component, the agency will take into consideration the anticipated time before an active assignment can be made, and the reason assignment to a non-active component is necessary.

C. Assignments to Inactive stop the VIEW clock and should be considered when the situation is not the result of the client’s action or inaction.

Assign the client to Inactive when the client is cooperating with the agency to resolve the situation delaying active participation. Assignments to Inactive are limited to 30 days and can be extended only once for a consecutive total of no more than 60 days. (Under exceptional circumstances, the agency may assign the client to inactive for a third time with the written approval of the VIEW supervisor. A copy of the signed approval and an updated Activity and Service Plan should be sent to the agency’s TANF/VIEW Field Consultant). At no time will the assignment to Inactive exceed 90 days.
Example: A new VIEW participant has a 14-month old child and needs child care in order to participate in the program. The client has been unable to find a child care provider. The only child care center in the community that accepts infants will not have an opening for at least 30 days. Since the client is cooperating, and the situation is not within her control to change, an assignment to Inactive is appropriate.

D. Assignments to Pending do not stop the VIEW clock. Months assigned to pending count toward the client’s 24 month time limit. Pending assignments should be considered when the situation preventing assignment to an active component is the result of the client’s action or inaction.

Assign the client to Pending when the client is not cooperating with the agency to resolve the situation delaying active participation. Assignments to Pending may be made for up to 60 days but should not be extended. At no time will the assignment to Pending exceed 60 days.

Example: A new VIEW participant has a 14-month old child and needs child care in order to participate in the program. She has interviewed several child care providers who usually have openings, but she insists on waiting so she can place her child at a new center in her neighborhood. The center is not scheduled to open for 30 days. The agency agrees to allow her 30 days to either obtain a placement at the new center or secure a placement with one of the other available providers. The agency assigns the client to Pending, not Inactive, because the delay in making arrangements has been within the client’s control. (Note: Following the 30-day Pending assignment, the client will be assigned to an active component. If she does not participate, she will be referred for sanction for non-compliance).

Note: the information above does not apply to assignments of Pending due to full-time employment (which are entered in ESPAS as Component 25 with a Descriptor of 033). Each assignment of Pending due to full-time employment should be for a period of six months.

E. The ESW will document in the case record the reason for the assignment to Inactive or Pending. The worker will outline in the record the plan of actions and anticipated timeframes developed with the participant to resolve the issues related to the non-active assignment. The worker will make referrals, provide supportive services including child care or transportation, or otherwise assist the participant as necessary so that the client can participate actively in VIEW. These referrals or other assistance will be included in the plan developed with the client and will be documented in the case record.

F. At the end of each 30-day assignment to Inactive, or up to 60-day assignment to Pending, the participant’s status will be reviewed and the Activity and Service Plan updated. It is expected that the local agency and participant will work together to resolve any issues related to participation by the end of these timeframes. The participant will be assigned to active VIEW components no later than the end of the maximum timeframes for Inactive and Pending assignments. After an assignment has been made to an active VIEW component, a participant will be subject to sanction if she fails to participate as required.
1000.17 - Monitoring Satisfactory Attendance and Progress

Satisfactory attendance and progress must be monitored for all education or training assignments – vocational education and training, job skills training and education below the post secondary level. ESPAS must be updated as appropriate to reflect progress.

A. Satisfactory attendance and progress is measured according to the attendance and satisfactory progress policies developed by the education or training provider and approved by the local social services agency.

1. In the case of education below the secondary level, satisfactory progress is defined as one grade level increase for every three months of participation.

2. In the case of post-secondary education, satisfactory progress is defined as maintaining a “C” average for each grading period and completing the number of credits needed each grading period to successfully complete the degree in the two year time period.

B. Daily supervision and record keeping will be provided by the education or training course instructor.

C. The ESW will monitor the participant to assure that she is making satisfactory progress. Satisfactory progress is used to assess the continued appropriateness of the education or training component.

D. The ESW will examine and maintain in the participant’s case record copies of attendance records, certificates, diplomas and grades.

E. Education and training providers will complete a VIEW Education and Training Activities Attendance Sheet (032-03-0191-00-eng) each month. The client may complete the attendance sheet if it is signed by the instructor or another school/training program official.

F. The ESW will contact the instructor to determine if the participant is satisfactorily progressing and to determine if the participant will successfully complete the activity within the two-year limit on TANF eligibility. Documentation of these discussions will be kept in the contact log.

G. For ABE, ESL, and GED assignments, documentation of satisfactory progress will be made every three months. In the case of post-secondary education, the participant’s progress will be monitored at a time consistent with the institution’s schedule, e.g. at the end of a semester or quarter.

H. If it appears to the ESW and the education or training instructor that the individual may have a cognitive, developmental, learning or other disability that is impeding her progress, the participant will be screened for learning disabilities if screening has not yet been done. If the screening indicates that the participant is likely to have a learning or other disability, the individual will be referred for an in-depth evaluation. If it is determined that the participant has a verified disability, and there are reasonable accommodations that would help the individual progress in the program, the ESW will work with the individual and education or training provider to put such accommodations in place.
H. If neither the VIEW worker nor the instructor believes that there is any likelihood that the individual has a disability that is impeding progress, or if the individual is referred for screening/evaluation and the possibility of a disability is ruled out, or if the participant refuses to undergo screening or evaluation, the worker and instructor will discuss placement of the participant into another activity that may better facilitate the participant’s job readiness.

I. A participant who has not made satisfactory progress after 6 months of participation in an education or training component (two consecutive grading periods in the case of post-secondary education) will be reassessed and assigned to another component which she can be expected to satisfactorily complete. No participant will be allowed to continue in a below post-secondary education component if she has not made a grade level change by the end of the initial six months in the component.

J. Participants will not be assigned to education or training which requires more than twenty-four months to complete. Note: An exception will be made for an individual who was in a self-initiated education or training activity of longer duration at the time she enrolled in VIEW if the VIEW worker approved the activity and incorporated it into the client’s Activity and Service Plan. (See 1000.13.H and 1000.15.A.) Approval of a second year of education or training will be made only if the participant can be expected to complete the education or training during the second year, had made satisfactory progress during the first year of education or training, and was enrolled full time.

K. Vocational education and training is subject to a lifetime limit of 12 months; it cannot be extended for a second year.

L. A participant who has successfully completed a training program will not be offered additional training unless she meets one of the following conditions:

1. There are no jobs in the community for the occupation in which the participant completed training, nor are there jobs projected in the future for the occupation, or

2. The participant needs additional training in the occupation in order to become licensed or certified, and certification or license is needed to obtain a job in the occupation

Every effort should be made to work with a participant who has already successfully completed a training program to find employment in the occupation for which she has been trained.

M. A participant who has been enrolled in more than one training component while in the VIEW program, and who did not successfully complete the activities for reasons solely within her control, will not be assigned to another training component.
A. Job follow-up is provided to all VIEW participants once they find full or part-time employment. Follow-up is provided for a minimum of three months unless the client begins receiving a VIEW Transitional Payment (VTP). (See 1000.22 B for information about VTP including job follow-up requirements).

1. Job Follow-Up - Open TANF Case

   Job follow-up is carried out each month for each employed (either full or part-time) VIEW participant with an open TANF case. Job follow-up will continue for up to 24 months if the participant is employed throughout her VIEW participation and the TANF case is still open.

2. Job Follow-Up - Closed TANF Case Without VTP

   Follow-up will continue for each employed (either full or part-time) VIEW participant once the TANF case has closed if the minimum three contacts have not been made and the client is not receiving a VTP payment. The VIEW enrollment will be closed and follow-ups ceased once the minimum three contacts have been completed or three months after the TANF case is closed – whichever comes first. The VIEW enrollment will remain open in ESPAS during the follow-up period but should be closed when the follow-ups are complete.

B. Job follow-ups must be made on or after the last day of the employment month and entered into ESPAS by the 15th of the following month. For example, the client begins employment on October 25th. The first follow-up will be made on or after October 31st and the data will be entered in ESPAS by November 15th. The second follow-up will be made on or after November 30th and the data will be entered in ESPAS by December 15th.

   Whenever possible, the first follow-up contact will be a face-to-face meeting between the worker and the client. All other follow-up contacts may be completed by telephone or face-to-face. The date and result of the contact will be recorded on the Job Follow-Up Contact – Current VIEW Participants form (032-03-0403-eng). If the client does not have a telephone or cannot be reached, the ESW will mail the client the VIEW Job Follow-Up form (032-03-0402-00-eng) and record the date mailed on the Job Follow-Up Contact form.

   Follow-up calls should be made between the last day of the month and the 5th of the next month so that any VIEW Job Follow-Up forms which have to be mailed can be returned by the client and follow-up entered into the ESPAS system by the 15th.

   Clients for whom the follow-up contact could not be successfully completed by telephone, and who are sent but do not return the VIEW Job Follow-Up form, will be referred for sanction if the TANF case is still open. If the client complies with program requirements and responds to the job follow-up request prior to the implementation date of the sanction, the sanction will not be imposed.

C. Job follow-up consists of two separate activities: on-going client contact to support job retention/career advancement, and wage verification.

1. Job Retention/Career Advancement Follow-up: The basic purpose of job follow-up is to assist the client in resolving any problems that may affect her employment. This purpose can best be
achieved through a conversation with the client in which problems can be discussed. Problems may relate directly to the job, or may involve difficulties in other areas of the client’s life.

Additionally, job follow-up provides the worker the opportunity to help the client in the area of career advancement – either with her current employer or through a move to a new position. Specific services which may be provided include:

a. job retention counseling
b. career exploration focused on employment with better wages, hours, benefits, or other factors that make a job a better fit for the client and lead to increased self-sufficiency
c. referrals to other program activities including education or training
d. provision of job leads or other resources for additional job search
e. work-related workshops or seminars

2. Wage Verification: The client’s hourly rate of pay and number of hours of employment per week must be verified by the first job follow-up. Verification may consist of information from the EW based on employer verification, pay stubs, wage forms, or direct contact with the employer by the ESW. The VIEW record should contain a copy of any wage and hours verification in the TANF record.

The hours and rate of pay verified at the first follow-up will be entered into ESPAS at that time. They will remain unchanged at the time of the 2nd, 3rd, 4th, 5th, and 6th monthly follow-ups unless a change is reported by the client.

If the client continues to have an open TANF case, the worker will schedule a face-to-face reassessment for the 6th month of follow-up and will again verify the hours and rate of pay at that time. That information will be entered into ESPAS at the time of the follow-up in the 7th month, and when the 8th, 9th, 10th, 11th, and 12th follow-ups are made unless a change is reported by the client. The same procedure will be followed at the time the client has the next face-to-face reassessment in the 12th and 18th months of participation.

D. There are three possible outcomes to a job follow-up contact:

1. The participant is employed
2. The participant has left employment
3. The ESW is unable to contact the participant, or the participant does not respond to the job follow-up contact

Job follow-up information is recorded in ESPAS as well as on the Job Follow-Up Contact – Current VIEW Participants form. The ESW may also document follow-up information on the contact sheet or in the narrative.
Example: The VIEW client becomes employed effective October 4th. Complete a new Activity and Service Plan showing the client’s employment and outlining her responsibilities regarding monthly follow-ups. Enter the employment, wages, and hours information into ESPAS. This action will result in the client’s name being added to the monthly Job Follow-Up Report beginning with month 2.

A face-to-face meeting or follow-up call will be made between October 31st and November 5th which will focus on job retention and career advancement. The ESW will complete the Job Follow-Up Contact form documenting the meeting or the call. If the wage and hours verification was not made at the time the employment information was entered into ESPAS, the ESW will verify that information at the follow-up.

The ESW receives notification that the TANF case will close effective December 31st. If the client is not eligible for VTP, enter the December follow-up information in ESPAS and continue doing regular VIEW job follow-ups until the required three minimum follow-ups have been completed. (See guidance at 1000.22.B for information regarding eligibility criteria for VTP).
1000.19 - REASSESSMENT

Reassessment provides the ESW and the participant the opportunity to review the participant's progress in the VIEW program and address any problems which may present an obstacle to achieving self-sufficiency. The reassessment will identify the reason the participant was unable to obtain full-time unsubsidized employment or participate fully in the program and the ESW will assist the participant in resolving the identified barriers.

If there is a reason to believe that the participant’s failure to find full-time employment or participate fully in the program is related to a disability, the worker may offer screening, and if the screening identifies that the individual is likely to have a disability, will offer an in-depth evaluation, to identify the nature and severity of the disabilities, the individual’s limitations, and any accommodations needed. The individual’s Activity and Service Plan will be revised to reflect this information.

The ESW will conduct a reassessment whenever the participant leaves or completes an assignment. Reassessments may be completed prior to the end of the current assignment to ensure that participants are placed in new activities immediately after the end of an activity. (For example, if an assignment is scheduled to end 1/15, the ESW can schedule the reassessment appointment to take place prior to 1/15). The participant’s activity end date will not be shortened due to early reassessment unless the assigned activity actually ended before the scheduled end date shown on the Activity and Service Plan. In all cases, the reassessment must be completed no later than one week following the end of an assignment. New assignments will be scheduled to begin no later than two weeks after the reassessment and immediately, if possible. Prompt reassessment and reassignment will reduce the “down” time between activity assignments and will positively affect the agency’s participation rate.

The ESW must conduct a face-to-face reassessment interview with the participant following the completion of the initial Job Search. All subsequent reassessments may be completed through a face-to-face interview or by phone but, in all cases, the ESW must conduct a face-to-face interview with the participant at least every six months.

If the reassessment is conducted by phone, the ESW should document the case file specifying the date on which the new A & S Plan was discussed and agreed to by the participant, mail the participant a copy of the A & S Plan to sign and return, and key the new assignment information into ESPAS. The Activity and Service Plan is valid even if the client does not return a signed copy. The participant should be advised that the new program assignment must be carried out even if she does not return the signed A & S Plan.
1000.20 - SANCTIONS

A sanction is the suspension of the household’s entire TANF grant for program noncompliance. SNAP benefits may also be affected. Federal participation requirements differ in some respects from VIEW program requirements and are not considered in determining non-compliance.

All TANF and TANF-UP recipients who are determined eligible for the VIEW Program and have already signed an Agreement of Personal Responsibility are required to participate in VIEW. Recipients are subject to sanction if they fail to participate without good cause.

A. Good Cause for Failure to Participate

1) When a client is not in compliance with VIEW, the agency must attempt to contact the client by phone to encourage participation, explore good cause, and/or notify the client of a possible sanction. If the ESW determines from the contact that the participant did not have good cause for missing the appointment, or if the ESW is unable to contact the client verbally, the ESW must take action to begin the sanction process. Based on agency procedures, the ESW will either send the client the VIEW Notice of Sanction/Termination (032-02-0307/01-eng) or the Advance Notice of Proposed Action within 3 business days of the missed appointment. Alternately, the ESW will immediately notify the EW who will send the ANPA within 3 business days of receipt of the notification.

2) A participant who has good cause for noncompliance will not be sanctioned. Good cause will exist if:

   a) The participant's inability to fulfill program requirements is due to circumstances outside her control or is the result of a change in circumstances over which the participant had no control. This includes but is not limited to situations in which the reason for the participant’s non-compliance was that the participant had a disability or a family household member had a disability that was not identified or was identified but not addressed. The worker must allow the client 30 days to verify the disability prior to referring for sanction.

   b) Acceptable child care is not available when necessary for an individual to accept employment or enter or continue in the program. To be acceptable, the child care must meet all of the following criteria:

      (1) The child care must be arranged:
         (a) by the participant, or
         (b) if the participant cannot arrange for the child's care, it must be arranged by the local department of social services with a legally operating provider;

      (2) The child care must be within a reasonable distance from the participant's home or work site. This means that the travel time from the child's home to the child care provider and the work site is generally no more than one hour, based on transportation available to the parent;
(3) The child care arrangements must be affordable. This means the cost of the child care is less than or equal to the payment amounts specified in the Child Care Services guidance (Volume VII, Section II, Chapter D); and

(4) If the child care is with a relative, it must meet the requirements for relative care in the Child Care Services guidance (Volume VII, Section II, Chapter D).

The participant is responsible for demonstrating that she is unable to find child care for one or more of the above reasons.

While one of the criteria for acceptable child care is affordability based on the payment amounts specified in child care guidance, the client's selection of child care arrangements whose costs exceed the payment amounts is not a good cause reason for program non-compliance when other child care arrangements meeting the acceptable child care criteria are available.

The local agency is responsible for determining if the information provided substantiates that needed child care that meets the above criteria cannot be arranged. The ESW must consult with the Child Care worker in evaluating whether a sanction is appropriate.

c) Accepting employment would result in a net loss of cash income for the assistance unit. Net loss of cash income would result if the family's gross earned income, less necessary work related expenses, was less than the TANF payment which the recipient was receiving at the time the offer of employment was made.

3) The good cause investigation will include of an evaluation of information in the case record. When there has been no recent contact with the participant, efforts will be made to determine if the participant has contacted the EW or Child Care Worker to discuss the problem, given a reason for not attending an ESP interview, or for not completing an assignment, or having not kept any program-related appointment. In all cases, in order to ensure that the participant understands the mandatory nature of the program and has an opportunity to explain the reason for noncompliance, the VIEW worker will attempt to contact the client by telephone or by personal contact. The worker will document the record that the contact was made or attempted.

4) Prior to imposing a sanction, the ESW is to complete the VIEW Non-Compliance Checklist. Once the form is completed, the supervisor must review the form and circumstances of the proposed sanction to ensure that the participant has been screened for disabilities or screening has been offered and refused, reasonable accommodations have been provided if needed, and the agency has attempted to notify the client verbally. The supervisor must not approve the sanction if any of these steps have not been taken. The supervisor or designee must sign the VIEW Non-Compliance Checklist. The completed checklist must be placed in the case record.
B. Refusal to Participate

Refusal to participate occurs when a participant either:

1) Overtly chooses not to cooperate; or

2) Fails to carry out her prescribed VIEW activities without good cause.
C. Reasons for Applying VIEW Sanctions

The following are reasons for applying VIEW sanctions:

1) Failure to report for reassessments, job interviews or other required interviews;

2) Failure to actively participate in any VIEW component or activity or to complete requirements designated in the Agreement of Personal Responsibility or Activity and Service Plan, the local Employment Services Plan and State policy. This includes failing or refusing to complete and/or return forms or provide other information by the required date;

3) Failure to accept bona fide job offers. A bona fide job offer is an actual job offer given in good faith without dishonesty, fraud or deceit. The job offer must:

   a) not be beyond the physical or intellectual capabilities of the participant;

   b) provide at least federal minimum wage or the prevailing wage for an occupation not covered by minimum wage standards;

   c) **not require travel time from the participant’s home to the jobsite that exceeds one hour each way, based on the transportation available to the participant.**

4) Termination of employment without good cause. A sanction will be imposed in the following circumstances:

   a) removal from a community work experience or public service program work site for misconduct or violation of employer rules governing the work site;

   b) termination from unsubsidized or subsidized employment by the employer due to problems with attendance and/or performance or inappropriate behavior, without good cause;

   c) non-participation for the assigned hours in a component other than FEP. Participants in FEP will only be sanctioned if the employer requests that the participant's placement be terminated;

   d) quitting a job, refusing a bona fide offer of increased work hours, or requesting a reduction in work hours without good cause, including FEP.

D. Documentation Required for Failure to Report for Assessment, Reassessment, Job Interviews or Other Required Interviews;

1) Correspondence advising the participant of the scheduled interview. The required contents of this correspondence are described at 1000.8.

2) The Activity and Service Plan (unless the recipient fails to appear for assessment, or appears but refuses to participate in the assessment) identifying the VIEW activity to which the participant was assigned and any actions required by the participant.
3) Contact log documenting all contacts with the participant.

4) A copy of the communication form sent to the EW to sanction/terminate the case.

E. Documentation Required for Failure to Report to or Complete Job Search, Job Readiness, Vocational Education and Training, Job Skills Training, Education Below Post-Secondary.

1) An Activity and Service Plan form showing that the participant was assigned to Job Search, Job Readiness, Vocational Education and Training, Job Skills Training, Education Below Post-Secondary and stating the actions required by the participant.

2) Any letters and phone calls which may have been made prior to the scheduled activity (such contacts are not required by guidance).

3) Any referrals to the education, training or service provider, or employer.

4) Contact log documenting all contacts with the participant.

5) Any records of participant’s performance or progress in an activity.

6) Any records of participant’s attendance or the VIEW Attendance/Performance Rating Sheet.

7) A copy of the communication form sent to the EW to sanction the case.

F. Documentation Required for Failure to Report to or complete a Work Experience, Public Service Program, or Full Employment Program Assignment

1) Activity and Service Plan showing that the participant was assigned to Work Experience, Public Service Program, or Full Employment and stating the actions required by the participant.

2) VIEW Referral to Work Site form.

3) Work Experience Attendance and Performance record/Employee Rating Form.

4) Contact log documenting all contacts with the participant.

5) A copy of the communication form sent to the EW to sanction the case.

G. Documentation Required for Failure or Refusal to Accept a Bona Fide Job Offer

1) Description of the job offer, including OJT positions, and the circumstances surrounding the refusal including an analysis of whether the job offer met the definition of a bona fide job offer.

2) All contacts with the employer.

3) Contact log documenting all contacts with the participant.

4) A copy of the communication form sent to the EW to sanction the case.
H. Documentation Required for Termination of Employment, Reduction in Wages or Refusal of a Bona Fide Offer of Increased Work Hours

1) Description of the job, including OJT employment, and circumstances surrounding the termination of employment, reduction in earnings or refusal of increased work hours.

2) Contact log documenting all contacts with the participant.

3) A copy of the communication sent to the EW to sanction the case.

I. Advance Notice of Proposed Action to Sanction

1) This notice is sent to participants who do not comply with the VIEW program requirements. It provides notification that the TANF benefit will be suspended. This notice is required prior to sanctioning.

2) Upon determination to sanction the client for noncompliance, the ESW must take action to begin the sanction process. Based on agency procedures, the ESW will either send the client the VIEW Notice of Sanction/Termination (032-02-0307) or the Advance Notice of Proposed Action within 3 business days of the missed appointment. Alternately, the ESW will immediately notify the EW who will send the ANPA within 3 business days of receipt of the notification.

3) The ANPA will inform the participant of the specific requirement which was not met, and advise the participant to contact the ESW within 10 days from the date the Notice was mailed in order to establish good cause and prevent suspension of the TANF grant.

   a) The Notice will give the participant at least 10 days from the date the ANPA is mailed to provide good cause. If the participant does not respond to the ANPA by the date given, she will be sanctioned.

   b) If the participant responds to the ANPA, the information becomes part of the documentation needed to determine if the sanction will be imposed. If the participant does not present good cause, she will be sanctioned.

J. Sanction Procedures

1) In agencies in which both the VIEW program and TANF benefits are not managed by one case manager, the ESW will advise the EW that a sanction is required, when to impose a sanction, and which sanction to impose. An automated message is sent to the EW via the automated system to impose the sanction and a manual communication form should be sent. The EW will send the participant the Advance Notice of Proposed Action to affect payment which explains the reason for the sanction, the amount of benefit reductions to be imposed, and the duration of the sanction. At agency option, the VIEW Notice of Sanction/Termination may be sent prior to referral of the case to the EW for sanction.

2) For the purposes of recording and establishing sanctions, the sanction period begins on the date the participant was in noncompliance. This date is recorded in ESPAS as the date of referral for sanction. The effective date on the Advance Notice of Proposed Action
is the beginning of the sanction period for purposes of suspending assistance. This date will be entered in ESPAS as the closure date of the VIEW enrollment.

3) The sanction will be imposed the first month following the month in which the case was referred for sanctioning, if administratively possible. If not, the sanction will be imposed the following month.

4) **If the participant requests that the TANF case be closed following the referral of the case for sanctioning, the EW will enter the sanction in ADAPT prior to closing the case.**

5) In an open TANF case, if the recipient terminates employment, the EW may obtain the information first. If so, the EW will notify the ESW. The ESW will contact the employer and/or participant to determine if sanctioning is appropriate.

6) If a non-parent caretaker is subject to a VIEW sanction, the caretaker is to be removed from the TANF grant and the VIEW enrollment closed. The non-parent caretaker will not be added back to the TANF grant during the current period of TANF assistance. The caretaker may only be added to the grant after the TANF case closes and the caretaker completes a new application requesting assistance for herself and the child(ren).

K. Sanction Periods

A TANF or TANF-UP recipient will have her TANF grant suspended for the following periods:

1) For the first sanction, the grant will be suspended for a minimum period of one month and will continue to be suspended until the client complies.

2) For the second sanction, the grant will be suspended for a minimum period of three consecutive months and will continue to be suspended until the client complies.

3) For the third and subsequent sanctions, the grant will be suspended for a minimum period of six consecutive months and will continue to be suspended until the client complies.

4) A participant may perform a verifiable act of compliance during the fixed sanction period. The TANF payment, however, will not be reinstated until after the fixed sanction period has ended.

5) The months during which the participant is sanctioned will count toward the two year time period limitation. The “VIEW Sanction Reminder Notice” (032-03-643) will be generated by ADAPT 15 days prior to the end of the minimum time period for the sanction. A second notice will be generated 90 days after the first notice is sent. The notices will be sent to the local agency’s printer. The agency will send the letters to the participants. The notice can be located on the intranet at [http://www.localagency.dss.state.va.us/divisions/dgs/warehouse.cgi](http://www.localagency.dss.state.va.us/divisions/dgs/warehouse.cgi).

6) When an individual is receiving TANF and the category changes to TANF-UP or vice versa, the sanction count continues. For example, if an individual is sanctioned in a TANF case and the category changes to TANF-UP, the original sanction continues and must run its course in the TANF-UP case. Any new sanctions the individual incurs as a recipient of TANF-UP count as being in addition to the sanctions the individual received while being required to participate as a TANF case. If the sanctioned individual leaves one TANF-UP assistance unit...
and becomes a member of another TANF-UP assistance unit, the sanction will follow that individual. The sanction will not remain imposed on the assistance unit the individual left. Only one assistance unit at a time will incur a sanction created by the same individual.

7) The ESW will advise the EW of the effective date on which to lift a sanction. Sanctions cannot be lifted during the fixed period but an act of compliance may be completed or proof of exemption may be provided. If a participant provides verification that he has become exempt during the fixed sanction period and the exemption still exists at the end of the fixed period, the sanction will be lifted as of the date the fixed sanction period ends. If a participant completes an act of compliance, the sanction will be lifted as of the date the fixed sanction period ends.

Additionally, when a participant provides verification that he has become exempt after the fixed sanction period has ended, the ESW will notify the EW to lift the sanction as of the date the exemption was verified. When a participant complies after the fixed sanction period has ended, the ESW will notify the EW to lift the sanction as of the date of compliance.

8) The EW will impose the sanction even if a participant becomes exempt after the Advance Notice of Proposed Action has been sent to the recipient. There are two exceptions to this rule:

a) If it can be established that the participant actually became exempt during the time she was required to participate, and verification is received before the sanction is imposed, the EW will not impose the sanction. However, this information must be communicated in writing to the ESW for final determination.

b) If the participant who has been referred for the first sanction obtains and verifies full-time employment (at least 30 hours per week and at least minimum wage) prior to the effective date of the proposed sanction, the EW will not impose the sanction. The ESW will remove the sanction referral information from ESPAS. The ESW must advise the EW of this information so the sanction screen can be deleted in ADAPT as well. If the client is referred for sanction in the future, it will be a referral for the first sanction.

9) If an individual changes assistance units, the sanctions received in prior assistance units follow the individual. In other words, changing assistance unit does not remove the sanction from the individual's past record. For purposes of recording sanctions in ESPAS, the sanction information should be entered on the referral record for the individual who incurred the sanction.

Example: TANF-UP household with two mandatory participants. Caretaker 1 (“Mom”) is referred for sanction. Caretaker 2 (“Dad”) has participated in VIEW as required and remains in compliance. The sanction referral data is entered only on the VIEW enrollment for Mom. Her enrollment is closed when the sanction becomes effective. In order to provide ongoing services to Dad during Mom’s sanction period (which will suspend the TANF case for the household), the VIEW enrollment for Dad will remain open. If
Mom later leaves the home, the TANF case will be reinstated for the remaining household members **the following month after the sanction month** and Dad will continue to participate in VIEW.

Note: If Mom moves into another TANF household or applies for assistance, she will still be subject to the sanction she incurred while residing with Dad.

10) Beginning 1/1/12, participation in the SNAP Employment and Training program (SNAPET) is voluntary. If an individual fails to participate in the SNAPET program, the household’s SNAP benefits will not be reduced the SNAPET enrollment will simply be closed and all SNAPET supportive services will be terminated. Due to this elimination of sanction in the SNAPET program, VIEW participants who are subject to a VIEW sanction will no longer be subject to a comparable sanction for SNAP purposes.
A. Compliance occurs when the participant who failed to comply and has been sanctioned performs a verifiable act of compliance to lift the sanction during or after the fixed sanction period. A verifiable act of compliance for the participant will be either continuing in, or completing an assigned activity.

When the TANF case closes with a sanction in place: If the TANF case is closed during the sanction period, the act of compliance may be met during the pending status of a reapplication. The client is responsible for contacting the ESW to learn how she can comply with program requirements.

Once the client has complied, the ESW will communicate this information to the EW as soon as possible. If the minimum fixed period has not passed at the time the client complies, the sanction will be lifted effective with the end of the fixed period. (Note: If the case is approved in a sanction, and the payment suspended, each of the months of suspended payment, including a partial month, will count toward the fixed sanction period.) If the minimum fixed period has passed once the client complies, the sanction will be lifted effective with the date of compliance.

If the TANF case is approved, the ESW will complete an assessment and have the client sign a new APR and complete a new Activity and Service Plan. The new APR will show the months of VIEW eligibility remaining. The ESW will open a new enrollment in ESPAS with the new assessment date as the start date. As part of the ESPAS data entry, the ESW will review the VIEW clock and make adjustments if needed. The ESW will send a communication form requesting that the EW update AEGNFS with the new APR date and run ED/BC.

If the client complied but the TANF application was not approved, the ESW will document the compliance in the record.

When the TANF case remains open during the sanction: If the case was open at the time the client complied, the ESW will reassess the client and advise the client of the number of months of VIEW eligibility remaining and complete a new Activity and Service Plan. A new enrollment will be opened in ESPAS with the new assessment date as the start date. The ESW will review the VIEW clock and make adjustments if needed.

If the individual is applying for SNAP as well as TANF, the TANF sanction is not necessarily cured by complying with SNAPET requirements. The individual must complete an act of compliance that matches the reason for the VIEW sanction. If that action is no longer available or appropriate, any other verifiable act of compliance deemed acceptable by the ESW will cure the sanction. This determination should be made on a case-by-case basis.

A TANF-UP case that is referred for sanction or in a sanction may not switch the individual who is participating in VIEW to avoid or cure the sanction. Once the sanction is cured, and the recipients wish to change the VIEW participant, they may do so upon request and after advisement from the ESW or EW.

Supportive services may be provided to a participant during the time she is performing a verifiable act of compliance. (See 1000.12C for guidelines). Ongoing supportive services may also be provided to the other mandatory participant in a TANF-UP household who has continued to comply even when the sanctioned participant remains in the fixed period of sanction. Reasonable accommodations must be provided to individuals with verified disabilities during the time they are performing verifiable acts of compliance and to make it possible for individuals to perform verifiable acts of compliance.
1. Employment which meets the following conditions represents a verifiable act of compliance for all situations: the employment is verified, it was obtained after the sanction was imposed, it is for 20 hours per week or more and pays at least minimum wage, it continues for at least two weeks after the client reports the job to the agency, and the client is still employed at the end of the fixed sanction period. The participant is still required to comply with other program requirements in conjunction with employment when applicable.

2. A verifiable act may be defined in these situations as follows:
   a. For failure or refusal to report for an appointment or required interview (excluding the initial assessment interview) - keeping another scheduled appointment or interview.
   b. For failure or refusal to complete and/or return forms or other information to the agency by a required date - returning and/or completing the required form or other information.
   c. For failure or refusal to begin, to continue in or participate in an assigned activity - beginning, continuing in or participating in an activity for up to two weeks to show a good faith effort to comply.
   d. For failure or refusal to complete an assignment to a program activity - completing an assignment.
   e. For failure or refusal to obtain or accept employment – if the client obtains employment during the sanction, the employment must be maintained through the end of the sanction period.
   f. If the assignment from which a participant has been sanctioned is no longer available or appropriate, compliance may consist of participating in or completing a different activity. In the case of a participant who was sanctioned for failure to participate in her CWEP or PSP assignment, the client will be allowed the opportunity to develop her own worksite in order to comply.

B. The Activity and Service Plan should reflect the activity the client is to complete in order to comply and the date by which the activity is to be completed. The information from the Activity and Service Plan developed to assist the client in complying with program requirements will not be entered into ESPAS. Once the participant has performed a verifiable act of compliance (with the exception of compliance based on employment), the sanction is lifted at the end of the fixed sanction period, or retroactively to the date the participant complied if compliance was after the end of the fixed period.

C. Effective Date of Compliance:
   1. The effective date of compliance for an appointment/interview or for forms/other information not completed or returned to the agency, is the date the client keeps the appointment, participates in the interview, or completes/returns the forms/information.
   2. Compliance for a program activity must meet the conditions for a verifiable act of compliance outlined in 1000.21A. Once those conditions are met, the effective date of compliance for activities other than employment is the date the client completed the activity.
3. For employment that meets the conditions for a verifiable act of compliance outlined in 1000.21A, the effective date of compliance will be:
   a. the end of the fixed sanction period, or
   b. the date the participant complied, if compliance was after the end of the fixed sanction period, or
   c. the date the employment was verified, whichever comes last.

EXAMPLE: The client is in a one month sanction for the period 1/1 through 1/31. On 1/12, the client reports that she has found employment and is asked to submit verification of her employment, wages and hours. She does not submit the required verifications until 2/6. If the client’s employment meets the conditions outlined at 1000.21A(1), the EW will be notified and the sanction will be lifted effective 2/6 with benefits prorated for the balance of the month.
1000.22 Transitional Services

Former VIEW participants are eligible for transitional services once they leave TANF, either because they have reached the end of the two-year time period, or because the TANF case has closed for another reason. Except for Transitional Education and Training (TET), eligibility for specific transitional services is based on the client’s employment status. During the first 3 months after TANF case closure, a client may receive transitional services, with the exception of TET or a VTP, if otherwise eligible, even if the case was referred for a VIEW sanction, or closed while in a VIEW sanction. For a two parent household with both parents enrolled in VIEW, the participant’s eligibility for transitional supportive services listed in 1000.22A will be evaluated on an individual basis. This may result in one parent receiving these services while the other parent is ineligible due to sanction.

Eligibility for transitional services starts the first day of the month after TANF case closure and may continue through the last day of the third month after TANF case closure, or through the last day of the twelfth month after TANF case closure, depending upon the specific transitional service. Note: an individual who is participating in VIEW while residing in a two parent household will not be eligible for transitional services if he leaves the home.

An ESPAS record must be opened for Transitional Transportation (TT), TET, and VTP. ESPAS is accessed through the ADAPT main menu, option 14. For detailed instructions, refer to the ESPAS Manual at http://localagency.dss.virginia.gov/support/adapt/files/espas/espasmanual.pdf.

If a client with a closed TANF case reapplies and is found eligible for TANF, she will no longer qualify for transitional services. VTP enrollments are closed at reapplication rather than at TANF case approval and are not reopened even if the application is denied. Clients who are referred to or volunteer for VIEW after TANF case approval are eligible for VIEW supportive services. (See 1000.12). If the TANF case closes again, the client may again be eligible for transitional services.

The local agency should include guidance regarding the use of, and any limitations on, transitional services in its Standard Operating Procedures contained in the VIEW Annual Plan. The ability of a local agency to pay for transitional supportive services and TET is based on the availability of VIEW funds.

Non-parent caretakers whose needs have been removed from the TANF grant for any reason (e.g. noncompliance, excess income for an AU of 1, etc.) are not eligible to receive transitional services if they are still receiving a TANF payment for the child.

A. Transitional Supportive Services

1. Transitional Child Care Paid From Child Care Funds – Twelve Month Maximum. Child Care assistance may be provided for up to twelve consecutive months, after the TANF case closes, to any former TANF recipient (VIEW or non-VIEW) who meets the eligibility requirements outlined in Child Care guidance (Vol. VII, Section II, Chapter D). Child Care can be provided for employment, and, if allowable by Child Care guidance, for education. Transitional Child Care can start no earlier than the first day of the month after the month of TANF case closure. The eligible participant will be required to pay 5% to 10% of monthly gross income toward the cost of child care. The exact amount of the co-pay, which will be based on family size and income, will be determined by the Child Care worker.
2. **Transitional Child Care Paid From VIEW funds – 3 Month Maximum.** If the participant is determined ineligible for transitional child care based on income, and needs child care in order to work, the agency may pay for child care from VIEW funds for up to 3 months beginning with the month after TANF case closure. The client will not have to **make a co-pay** but will be required to pay any amount over the maximum reimbursable rate.

3. **Transitional Medical/Dental Services – 3 Month Maximum.** VIEW funds may be used to pay for transitional medical/dental expenses for a working client for up to 3 months, beginning with the month after TANF case closure, when the services relate directly to employment. Payment for medical/dental services will be limited to services not covered by the state Medical Assistance Plan (Medicaid). Services include medical statements or other necessary medical verifications, dentures, glasses, orthopedic shoes, or other items needed to maintain or upgrade employment.

4. **Transitional Work-Related Expenses – 3 Month Maximum.** VIEW funds may be used to pay for transitional work-related expenses for a working client for up to 3 months, beginning with the month after TANF case closure, when the services will help the client retain or upgrade employment. Transitional Work-Related Expenses include one-time and on-going expenses. Examples of work related expenses include: fees for birth certificates, professional and license fees; registration/graduation fees; picture IDs; uniforms or other required clothing or shoes; safety equipment or tools; car repairs and insurances.

5. **Transitional Emergency Intervention Services – 3 Month Maximum.** VIEW funds may be used to pay for transitional emergency intervention services for up to 3 months, beginning with the month after TANF case closure, to help a working client experiencing an emergency situation retain employment. Examples of emergency intervention services include the provision of food or help with shelter costs when the need for such services arises from an emergency situation and the client’s employment will be jeopardized if the services are not provided. Automobile expenses are not allowable as an emergency intervention service.

6. **Transitional Transportation – Twelve Month Maximum.** Transitional Transportation may be used to pay for any employment-related transportation expense for working clients which is allowed under VIEW guidelines for open TANF cases. (Section 1000.12 contains guidance about the provision of transportation services as a supportive service for participation in any VIEW assignment. Transitional Transportation is limited to transportation related to paid employment, including OJT, only.)

   A former VIEW participant may apply for transitional transportation any time during a fixed 12-month period following TANF case closure. A client who applies after the 12-month period has started will be eligible only for the remaining months in the period.

   A client whose case was referred for a VIEW sanction, or closed while in a VIEW sanction, must have or find employment of at least 20 hours a week at minimum wage or greater within 3 months of TANF case closure in order to be eligible for Transitional Transportation.
Evaluation of continued eligibility and the need for transitional transportation will be made every 6 months. Minimally, the re-evaluation will verify the former VIEW participant’s employment hours. The client’s failure to respond to requests for information will result in termination of transitional transportation services. Adequate documentation supporting reasons for termination shall be filed in the case record. When Transitional Transportation services are terminated, a written VIEW Transitional Transportation Notice of Action (032-03-0901) or letter providing the same basic information, must be sent at least 10 days in advance of the effective date of action.

B. VIEW Transitional Payment (VTP)

1) Eligibility for VTP

The VTP is an incentive payment designed to encourage job retention. The VTP will be provided to employed VIEW participants whose TANF case is closed for any reason, except no eligible child in the home or unable to locate, and whose case is not in a VIEW sanction or referred for a VIEW sanction or in an IPV. Neither participant in a TANF-UP household is eligible for a VTP payment if the other participant is in a VIEW sanction or has been referred for a sanction.

The case must contain at least one VIEW participant who at time of TANF case closure was employed at least 30 hours per week with hourly wages of at least the current federal minimum wage. The VTP payment for one participant is $50. When both parents in a TANF-UP case are VIEW participants and are each employed at least 30 hours per week with hourly wages of at least the current federal minimum wage, the payment is $100. If one parent leaves the two-parent household, the payment will be reduced to $50 dollars.

The VTP is initiated in ADAPT by the EW. A onetime notice is sent to the client by the EW that informs the client that her case is eligible for the payment, the reason for the payment and conditions that will terminate the payment. (See 901.13.) Once the EW opens a VTP, an ALERT will be sent to the VIEW worker informing her that a VTP is open in ADAPT and to open a VTP record in ESPAS. The VTP should be opened within 5 days, but no later than 30 days after the effective date of the TANF case closure.

The VIEW worker must have a copy of the previous month’s pay stubs or verification of employment (not over four weeks old) before opening the VTP record. If the worker does not have the previous month’s pay stubs or verification of employment, the ESW is to get the pay stubs or verification from the EW. The number of pay stubs will vary based on the client’s pay schedule. Once the VTP is opened in ESPAS, the VIEW worker is to send the VIEW participant a new Activity and Service Plan with the appropriate boxes checked for VTP.

If the VIEW worker determines the EW opened the VTP in error (Example: client not working 30 hours per week at federal minimum wage) the VIEW worker is to immediately send a communication to the EW to close the VTP and provide the reason. The VIEW worker should leave the VIEW record open and continue regular job follow-up if necessary.
If a client who is approved for a VTP appeals the TANF case closure and requests that the TANF grant be reinstated during the appeal, the VTP will be stopped. In the event that the client would like to continue participating in VIEW during the appeal and no VTPs have been issued, the VTP will be closed using closure code 22. This closure code will allow the ESW to then reopen the previous VIEW enrollment. If the client loses the appeal and the TANF case is closed, the EW will again evaluate eligibility for a VTP following VTP guidelines. If the client is eligible for VTP, the 12 month VTP eligibility period will begin the month after the second TANF case closure.

If a client who is approved for VTP relocates to another locality in Virginia, the agency will transfer the VTP case. The Eligibility Worker in the receiving agency will determine if the client will continue to meet all of the VTP eligibility requirements after she relocates. If the client will no longer be eligible for VTP, the EW will send the client a Notice of Action regarding the VTP case closure.

2) When to open and close a VTP

a) VTP should be opened when:
   1. the client is in VIEW at TANF case closure;
   2. the TANF case is closed for any reason, except when no eligible children are in the home, or when the agency is unable to locate the client;
   3. the VIEW participant is employed an average of 30 hours or more per week;
   4. the VIEW client is earning the federal minimum wage or higher;
   5. the VIEW participant is not in a sanction or referred for sanction at case closure.

b) VTP must be closed when:
   1. the client reapplies for TANF;
   2. the VTP recipient fails to provide verification of employment by cutoff in month six of the VTP period;
   3. there are no eligible children in the home (including a child who is ineligible due to truancy);
   4. the worker is unable to locate the client;
   5. the client requests closure of the VTP;
   6. the client is no longer working or client’s hours decrease to less than 30 hours per week.
   7. the client’s wages decrease to less than the federal minimum wage;
   8. the VTP recipient moves to another locality that is not in Virginia; or
   9. the twelve-month VTP period ends.
3) VTP Follow-up

When the client is eligible for a VTP payment, regular job follow-up will end even if the **minimum three** monthly job follow-ups have not been completed. The worker will close the VIEW record with closure code 23, “eligible for VTP, close VIEW record.” The VIEW closure date should be at the end of the same month that the TANF case closes. The ESW will then open a VTP enrollment using the hours and wage information for the 1st job follow-up and for the 2nd, 3rd, 4th, 5th and 6th. The six job follow-ups will be entered at the same time. Enter the 1st job follow-up and transmit. Enter the 2nd job follow-up and transmit. Continue until all six have been entered.

Example: The ESW receives notification that the TANF case will close effective December 31st and the client is eligible for VTP. On or after January 1st, the ESW enters the December follow-up information, verifies the GT status of the TANF case, and then closes the regular VIEW enrollment in ESPAS. After the regular VIEW enrollment has been closed, the ESW will open a VTP enrollment using January 1st as the employment start date.

Note: Regular VIEW job follow-ups end when VTP follow-ups begin. Regular VIEW follow-ups made while the client had an open TANF case do not count toward the required number of VTP follow-ups.

**ALERT #1024** will remind the worker on the 1st day of the 5th month to send out the VIEW Transitional Job Follow-up letter for the 7th month. The letter is due back the 5th day of the 6th month. Access to the Job Follow-Up letter is from the ESPAS Main Menu Option 4. If the worker puts in one client ID, the letter will print for that client. If the worker does not put in a client ID, letters will print for all the VTP cases that will be due a 7th month job follow-up. On the 1st day of the 6th month **ALERT #1025** will remind the ESW to enter the 7th through 12th month job follow-ups if the client is still eligible for the VTP. The ESW must enter the 7th through 12th job follow-ups no later than the 15th day of the 6th month. If the job follow-ups are not entered in ESPAS by the 15th of the month, ALERTS will go to the EW on the 16th day instructing the EW to close the VTP in ADAPT effective the first day of the 7th month.

If the job follow-up is not returned or the job follow-up and documentation does not show the client is employed at least 30 hours per week earning at least the federal minimum wage, the payment is to stop. If the hours are less than 30 per week when the 7th job follow-up information comes back, close the VTP with closure code 20. The client is no longer eligible for the VTP. The ESW will also send an Employment Services Communication Form (032-02-0072-08) to the EW requesting her to close the VTP in ADAPT.

The VTP should be terminated and the communication form sent when employment ends, hours fall below 30 per week, wages decrease to below the current federal minimum wage, when the client changes jobs causing a break in employment which results in the average hours for the month falling below 30 per week, when the only eligible child leaves the home, and when the client does not send the job follow-up back by the due date with appropriate documentation. The VTP must also be terminated when the employment is with an educational or training institution that closes for the summer (longer than thirty days) and the client cannot work.

Official closures by educational or training institution employers for quarter or semester breaks (lasting less than thirty days) during which the client cannot work will not impact the VTP.

Once the client loses employment and the VTP is stopped, she continues to be ineligible for VTP as long as she is in the transitional period even if she becomes employed again.
C. Transitional Employment and Training Services (TET)

Transitional employment and training services are available for up to twelve months after TANF case closure for qualified VIEW participants contingent on local agency VIEW funding. All TET services must be approved by the ESW and detailed on an Activity and Service Plan following general guidelines at 1000.11(A). A new Activity and Service Plan will be completed whenever there is a change in TET assignments. Transitional employment and training services include all activities listed at 1000.13 and 1000.14 except FEP and CWEP. Participants receiving TET services may also be eligible for other transitional services listed at 1000.22 if they meet the eligibility criteria.

To qualify and continue to be eligible for transitional employment and training services, the following criteria must be met:

1. The activities are designed to maintain employment income, increase employment income or prevent the loss of employment income by the participant.

2. The participant was enrolled in the VIEW program at the time of case closure.

3. The TANF case of which the individual was a member is closed.

4. The case was not in a VIEW sanction or referred for VIEW sanction at the time of the TANF case closure.

5. Any activity to which the participant is assigned must be completed within 12 months or less.

6. If the TET participant is not employed, any transitional employment and training assignment must be designed to lead to employment within 60 days. If the individual is not employed at least 20 hours per week and earning at least minimum wage by the 60th day, the TET case must be closed.

7. Only individuals who have not completed an associate, four-year, or higher degree may participate in an education or training activity.

8. Education and training activities must prepare participants for jobs in the community or jobs projected to be available in the community.

9. Participants enrolled in education or training must meet the satisfactory progress requirements of the educational institution. For education below the post-secondary level, including ABE and GED, the individual must obtain one grade level increase every three months. Workers are to use VIEW attendance forms and review grades each grading period to monitor satisfactory progress.
1000.23- PARTICIPANTS WHO LEAVE THE VIEW PROGRAM AND RETURN PRIOR TO THE END OF THE TWO YEAR PERIOD

A. Participants returning to the VIEW program prior to the end of the 24 month time limit on TANF will be coded by the EW as priority referrals, and served as soon as possible. The ESW may waive the up front job search and place the participant directly into a work activity.

B. An individual whose case was closed while in a sanctioned status and who reapply and is a **mandatory** VIEW referral, must perform a verifiable act of compliance before a TANF payment may be issued.
1000.24 - HARDSHIP EXCEPTIONS

Exceptions to the two year limit on TANF assistance may be granted under certain circumstances which are specified by the Code of Virginia and outlined below.* (See 901.11 for reasons that the client might be eligible for assistance during the POI based on disability rather than hardship).

A. Application for An Exception - The client is notified that an extension of benefits is possible by the TANF 24-Month Advance Notice of Proposed Action (032-03-0368). This notice is sent by the eligibility worker 60 days prior to the end of the 24-month TANF eligibility period.

The ESW will explain the criteria for a hardship exception to all VIEW participants who are in the final two months of receipt of TANF. However, the ESW is only required to evaluate the individual for approval of a hardship exception when the participant provides a written request to be considered for an exception. The client must submit a signed and dated written request to the ESW, postmarked within the 60-day period prior to the effective date of TANF case closure shown on the notice, identifying the specific type of exception requested. The agency may assist a client who is illiterate in writing the request, but the request must be submitted timely and must be signed and dated by the client. An individual who has exhausted the 24-month TANF eligibility period and whose TANF case has already been closed may not apply for an exception.

B. Exceptions and Eligibility for TANF and VIEW - If a hardship exception is granted, TANF benefits will be issued for the period of the exception as long as all TANF eligibility factors continue to be met. The client will be a mandatory VIEW participant and will be eligible for supportive services.

C. Criteria for Granting Hardship Exceptions - Hardship exceptions may be granted under the following circumstances provided the client meets all general and specific eligibility criteria:

1. Exceptions of up to one year
   a. The client lives in an area of high unemployment.
   b. The client has been enrolled in employment-related post-secondary education or skills training unless the education or skills training was self-initiated.

2. Exceptions of up to 90 days
   a. The client is unable to find employment.
   b. The client has lost her job.

D. General Eligibility Criteria for Hardship Exceptions

In order to be considered for a hardship exception, the participant’s program participation must be evaluated. Determination must be made that:

1. The participant was not sanctioned more than one time for failure to satisfactorily participate in

* Code of Virginia 63.2-613
any assigned component activity while in the program. Assigned component activities must be reflected on the client’s Activity and Service Plan.

2. The participant was not sanctioned for leaving employment without good cause while enrolled in VIEW.

In the case in which a sanction was improperly imposed, including situations in which the sanction was the result of non-compliance caused by the verified disability of the participant or the verified disability of a household member in the care of the participant, the sanction will be removed and the participant may be considered for a hardship exception if otherwise eligible.

E. Conditions Under Which a Hardship Exception May Be Granted for Up to One Year

A hardship exception may be granted by the local agency for any period of time, up to one year, based on a lack of job availability or for completion of employment-related education or training if the client meets the general eligibility criteria outlined above. The client must participate in the VIEW program and carry out all program assignments. The hardship exception will be reevaluated every 90 days to ensure that the basis for the exception continues to exist and that the participant continues to meet all program and exception requirements.

1. Factors relating to job availability are unfavorable
   a. The client lives in an area where the unemployment rate has been 10% or higher for the six months preceding the client’s request for a hardship exception. Unemployment rate information is available from the Virginia Employment Commission and on SPARK at http://spark.dss.virginia.gov/divisions/bp/tanf/tools/view.cgi
   b. The client is registered with the Virginia Employment Commission, is assigned to a job search activity and to any other activity that the agency believes will facilitate employment, and is actively seeking employment.

2. The client is in an employment-related post-secondary education or training program which can be completed within one year
   a. Participants enrolled in a self-initiated education or training program that began prior to his/her entry into the VIEW program are not eligible for an education or training-related hardship exception.
   b. The participant must have been enrolled in employment-related post-secondary education or skills training for at least 9 of the previous 12 months, have been satisfactorily participating, and must be able to complete the course of study in no more than one year of full time enrollment if the exception is granted.

TRANSMITTAL 47
c. In the case of a participant with a verified disability, or a household member with a verified disability cared for by the participant, the participant must have been enrolled for at least 6 months out of the previous 12 months, have been satisfactorily participating for those 6 months, and be able to complete the course of study in no more than one year if the exception is granted. The ESW will work with the participant and the educational institution or skills training program to arrange any accommodations needed by the participant in order to complete the course.

d. For purposes of this hardship exception, the following education activities are not considered “employment-related education or training”: adult basic education (ABE), General Educational Development (GED), English as a Second Language (ESL, ESOL), High School.

F. Conditions Under Which a Hardship Exception May Be Granted for Up to 90 Days

A hardship exception of up to 90 days may be granted by the local agency based on the participant’s inability to find employment or loss of employment if the participant meets the general qualifying criteria outlined above.

1. The client is actively seeking but is unable to find employment
   a. The participant is enrolled in a job seeking activity and has been satisfactorily participating, but has been unable to find employment that, in combination with all other income (this includes earned and unearned income) or sources of assistance available to the individual, would pay an amount equal to or exceeding the TANF cash benefit plus a standard deduction of $155.

2. The client has been employed but has lost employment due to factors not related to job performance.
   a. The participant has applied for unemployment compensation from the Virginia Employment Commission and has been denied.
   b. The participant is able to provide a copy of the determination of ineligibility for unemployment compensation from the Virginia Employment Commission.
   c. The Virginia Employment Commission determination of ineligibility verifies that eligibility for unemployment compensation would have existed if the participant had worked sufficient hours to qualify.

G. Responsibilities of the ESW – Decision on Exception Request

1. The ESW will notify the participant within 5 working days that the request for a hardship exception as been received. The notification to the participant will provide the date by which a decision will be made. The date will be no longer than 30 days from receipt of the client’s hardship exception request.
2. The ESW will evaluate the request based on current guidance and will complete the Hardship Exception Determination Form (032-03-0376) and submit it to the Employment Services supervisor for approval of the recommended action.

3. The ESW will send the client a Notice of Hardship Exception (032-03-0377) notifying the client of the approval or denial of the hardship exception request, and the reason for approval or denial.

4. If the hardship exception request is denied, the notice will also inform the client of the TANF case closure date. **The client may appeal the denial of the hardship exception as well as the closure of the TANF case.**

5. If the hardship exception request is approved, the notice will explain the terms of approval including the begin (start) and end date of the exception. Additionally,
   
a. The ESW will determine the length of an employment-related education or training exception, up to a maximum one year, based on the time necessary for the participant to complete the course of study.

b. The ESW will determine the length of an exception based on an unfavorable labor market, up to a maximum one year, or on an exception based on unemployment or loss of employment, up to a maximum of 90 days, based on the client’s individual situation, local labor market considerations, and planned outcomes from program participation.

6. If the hardship request is approved, the notice will set a first exception reassessment date no later than 90 days after the date of the notice.
H. Responsibilities of the ESW – Management of Approved Exceptions - General

1. The ESW must monitor all approved exceptions in order to verify that the reason for the exception still exists and that the client continues to participate in assigned program activities. If the reason for the exception ceases to exist, or if the participant ceases to participate in assigned activities and would be sanctioned during regular program participation, the ESW will notify the EW who will send the client the Advance Notice of Proposed Action (032-03-0018) terminating the case at the earliest possible date.

2. At the same time, the ESW must attempt to contact the client immediately by letter and telephone to determine if the client has good cause for failure to participate in program assignments. If the client has a good cause reason for failure to continue with program assignments, and, in the case of a verified disability, if the reason for non-compliance can be remedied by reasonable accommodations, the agency may allow the client to continue in the activity. The ESW will notify the EW to not terminate the case.

I. Responsibilities of the ESW – Management of Approved Exceptions of Up to One Year

1. In addition to the general management expectations outlined above, the ESW must reevaluate each exception granted based on an unfavorable labor market or for employment-related education or training of up to one year at least every 90 days. In the case of exceptions based on employment-related education and training, the ESW will verify that the participant is still enrolled, is making satisfactory progress, and is anticipated to complete the course of study within the period granted by the exception.

J. Responsibilities of the ESW - Extension of Hardship Exceptions

Under some circumstances, a hardship exception of up to 90 days - based on a client’s failure to find employment or loss of employment - can be extended. In no case will an exception of up to one year based on an unfavorable labor market or for employment-related education or training be extended past the initial date.

1. The local agency may request an extension of a 90-day hardship exception on the behalf of the client. The agency will submit the written request to the Virginia Department of Social Services, Division of Benefit Programs, Economic Assistance and Employment Manager.

2. An extension can be granted only during, or as a continuation of, an existing hardship exception. 90-day extensions of the 90-day hardship exception will be granted only in very limited circumstances and only to persons who demonstrate extreme hardship. In no case will the hardship exception period (the original period plus any extensions) exceed a total of one year.

3. Prior to submitting a request for an extension, the ESW must reassess the client and assign the client to work experience, FEP, or job skills training in addition to a job search activity in order to maximize the client’s opportunity to find employment. The extension must be requested at least 15 days prior to end of the participant’s original hardship exception. The extension cannot be requested if the original exception period has ended and/or the TANF case is closed.
4. The agency may follow the same procedures and timeframes to request that the extension be renewed for subsequent periods of up to 90 days if it determines that the client will continue to face extreme hardship.

5. The local agency request for an extension of the 90-day hardship exception must include the following:
   a. The specific reason for the extension request
   b. The period of time for which the extension is requested
   c. Documentation that the client has satisfactorily participated in all assigned activities during the original exception period, and will encounter extreme hardship if TANF benefits are terminated
   d. A description of any individual or extenuating circumstances that the manager should consider in making the decision.

K. Responsibilities of the Economic Assistance and Employment Manager- Extension of Hardship Exceptions

The Economic Assistance and Employment Manager of VDSS will base the decision regarding extension of TANF benefits past the period of the original exception on the following:

1. The individual met all the general and specific criteria for receiving the original hardship exception

2. The agency placed the client in a job search activity, and in work experience, the Full Employment Program (FEP), or job skills training prior to requesting the extension and the client is participating in all assignments

3. The agency has demonstrated that the individual/family would suffer extreme hardship if benefits were terminated at the end of the exception period

The manager will examine each request separately and act to grant or deny the request for extension within 5 days of receiving the request. The manager will notify the client and the agency of its decision regarding the request for an extension.

The manager will follow the same procedures and timeframes to review and act on requests for renewal of the extension period.
1000.25 - TRANSFERS

A. The ESW will transfer within five working days from the date of notification, the entire VIEW record of TANF or TANF-UP participant who moves from one locality to another.

B. Whenever possible, the benefit and the VIEW record should be transferred together.

C. All service supplements should be updated and closed prior to case transfer.

D. When a VIEW case with no earned income and not in sanction transfers to another agency, the VIEW clock stops. The ESW must complete an assessment prior to re-starting the clock. The reassessment must be completed within 2 weeks of the receipt of the transfer in case. The receiving agency is responsible for adjusting the clock.

E. When a case with earnings, or one which is in sanction, transfers to another agency, the 24-month clock continues, to advance.

F. The 60-month clock continues to advance.
1000.26 - APPEALS

A. All participants have the right to appeal an agency action to suspend or terminate the TANF payment. The ESW's decision to refer a participant to the EW because of non-compliance will result in such an action.

B. The EW must notify the participant in writing through use of the Advance Notice of Proposed Action every time an adverse action is taken.

C. The notification and fair hearings procedures in the TANF Manual, Sections 401.4 and 104-106, will govern all appeals to ensure fair hearings for actions proposed or taken by the agency as a result of noncompliance with VIEW requirements.

D. If the participant files a valid appeal and requests a hearing, as determined by the hearings officer, the TANF or TANF-UP grant may be reinstated until a decision is rendered by the hearings officer.

If the appeal is of a VIEW sanction and the TANF grant is reinstated, the months which pass while awaiting the appeal decision must be added to the 24-month clock. These months will remain on the clock regardless of the appeal decision (105.2). Additionally, the TANF assistance granted during the appeal of a VIEW sanction is not considered an overpayment when the hearing decision is adverse to the recipient. The unsuccessful appeal simply delays the imposition of the VIEW sanction and the consequent loss of benefits to the household.

E. Workers may continue to work with participants during an appeal.
1000.27 - HEARINGS

A. The ESW must follow these procedures for all appeals involving VIEW sanctions:
   
   1) The ESW will be notified of the date and time of the appeal hearing by the hearings officer.
   
   2) The local department is responsible for assuring that a representative of the VIEW Program is present during the appeal hearing.
   
   3) Eligibility and Employment Services staff must jointly prepare the summary of facts, which must include both eligibility and participation issues. Additional procedures for fair hearings are found in the TANF Manual, Sections 104 – 106.
   
   4) The ESW will send a copy of the hearing decision to the TANF/VIEW Field Consultant.

B. The ESW must carry out the appeal decisions as follows:

   1) If the agency's action is reversed, the ESW must remove the sanction and review the participant's Activity and Service Plan to determine the appropriate component assignment.
   
   2) If the agency's action is sustained, the sanction is imposed and the VIEW case is closed for the required period of time.
1000.28 - CONTRACTS

Agencies may enter into financial agreements with individuals or organizations to operate portions of their Employment Services program. Agencies are bound by State statutes set forth in the Virginia Public Procurement Act and by any local procedures that may supplement the Act. Contracts made with other state entities, including community colleges and Work Force Investment Act (WIA) agencies are not subject to the requirements of the Virginia Public Procurement Act, but may be subject to local procurement procedures.

A financial arrangement between a local social service agency and any other entity for the provision of VIEW activities and services is a contractual relationship and can be entered into only if the standard contract format in Appendix B is used. No other agreement or written arrangement, including an Agreement of Cooperation or a Memorandum of Understanding, can be substituted for the use of the standard contract.

Contracts negotiated at the time the VIEW Annual Plan is developed will be sent to the agency’s TANF/VIEW Field Consultant with the VIEW Plan. Contracts developed outside this timeframe will be sent to the Field Consultant as soon as the contract has been signed by both parties. The VIEW Plan will be modified as necessary and sent to the Field Consultant.

The Field Consultant will provide technical assistance to the agency in developing and/or negotiating contracts as needed.

A. Consideration in Contracting

Prior to contracting, the agency must determine what is to be contracted and why. The agency must determine that the contractor can provide services of an equal or higher quality and/or at a lower cost than the agency itself. Care should be taken to insure that the contract represents an extension of services, rather than compensation for services previously provided at no cost. If the contract is with an agency or organization that serves TANF recipients or other economically disadvantaged populations, the contract must contain a certification from the provider that the services being contracted for are not otherwise available from the provider at no cost.

B. Services That Can be Contracted

Any program activity or service may be contracted as long as the agency is able to justify the contract in terms of quality of services, cost, and anticipated outcomes. However, any contract that includes initial client assessment normally the responsibility of an ESW, and/or overall on-going case management of all or part of the agency’s VIEW population, must have prior approval by the Field Consultant in consultation with the Home Office.

C. Selection of Service Providers

When selecting service providers, the local agency must take into account such things as the past performance of the contractor in providing similar services, the contractor's demonstrated effectiveness, fiscal accountability, cost efficiency and other factors which the local agency determines are appropriate. A process must exist that documents these factors were considered.

D. Contract Outcomes

The contract should be written so that acceptable performance and outcomes are clear to both parties. Additionally, the contract should make clear how outcomes will be measured and with what frequency. Success should be defined incrementally and in terms of completion.
E. Payment and Reimbursement

The specific terms of contract reimbursement are part of the contract negotiation process and a budget along with payment for a contract should always be linked to contract performance. Payments are typically prorated according to quantifiable rates of progress and/or performance. Most of the time, expenses are submitted for reimbursement. Under specific but rare circumstances, advances are allowed. A detailed budget should be attached to the contract.

F. Contract Duration

Contracts can be negotiated for any period of time agreeable to both the agency and the contractor so long as they terminate by the end of the fiscal year. To allow local agencies maximum flexibility in operating the Employment Services Program, contracts may be negotiated for a period of six months (or less) rather than for a year. Agencies who choose to contract for 12 months and who later become dissatisfied with the contractor's performance may terminate the contract by providing notice as stated in the contract.

G. Contract Requirements

A contract is made up of the following elements; the approved contract format, a scope of services description for each service to be contracted including the costs for that service, and an overall program budget showing the contractor’s planned expenditures by category.

1) Format

The agency must use the standard contract format approved by the Attorney General's Office in contracting (See Appendix B, page 6 of this chapter). Other contracts can be utilized in addition, if required by the local government but the standard contract format must always be used. The contract must show the total cost for all contracted services between the agency and the contractor. If more than one service is to be provided, the separate cost for each should be included in the scope of services descriptions and detailed in the overall contract budget.

2) Scope of Services Description

Each service to be provided by the contractor must be described in full. Agencies contracting out more than one service will need to develop a scope of services description for each service.

The description must contain a:

a) Summary of activities included in the service;
b) Explanation of roles of the contractor and agency in providing the service;c) Explanation of the contractor's responsibility regarding required reporting;
d) Explanation of the agency’s responsibility in monitoring contract and terminating if necessary;

e) Description of the numbers and kinds of clients who will receive the service (age 25-35, volunteers, high school graduates, etc.);

f) Statement of the time frame for the service, including beginning and ending dates;

g) Description of the specific anticipated outcomes; and

h) Statement of the cost of the service;

H. Budget

Each contract must be accompanied by a budget for the entire contract showing the contractor’s planned expenditures by category.

I. Contract Monitoring

a) It is the responsibility of the local agency to monitor each contract on a frequent basis to ensure both that the terms of the contract are being met and that progress is being made toward achievement of the outcome goals. Monitoring may be carried out through review of reports made by the contractor and by contract site visits.

At a minimum, the agency will require the contractor to submit a monthly client specific progress report as well as quarterly reports and a final report. The quarterly report should include information on overall contract progress and identified problems as well as a report of client outcomes. The final report should provide an objective review of the overall program operations for the contract period as well as client specific outcomes/progress.

b) It is the responsibility of the local agency, based on information from its monitoring of the contract, to determine the appropriateness of future contracts with the same contractor. In all cases in which the agency plans to enter into a second or subsequent contract with a contractor, the final summary report must accompany the new Contract when it is submitted to the Field Consultant. In cases in which the final summary has not yet been completed, all monthly and quarterly reports to that date will be submitted instead.
1000.29 - RECORD RETENTION

The Code of Virginia 42.1-76 places authority to issue regulations concerning retention and destruction of records with the Library of Virginia (LVA). The LVA General Schedule No. 15 governs records maintained by local social service agencies, including VIEW client records. LVA General Schedule No. 02 governs locality fiscal records including purchase orders. While many records can be destroyed three years after case closure, there are exceptions to that general rule. These exceptions include situations involving audits, investigations, court cases, and fraud or overpayments related to supportive services among others.

Each local agency must designate a Records Officer who will be in charge of seeing that LVA regulations for record retention and destruction are followed. See http://lva.virginia.gov/agencies/records/retention.asp for information about establishing a Records Officer and to access the specific schedules for record retention and disposition. The Library encourages agencies to contact the Records Analysis Services section at 804-692-3600 with questions about records management.
Appendix A (VIEW Forms) removed 2/1/12. VIEW forms can be accessed at http://spark.dss.virginia.gov/divisions/bp/tanf/forms/
EMPLOYMENT SERVICES PROGRAM FORMS

Contract Development Checklist

Standard Contract
CONTRACTOR
PERIOD OF PERFORMANCE
AMOUNT:
SERVICES PROVIDED:

**CONTRACT DEVELOPMENT CHECKLIST**

<table>
<thead>
<tr>
<th>GENERAL CRITERIA:</th>
<th>YES</th>
<th>NO</th>
<th>COMMENTS:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agency has identified the services or administrative functions needed and the reason for contracting. i.e., LDSS’s work becomes more efficient.</td>
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<tr>
<td>The service is not available in the community free of charge, or at no cost to agency.</td>
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<tr>
<td>State or local Procurement procedures were followed. Note: If contracting with another State entity, agencies do not have to go out with an RFP.</td>
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<tr>
<td>If agency has previously contracted with the provider, the following have been evaluated:</td>
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<tr>
<td>Reports provided timely.</td>
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<td>Required outcomes met.</td>
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<tr>
<td>If not, section plan developed for improved performance.</td>
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<tr>
<td>Agency has developed internal procedures for screening and referral of customers to contractor. Staff and contractor have clearly defined procedures for handling absenteeism, lack of progress and other problems that may occur.</td>
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<tr>
<td>Agency has linked required levels of performance with payment (accepting a minimum number of referrals, placement of target population, payment at designated phases of the contract).</td>
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</table>
**SCOPE OF SERVICES INCLUDES:**

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
<th>COMMENTS:</th>
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</thead>
<tbody>
<tr>
<td>Explanation of the roles of the contractor and the agency in providing the service.</td>
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<td></td>
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<tr>
<td>Detailed summary of activities.</td>
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<tr>
<td>Explanation of the contractor's responsibility regarding reports.</td>
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<tr>
<td>Description of the numbers and kinds of customers who will receive the service. (i.e., age 25-35, volunteers, high school graduates, etc.).</td>
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<tr>
<td>Statement of the time frame for the service including beginning and ending dates.</td>
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<tr>
<td>Description of the specific outcomes anticipated for customers receiving the services, the number of participants to achieve those outcomes and the time frames outcomes will be achieved (i.e., average wage expected and the number obtaining employment, the number completing training, etc.).</td>
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<tr>
<td>Detailed breakdown of all cost associated with the provision of the service.</td>
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<tr>
<td>Description of the contract monitoring which will be carried out by the agency.</td>
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<tr>
<td>Definition of what will constitute acceptable performance by the contractor.</td>
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<tr>
<td>Description of the action taken both in regard to the contract and in regard to continuation of the service should performance be unacceptable.</td>
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</tbody>
</table>
PROGRAM COMPONENTS:
(Note: It is recommended that outcome measures for components should exceed that achieved by the agency without benefit of contracting.)

<table>
<thead>
<tr>
<th>JOB DEVELOPMENT &amp; JOB PLACEMENT:</th>
<th>YES</th>
<th>NO</th>
<th>COMMENTS:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of customers to be referred identified.</td>
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<tr>
<td>Outcome measures have been established for:</td>
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<tr>
<td>Percentage/number to be placed.</td>
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<tr>
<td>Average wage expected at placement or by end of follow-up.</td>
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<tr>
<td>Percentage to retain for 30/60/90 days.</td>
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</table>

JOB READINESS:

| Individual class size and total number of customers to be enrolled have been identified. |
| Outcome measures have been established for: |
| Percentage/number to complete the class. |
| Percentage/number to find employment within 30/60/90 days. |
| Retention services to be offered? (Optional) |
| If so, retention outcomes specified for 30/60/90 days. |

JOB SKILLS TRAINING:

<p>| Training is being offered for occupations in demand in the community. |
| Individual class size and total number of customers to be enrolled has been identified. |
| Outcome measures have been established for: |
| Percentage/number to complete the training. |
| Percentage/number to find employment within 30/60/90 days. |
| Retention services to be offered? (Optional) |
| If so, retention outcomes specified for 30/60/90 days. |</p>
<table>
<thead>
<tr>
<th>EDUCATION and TRAINING</th>
<th>YES</th>
<th>NO</th>
<th>COMMENTS:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individual class size and total number of customers to be enrolled has been identified.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tools/methods for measuring progress have been identified (i.e., receipt of GED, pretest and periodic documentation of progress at mid-contract and end of contract period, grade level attainment, completion of competencies).</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outcome measures have been established for:</td>
<td></td>
<td></td>
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<tr>
<td>Percentage/number to show progress or successfully complete the curriculum.</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Percentage/number to have satisfactory participation on a monthly basis.</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>COST EFFECTIVENESS:</td>
<td></td>
<td></td>
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<tr>
<td>Contract has been evaluated for cost effectiveness.</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>If applicable, contact cost per entered employment is equal to or less than the program cost (desktop review).</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>If applicable, contract cost per participant is equal to or less than the program cost.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>If the service cannot be provided at a lower cost, the degree of difficulty in working with the targeted customer population requires intensive services to produce desired outcomes.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
This Contract is made this _____________ day of _____________ 20 ___, by and between

__________________________
(herein referred to as the "Agency")

and

__________________________
(herein referred to as "Contractor").

In order to implement the Agency's Employment Services Program, the parties of this Contract agree as follows:

(1) **SCOPE OF SERVICES:** The Contractor shall provide the services to the Agency indicated in the Attachment.

(2) **TIME OF PERFORMANCE:** The services of the Contractor shall commence _____________ and terminate on _____________.

All time limits stated in this agreement are of the essence.

(3) **COMPENSATION:** The Contractor shall be paid by the Agency.

Total obligation of the Agency in all forms of compensation shall not exceed _____________ dollars.

(4) **CONDITIONS OF PAYMENT:** All services provided by the Contractor pursuant to this Contract shall be performed to the satisfaction of the Agency, and in accord with all applicable federal, state and local laws, ordinances, rules and regulations. Contractor shall not receive payment for work found by the Agency to be unsatisfactory, or performed in violation of federal, state or local laws, ordinances, rules and regulations.

(5) **LIABILITY:** The Contractor shall indefinitely, and hold harmless the Agency, and when applicable, its designated representatives, from any and all claims, suits, actions, liabilities and cost of any kind, caused by the performance by the Contractor of his/her work pursuant to this agreement.

Neither the Contractor, its/his employees, assignees or subcontractors shall be deemed employees of the Agency while performing under this agreement.

(6) **GENERAL PROVISION:** Nothing in this agreement shall be construed as authority for either party to make commitments which will bind the other party beyond the Scope of Service contained herein. Furthermore, the Contractor shall not assign, sublet, or subcontract any work related to this agreement or any interest he/it may have herein without the prior written consent of the Agency.

(7) **INTEGRATION AND MODIFICATION:** This Contractor constitutes the entire agreement between the Contractor and the Agency. Any alterations, amendments, or modifications in the provisions of this agreement shall be in writing, signed by the parties and attached hereto.

(8) **TERMINATION:** The Agency may terminate this agreement upon _____________ days written notice to the other party. Upon this termination for convenience, the Contractor shall be paid only for those additional fees and expenses incurred between notification of termination and the effective date of termination that are necessary for curtailment of its/his work under this agreement.
In the event of breach by the Contractor of this agreement, the Agency shall have the right immediately, to rescind, revoke or terminate the agreement. In the alternative the agency may give written notice to the Contractor specifying the manner in which the agreement has been breached. If a notice of breach is given and the Contractor has not substantially corrected the breach within ________________ days of receipt of the written notice, the Agency shall have the right to terminate this agreement.

In the event of recession, revocation or termination, all documents and other materials related to the performance of this agreement shall become the property of the Agency.

(9) COLLATERAL CONTRACTS: Where there exists any inconsistency between this agreement and other provisions of collateral contractual agreements which are made a part of this agreement by reference or otherwise, the provisions of this agreement shall control.

(10) NON-DISCRIMINATION: In his/its performance of this agreement, the Contractor warrants that he/it will not discriminate against any employee, or other person, on account of race, color, sex, religious creed, ancestry, age, or national origin.

(11) APPLICABLE LAWS: This agreement shall be governed in all respects, whether as to validity, construction, capacity, performance or otherwise, by the laws of the laws of the Commonwealth of Virginia.

(12) SEVERABILITY: Each paragraph and provision of this agreement is severable from the entire agreement; and if any provision is declared invalid, the remaining provisions shall nevertheless remain in effect.

(13) AUDIT: The Contractor shall retain all books, records, and other documents relative to this contract for five (5) years after final payment, or until audited by the Commonwealth of Virginia, whichever is sooner. The agency, its authorized agents, and/or State auditors shall have full access to and the right to examine any of said materials during said period.

(14) AVAILABILITY OF FUNDS: It is understood and agreed between the parties herein that the agency shall be bound hereunder only to the extent of the funds available or which may hereafter become available for the purpose of this agreement.

(15) RENEWAL OF CONTRACT: This contract may be renewed by the local agency upon written agreement of both parties for one successive year periods, under the terms of the current contract, and at a reasonable time (approximately 90 days) prior to the expiration.

(16) CHARITABLE CHOICE:* If this contract is with a faith-based organization, the participant has the right to refuse to actively take part in religious activities and can refuse the services of the faith-based organization.

* Public Law 104-193

SIGNATURES

Signature of Agency Representative ___________________________ Signature of Contractor Representative ___________________________

Name of Agency Representative ___________________________ (print) Name of Contractor Representative ___________________________ (print)

Date ___________________________ Date ___________________________
Standard Operating Procedures Guide...................................................................................................................

Obsolete
The VIEW Annual Plan template can be accessed at http://spark.dss.virginia.gov/divisions/bp/tanf/state_plans.cgi
VIEW BROCHURES

Have You Heard About Benefits For Working Families (B032-01-0155-05-eng) ................................................ 2

Leaving Welfare For Work Isn’t As Scary As It Seems (B032-01-0154-05-eng) ......................................................... 6

Your Success is Waiting for You (B032-01-0055-00-eng) .......................................................................................... 10
HAVE YOU HEARD ABOUT BENEFITS FOR WORKING FAMILIES???

MEDICAL ASSISTANCE/CHILDREN’S HEALTH INSURANCE

EARNED INCOME TAX CREDIT

FREE HELP WITH FILING TAX RETURN

SNAP (FOOD STAMPS)

CHILD CARE ASSISTANCE

ASSISTANCE WITH CHILD SUPPORT

READ ON TO LEARN ABOUT BENEFITS THAT CAN HELP LOW INCOME FAMILIES WITH CHILDREN!
• Medical Assistance/Children’s Health Insurance (doctor visits, medicine, hospital care, and checkups)
• Earned Income Tax Credit (more take home pay)
• Child Care Assistance
• SNAP Benefits (Food Stamps)
• Child Support

Families who get off of welfare because of work may still get family health coverage for parents and children for up to 1 year! It’s called Extended Medicaid.

After 1 year, depending on family income, the children are still likely to get health coverage through Virginia’s Children’s Health Insurance Programs.

Example: In 2010, a mother with two children under age 19 can have income of $2,823 a month and still get health insurance coverage for her children.

Children’s Health Insurance in Virginia Covers Children Under Age 19 Even When:

✔ parents live in the home.
✔ or both parents work.
✔ family is not receiving TANF.
✔ family has a car, a house and/or a savings account.

To obtain children’s health insurance, an application must be filed providing information such as the family’s income and the ages of the children. A family can apply at their local department of social services and, in some areas, they can apply at a regional hospital or health department or rural health clinic.
Low income families (with children) who work part time or full time can get **more take home pay** through the Earned Income Tax Credit (EITC). The amount of extra money depends on income and family size. In 2010, a family with two qualifying children can earn up to $40,363 a year and qualify for the EITC. A family does not have to owe any taxes to get the EITC.

**There are two ways a family can get the extra EITC money.**

✓ **can get all the extra EITC money when they file their federal tax return.**

OR

✓ **can get part of the extra EITC money in advance with each pay-check and the rest when they file their tax return.**

To get the extra money in advance with each paycheck, the employee must file Form W-5 with their employer. Employees can get Form W-5 from their employer or case worker. (It does not cost the employer any money because it is taken out of the employee's federal withholding taxes.)

**Example:** In 2010, a family with one or more children with gross income less than $35,535 a year could receive up to **$3,050** in extra EITC money. The family could get the **$3,050** when they filed their federal tax return or they could get **$152.50** per month and the remaining **$1,220** when they filed their federal tax return.

The EITC money is not counted as earned income when applying for Children’s Health Insurance, Temporary Assistance for Needy Families (TANF), Supplemental Nutrition Assistance Program (SNAP), Supplemental Security Income (SSI) or housing assistance.

To get the EITC a family **must** file a federal tax return. **FREE help is available to file tax returns.** Call the IRS at 1-800-829-1040 and ask where you can get help. (If it is busy, don’t give up - keep calling because it is worth it to get free help with your tax return!)
Assistance with child care may be available. A family with limited income may qualify for child care assistance. Due to limited funding, the family may be placed on a waiting list. A family can get information on child care assistance at their local Department of Social Services.

SNAP

Low income families may qualify for SNAP benefits while working full time. For example, in 2010, a family of three with gross income of $1,984 or less a month may qualify to receive SNAP benefits.

CHILD SUPPORT

The district Child Support Office can help custodial parents obtain child support payments from absent parents. They can also assist in obtaining medical support and in establishing paternity:
- A parent does not have to be on welfare to get help in collecting child support or to receive other child support services.
- There are no guarantees that money will be collected, but getting help from Child Support Enforcement can improve the chances of success.
- Services do not include custody, visitation or other matters.
- There is no charge for services provided by Child Support Enforcement.

(For more information, call your district Child Support Office.)

To learn more about benefits available for low income working families, call your local Department of Social Services or visit us on the Internet at www.dss.virginia.gov/benefit/.
LEAVING WELFARE FOR WORK ISN’T AS SCARY AS IT SEEMS

DID YOU KNOW YOU COULD WORK FULL TIME AND STILL RECEIVE SOME BENEFITS?

THE ANSWER IS YES!
WHAT ARE THE BENEFITS FOR FAMILIES WHO LEAVE WELFARE FOR WORK?

- Medical Assistance/Children’s Health Insurance (doctor visits, medicine, hospital care, and checkups)
- Earned Income Tax Credit (more take home pay)
- Child Care Assistance
- Supplemental Nutrition Assistance Program (SNAP)
- Child Support

HEALTH COVERAGE

Families who get off of welfare because of work may still get family health coverage for parents and children for up to 1 year! It’s called Extended Medicaid. After 1 year, depending on family income, the children are still likely to get health coverage through Virginia’s Children’s Health Insurance Programs.

Example: In 2010, a mother with two children under age 19 can have income of $2,823 a month and still get health insurance coverage for her children.

Children’s Health Insurance in Virginia Covers Children Under Age 19 Even When:

✓ parents live in the home.
✓ or both parents work.
✓ family is not receiving TANF.
✓ family has a car, a house and/or a savings account.

To obtain children’s health insurance for children, an application must be filed providing information such as the family’s income and the ages of the children. A family can apply at their local department of social services and in some areas they can apply at a regional hospital or health department or rural health clinic.
Low income families (with children) who work part time or full time can get **more take home pay** through the Earned Income Tax Credit (EITC). The amount of extra money depends on income and family size.

A family does not have to owe any taxes to get the EITC.

**There are two ways a family can get the extra EITC money.**

**They can get all the extra EITC money when they file their federal tax return.**

✓ can get part of the extra EITC money in advance with each pay-check and the rest when they file their tax return.

To get the extra money in advance with each paycheck, the employee must file Form W-5 with their employer. Employees can get Form W-5 from their employer. (The advance does not cost the employer any money because it is taken out of the employee's federal withholding taxes.)

**Example:** In 2010, a family with one or more children with gross income less than $35,535 a year could receive up to $3,050 in extra EITC money. The family could get the $3,050 when they filed their federal tax return or they could get $152.50 per month and the remaining $1,220 when they filed their federal tax return.

To get the **EITC** a family **must** file a federal tax return. **FREE help is available to file tax returns.** Call the IRS at 1-800-829-1040 and ask where you can get help. (If it is busy, don’t give up - keep calling because it is worth it to get free help with your tax return!)

**WHICH IS MORE?**

| In 2009, a parent (with two children) on welfare without a job and no other income could get $3,840 in TANF for the entire year. | If the same parent went to work earning $14,500 a year ($1,208 per month), the parent would get a pay check plus $5,028 in EITC money. |

There is more good news! The EITC money is not counted as earned income for Children’s Health Insurance, Temporary Assistance for Needy Families (TANF), Supplemental Nutrition Assistance Program (SNAP), SSI or housing assistance.
Depending on income, parents who get off welfare because of work may get some help with child care expenses for up to 12 consecutive months, beginning with the first month in which they are no longer on welfare! The parent must ask for help with child care expenses. It’s called Transitional Child Care (TCC).

After 12 consecutive months of being off welfare, the parent might still be able to get some help. The parent will still have to pay a fee.

SNAP

Parents who get off welfare because of work may still receive some assistance through the Supplemental Nutrition Assistance Program (SNAP).

Example: In 2010, a family of three with gross income of $1,984 or less a month may qualify to receive SNAP benefits.

CHILD SUPPORT

The district Child Support Office can help custodial parents obtain child support payments from absent parents. They can also assist in obtaining medical support and in establishing paternity.

- A parent does not have to be on welfare to get help in collecting child support or to receive other child support services.
- There are no guarantees that money will be collected, but getting help from Child Support Enforcement can improve the chances of success.
- Services do not include custody, visitation or other matters.
- There is no charge for services provided by Child Support Enforcement.

(For more information, call your district Child Support Office.)

SO, YOU SEE, FAMILIES DON’T LOSE ALL OF THEIR BENEFITS WHEN THEY LEAVE WELFARE FOR WORK. THEY MAY STILL GET:

- EITC Cash  
- Child Care  
- Medical Assistance/Children’s Health Insurance  
- Supplemental Nutrition Assistance Program (SNAP)

To learn more about leaving welfare for work (including getting child support), call your local Department of Social Services or visit us on the Internet at www.dss.virginia.gov/benefit/
Your Success is Waiting for You

READY FOR THE KIND OF LIFE YOU WANT YOUR FAMILY TO BE LIVING?

Let the VIEW Program — and your commitment to that life — take you there.

VIEW can offer:

✓ Help in finding jobs
   - to pay the bills
   - to start your career
   - you’ve dreamed about

✓ Worksite placements to learn new skills, help your community, and get good job references

✓ Help in finishing high school or getting your GED

✓ Help in starting or finishing a training program or college

VIEW can provide:

☆ A chance to work and still keep part of your TANF benefits when you’re getting started

☆ Help with transportation costs

☆ Help with child care costs

☆ Help with the cost of uniforms and supplies required by the job

☆ Help with medical and dental expenses

☆ Extra money for up to a year after you leave TANF with a job

☆ Someone to talk to and plan with; someone who’ll be there with encouragement just when you need it

Get started today! Sure, you’re busy. So are we. But, we’re talking about your future here! Don’t waste another day. Give us a call and get to work on your dreams. Your kids will thank you.

Telephone _____-_____

The Virginia Initiative for Employment not Welfare (VIEW) Program

______________ Department of Social Services
Virginia Department of Social Services
Temporary Assistance for Needy Families (TANF) Displacement Grievance Form
Virginia Initiative for Employment not Welfare (VIEW)

Date______________________

Name of Employee__________________________ Home Phone #__________________________

Address________________________________ City________________ State__________ Zip Code__________

Work Phone #_________________ Best Time To Call _______ A.M. _______ P.M. ________

Name of Employer__________________________ Phone #__________________________
(Whom grievance is filed against)

Employer's Address__________________________ Apt. #__________________________

Supervisor's Name____________________________

City__________________________ State__________ Zip__________

Brief description of grievance, include dates.________________________________________

________________________________________________________________________________

________________________________________________________________________________

________________________________________________________________________________

Employee Signature________________________ Date________________________

All of the above information is correct to the best of my knowledge.

Displacement means employing or assigning a Temporary Assistance to Needy Families (TANF) Community Work Experience Placement (CWEP), Full Employment Program (FEP) or other subsidized employment participant when: 1) The employer has terminated the employment of an employee, or the employee's current position, or otherwise caused an involuntary reduction in its work force in order to fill the vacancy with a subsidized participant, 2) An individual is hired while another person is on layoff, including seasonal layoff, from the same or substantially equivalent position; 3) The employer has reduced the hours of an employee in the same or substantially equivalent position to less than full time in order to employ or assign a subsidized participant; or; 4) The employment or assignment results in the impairment of an existing contract for services.* The Virginia Department of Social Services will act as a mediator to assist in resolving the grievance. Any suggestions made by the Department of Social Services are not binding to either party.

This form must be received by the Virginia Department of Social Services no later than sixty days after the alleged incident of Displacement.

Mail to: Virginia Department of Social Services
801 E. Main Street
TANF Unit 9th Floor
Richmond, Virginia 23219

* 45 CFR 261.70 (a)

TRANSMITTAL  43
Barriers to Employment
Barriers To Employment

All VIEW participants must be offered screening for learning disabilities, mental health disabilities, alcohol and substance abuse within 90 days of signing the APR. Participants whose screenings indicate the possible presence of a disability will, with the client’s agreement, be referred for an in-depth evaluation. A barrier code is entered into ESPAS after verification of the barrier by another agency or professional qualified to identify the specific barrier. Verified barriers to employment and their codes are listed below.

01- Learning Disability
02– Domestic Violence
03– Mental Health
04– Physical Disability
05– Substance Abuse
Coding of VIEW Components in ESPAS ................................................................. 2
CODING OF VIEW COMPONENTS IN ESPAS
(COMPONENT ASSIGNMENTS: CODING, REPORTING, and DOCUMENTATION)

<table>
<thead>
<tr>
<th>Examples of Possible Assignments</th>
<th>Type of Work Activity</th>
<th>How the Assignment Should Be Entered in ESPAS</th>
<th>How the Hours Will Be Reported in the TANF Data (Federal) Report</th>
<th>How the Hours Should Be Documented in the VIEW Case Record</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Core Work Activities</strong></td>
<td></td>
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</tr>
<tr>
<td>Job Search</td>
<td>Core</td>
<td>Assignments should be at least 20 hrs/ wk</td>
<td>Job Search and Job Readiness Assistance (this is one activity)</td>
<td>Job Search and Job Readiness Assistance (this is one activity)</td>
</tr>
<tr>
<td>Hours will be combined with Job Readiness &amp; Limited to 4 consecutive wks and 120/180 total hrs per 12-month period.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Individual</td>
<td>Core</td>
<td>Component 01; no descriptor.</td>
<td>Job Search and Job Readiness Assistance</td>
<td>Job Search form</td>
</tr>
<tr>
<td>Assignment will be based on contact hours.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Group</td>
<td>Core</td>
<td>Component 02; no descriptor.</td>
<td>Job Search and Job Readiness Assistance</td>
<td>Monthly attendance form completed by service provider/ESW and VIEW Job Search form</td>
</tr>
<tr>
<td>Assignment will be based on contact hours.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Job Club</td>
<td>Core</td>
<td>Component 03; no descriptor.</td>
<td>Job Search and Job Readiness Assistance</td>
<td>Monthly attendance form completed by service provider/ESW and VIEW Job Search form</td>
</tr>
<tr>
<td>Assignment will be based on contact hours.</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Job Readiness</td>
<td>Core</td>
<td>Component 04; no descriptor.</td>
<td>Job Search and Job Readiness Assistance</td>
<td>Monthly attendance form completed by service provider or</td>
</tr>
<tr>
<td>* May include substance abuse/</td>
<td></td>
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</tr>
</tbody>
</table>

Note: Assignments to JS/JR should only be entered in ESPAS when the hours will be used to meet the participant’s work requirement. If the hrs will not be needed to meet the requirement, the ESW should not formally assign the activity – instead the ESW should simply encourage the participant to complete these additional helpful activities.
<table>
<thead>
<tr>
<th>Examples of Possible Assignments</th>
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</thead>
<tbody>
<tr>
<td>mental health treatment.</td>
<td></td>
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<td></td>
<td>ESW</td>
</tr>
<tr>
<td>Hours will be combined with Job Search &amp; <strong>Limited to</strong> 4 consecutive wks and 120/180 total hrs per 12-month period.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Unsubsidized Employment – Full time</strong> <em>(at least 30 hrs/wk)</em></td>
<td><strong>Core</strong></td>
<td>Component 25; descriptor will be 033.</td>
<td>Unsubsidized Employment</td>
<td>At initial employment and every 6 months thereafter: Statement from Employer; or Paystubs; or Printout from the Work Number Monthly Job Follow-Up Contact form or VIEW Job Follow-Up form</td>
</tr>
<tr>
<td>Examples of Possible Assignments</td>
<td>Type of Work Activity</td>
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<td>-----------------------------------------------</td>
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<td>----------------------------------------------------------</td>
</tr>
<tr>
<td>Unsubsidized Employment – Part time</td>
<td>Core</td>
<td>When this is the only assignment, use Component 23 (Job Development &amp; Job Placement); no descriptor. Also, enter the employment information at the bottom of the screen.</td>
<td>Unsubsidized Employment *The Component 23 assignment is not reported but must be entered in the Assessment Data portion of the ESPAS screen to allow the ESW to enter the part time employment information at the bottom of the ESPAS screen. The part time employment hours will be reported based on the information entered at the bottom of the screen.</td>
<td>At initial employment and every 6 months thereafter: Statement from Employer; or Paystubs; or Printout from the Work Number Monthly Job Follow-Up Contact form or VIEW Job Follow-Up form</td>
</tr>
<tr>
<td>Unsubsidized Employment – Self Employment</td>
<td>Core</td>
<td>After calculating the number of countable hours (based on formula in 1000.13C, follow instructions for Full or Part time as shown above. Employment Type will be 6.</td>
<td>Unsubsidized Employment</td>
<td>At initial employment and every 6 months thereafter: Tax Records; or Third party documentation; or Proof of Business Expenses (including but not limited to receipts) Monthly Job Follow-Up Contact form or VIEW Job Follow-Up form</td>
</tr>
<tr>
<td>Examples of Possible Assignments</td>
<td>Type of Work Activity</td>
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<td>----------------------------------</td>
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<td>---------------------------------------------------------</td>
</tr>
<tr>
<td><strong>Subsidized Employment</strong></td>
<td>Core</td>
<td>Component 26; descriptor required. The first digit will always be 0; the last two will be chosen from the list on Page 10 of Chapter B. Employment Type will be 5.</td>
<td>Subsidized Private Sector Employment</td>
<td>At each assignment to FEP: Statement from Employer to verify wages and hours; or Paystubs; or Printout from the Work Number</td>
</tr>
<tr>
<td>* Full Employment Program (FEP) is the only subsidized employment assignment.</td>
<td></td>
<td></td>
<td></td>
<td>Monthly VIEW Attendance /Performance Rating Sheet and Job Follow-Up Contact form or VIEW Job Follow-Up form</td>
</tr>
<tr>
<td><strong>On-the-Job Training (OJT)</strong>*</td>
<td>Core</td>
<td>Component 19; descriptor required. Also enter as paid employment (either full time or part time depending upon OJT hours) at the bottom of the ESPAS enrollment.</td>
<td>On-the-Job Training</td>
<td>At initial employment and every 6 months thereafter: Statement from Employer; or Paystubs; or Printout from the Work Number</td>
</tr>
<tr>
<td>*OJT is always paid employment that includes training</td>
<td></td>
<td></td>
<td></td>
<td>Monthly Job Follow-Up Contact form or VIEW Job Follow-Up form</td>
</tr>
<tr>
<td><strong>Paid apprenticeship offered by college or training program</strong></td>
<td>Core</td>
<td>Component 19; descriptor required. Also enter as paid employment at the bottom of the ESPAS enrollment.</td>
<td>On-the-Job Training</td>
<td>At initial employment and every 6 months thereafter: Statement from</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*Note: Core Component 19 and 26 are required and always have a '0' as the first digit. The last two digits are derived from the list on Page 10 of Chapter B.*
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</tr>
</thead>
<tbody>
<tr>
<td>Paid College Work Study Programs offered by college or training program</td>
<td>Core</td>
<td>Component 19; descriptor required. Also enter as paid employment at the bottom of the ESPAS enrollment.</td>
<td>On-the-Job Training</td>
<td>Employer; or Paystubs; or Printout from the Work Number</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>At initial employment and every 6 months thereafter: Statement from Employer; or Paystubs</td>
<td>Monthly Job Follow-Up Contact form or VIEW Job Follow-Up form</td>
</tr>
<tr>
<td>Paid Internships offered by college or training program (Ex: student teaching)</td>
<td>Core</td>
<td>Component 19; descriptor required. Also enter as paid employment at the bottom of the ESPAS enrollment.</td>
<td>On-the-Job Training</td>
<td>At initial employment and every 6 months thereafter: Statement from Employer; or Paystubs</td>
</tr>
<tr>
<td></td>
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<td></td>
<td>Monthly Job Follow-Up Contact form or VIEW Job Follow-Up form</td>
</tr>
<tr>
<td>Examples of Possible Assignments</td>
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</tr>
<tr>
<td>Paid Sheltered Workshops</td>
<td>Core</td>
<td>Component 19; descriptor required with 5 at the first digit. Also enter as paid employment at the bottom of the ESPAS enrollment.</td>
<td>On-the-Job Training</td>
<td>At initial employment and every 6 months thereafter; Statement from Employer; or Paystubs Monthly Job Follow-Up Contact form or VIEW Job Follow-Up form</td>
</tr>
<tr>
<td>*Wages for these assignments may be less than minimum wage.</td>
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</tr>
<tr>
<td>Paid Training offered through WIA</td>
<td>Core</td>
<td>Component 19; descriptor required with 8 as the first Digit. Also enter as paid employment at the bottom of the ESPAS enrollment.</td>
<td>On-the-Job Training</td>
<td>Statement from WIA representative Paystubs</td>
</tr>
<tr>
<td>Paid (via stipend) AmeriCorps placement</td>
<td>Core</td>
<td><strong>Component 19; descriptor required. First digit of the descriptor will be 9. Also enter as paid employment at the bottom of the ESPAS enrollment.</strong></td>
<td>On-the-Job Training</td>
<td>Statement from AmeriCorps Coordinator; copy of AmeriCorps stipend agreement</td>
</tr>
<tr>
<td>Examples of Possible Assignments</td>
<td>Type of Work Activity</td>
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</tr>
<tr>
<td>Vocational Education and Training</td>
<td>Core</td>
<td>Limited to 12 months in a lifetime.</td>
<td>Vocational Educational Training</td>
<td>VIEW Education and Training Activities Attendance Report</td>
</tr>
<tr>
<td>Associate degree programs and post-secondary baccalaureate level programs directly related to employment (for up to 12 months). Note: This will include self-initiated education programs</td>
<td>Core</td>
<td>Component 09; descriptor required. First digit of the descriptor for Associate degree programs will be 3. First digit for descriptor for post-secondary baccalaureate level (4 yr) programs will be 2.</td>
<td>Vocational Educational Training</td>
<td>Statement from instructor to verify expected hours of unsupervised homework/study time</td>
</tr>
<tr>
<td>Certificate program (for up to 12 months)</td>
<td>Core</td>
<td>Component 10; descriptor required. First digit of the descriptor will be 3 or 4.</td>
<td>Vocational Educational Training</td>
<td>VIEW Education and Training Activities Attendance Report</td>
</tr>
<tr>
<td>Up to one hour of unsupervised study or homework time can be counted for each hour of scheduled class time.</td>
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<td></td>
<td>Proof of grades at the end of the semester/quarter/course</td>
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<td>Copies of Certificate(s) or Diploma received</td>
</tr>
<tr>
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<td>Type of Work Activity</td>
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<tr>
<td>Community Work Experience (CWEP)</td>
<td>Core</td>
<td>Component 21; descriptor required.</td>
<td>Work Experience</td>
<td>VIEW Attendance/ Performance Rating Sheet</td>
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<td></td>
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<td>Copy of VIEW Referral to Work Site form</td>
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<td>Public Service Program (PSP)</td>
<td>Core</td>
<td>Component 27; descriptor required.</td>
<td>Community Service Programs</td>
<td>VIEW Attendance/ Performance Rating Sheet</td>
</tr>
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<td>Copy of VIEW Referral to Work Site form</td>
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<tr>
<td>Examples of Possible Assignments</td>
<td>Type of Work Activity</td>
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<tr>
<td>Non – Core Work Activities</td>
<td></td>
<td>Assigned after minimum 20 hrs/wk in Core Work Activities</td>
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<tr>
<td>Job Skills Training</td>
<td>Non-Core</td>
<td>Component 18; descriptor required.</td>
<td>Job Skills Training Directly Related to Employment</td>
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<td></td>
<td>Non-Core</td>
<td>Component 18; descriptor required. Component 17 if self initiated.</td>
<td>Job Skills Training Directly Related to Employment</td>
<td>VIEW Education and Training Activities Attendance Report</td>
</tr>
<tr>
<td></td>
<td></td>
<td>First digit of the descriptor will be 3.</td>
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<td>Statement from instructor to verify expected hours of unsupervised homework/ study time</td>
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<td>Proof of grades at the end of the semester/ quarter/ course</td>
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<td>Copies of Certificate(s) or Diploma received</td>
</tr>
<tr>
<td>Post-secondary baccalaureate level programs directly related to employment (if cannot be assigned to a Vocational Educational Training activity due to 12 month lifetime limit)</td>
<td>Non-Core</td>
<td>Component 11; descriptor required. Component 16 if self-initiated.</td>
<td>Job Skills Training Directly Related to Employment</td>
<td>VIEW Education and Training Activities Attendance Report</td>
</tr>
<tr>
<td></td>
<td></td>
<td>First digit of the descriptor will be 2.</td>
<td></td>
<td>Statement from instructor to verify expected hours of unsupervised homework/ study time</td>
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<tr>
<td>Examples of Possible Assignments</td>
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<tr>
<td>or homework time can be counted for each hour of scheduled class time.</td>
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</tr>
<tr>
<td>Certificate program (if cannot be assigned to a Vocational Educational Training activity due to 12 month lifetime limit)</td>
<td>Non-Core</td>
<td>Component 18; descriptor required. Component 17 if self initiated. First digit of the descriptor will be 3 or 4.</td>
<td>Job Skills Training Directly Related to Employment</td>
<td>VIEW Education and Training Activities Attendance Report Statement from instructor to verify expected hours of unsupervised homework/ study time Proof of grades at the end of the semester/ quarter/ course Copies of Certificate(s) or Diploma received</td>
</tr>
<tr>
<td>Up to one hour of unsupervised study or homework time can be counted for each hour of scheduled class time.</td>
<td>Non-Core</td>
<td>Component 18; descriptor required. Component 17 if self initiated.</td>
<td>Job Skills Training Directly Related to Employment</td>
<td>VIEW Education and Training Activities Attendance Report Statement from instructor to verify expected hours of unsupervised homework/ study time</td>
</tr>
<tr>
<td>Individual courses or a series of short term courses</td>
<td>Non-Core</td>
<td>Component 18; descriptor required. Component 17 if self initiated.</td>
<td>Job Skills Training Directly Related to Employment</td>
<td>VIEW Education and Training Activities Attendance Report Statement from instructor to verify expected hours of unsupervised homework/ study time</td>
</tr>
<tr>
<td>Up to one hour of unsupervised study or homework time can be counted for each hour of scheduled class time.</td>
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<td>Examples of Possible Assignments</td>
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</tr>
<tr>
<td>Instruction in a second language for participants who have a GED or high school diploma</td>
<td><strong>Non-Core</strong></td>
<td>Component 18; descriptor required. Component 17 if self initiated.</td>
<td>Job Skills Training Directly Related to Employment</td>
<td>VIEW Education and Training Activities Attendance Report</td>
</tr>
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<td>Statement from instructor to verify expected hours of unsupervised homework/ study time</td>
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<td>Proof of grades at the end of the semester/ quarter/ course</td>
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<td>Copies of Certificate(s) or Diploma received</td>
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<tr>
<td>Up to one hour of unsupervised study or homework time can be counted for each hour of scheduled class time.</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Unpaid Internships offered by college or training program</td>
<td><strong>Non-Core</strong></td>
<td>Component 18; descriptor required.</td>
<td>Job Skills Training Directly Related to Employment</td>
<td>VIEW Education and Training Activities Attendance Report</td>
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<tr>
<td>Unpaid Practicum offered by college or training program</td>
<td><strong>Non-Core</strong></td>
<td>Component 18; descriptor required.</td>
<td>Job Skills Training Directly Related to Employment</td>
<td>VIEW Education and Training Activities Attendance Report</td>
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<tr>
<td>Job Corps</td>
<td><strong>Non-Core</strong></td>
<td>Component 18; descriptor required. Component 17 if self initiated.</td>
<td>Job Skills Training Directly Related to Employment</td>
<td>VIEW Education and Training Activities Attendance Report</td>
</tr>
<tr>
<td></td>
<td></td>
<td>First digit of the descriptor will be 9.</td>
<td></td>
<td>Statement from instructor to verify</td>
</tr>
<tr>
<td>Examples of Possible Assignments</td>
<td>Type of Work Activity</td>
<td>How the Assignment Should Be Entered in ESPAS</td>
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</tr>
<tr>
<td>Education Below Post-Secondary</td>
<td>Non-Core</td>
<td>Note: These activities may be offered in non-traditional as well as traditional settings.</td>
<td></td>
<td>expected hours of unsupervised homework/study time</td>
</tr>
<tr>
<td></td>
<td></td>
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<td>Proof of grades at the end of the semester/quarter/course</td>
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<td>Copies of Certificate(s) or Diploma received</td>
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<tr>
<td>English as a Second Language (ESL)</td>
<td>Non-Core</td>
<td>Component 05; descriptor required.</td>
<td>Education Directly Related to Employment for Individuals with No High School Diploma or Certificate of High School Equivalency</td>
<td>VIEW Education and Training Activities Attendance Report</td>
</tr>
<tr>
<td>Up to one hour of unsupervised study or homework time can be counted for each hour of scheduled class time.</td>
<td></td>
<td></td>
<td>Statement from instructor to verify expected hours of unsupervised homework/ study time</td>
<td>Proof of progress every three months</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Copies of Certificate Received</td>
<td></td>
</tr>
<tr>
<td>General Education Development (GED)</td>
<td>Non-Core</td>
<td>Component 06; descriptor required.</td>
<td>Satisfactory School Attendance for Individuals with No High School Diploma or Certificate of High School Equivalency</td>
<td>VIEW Education and Training Activities Attendance Report</td>
</tr>
<tr>
<td>Up to one hour of unsupervised study or homework time can be counted for each hour of scheduled class time.</td>
<td></td>
<td>For participants who are 18 or 19 and meeting attendance requirements, enter 35 hours for assigned hours and for actual hours so that this component can be counted in meeting federal participation requirements.</td>
<td></td>
<td>Statement from instructor to verify expected hours of unsupervised homework/ study time</td>
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<td></td>
<td>Proof of progress every three months</td>
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<td></td>
<td>Copies of Certificate Received</td>
</tr>
<tr>
<td>Examples of Possible Assignments</td>
<td>Type of Work Activity</td>
<td>How the Assignment Should Be Entered in ESPAS</td>
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</tr>
<tr>
<td>Adult Basic Education (ABE) or homework time can be counted for each hour of scheduled class time.</td>
<td>Non-Core</td>
<td>Component 07; descriptor required.</td>
<td>Education Directly Related to Employment for School Diploma or Certificate of High School Equivalency</td>
<td>VIEW Education and Training Activities</td>
</tr>
<tr>
<td>Secondary/ High School Up to one hour of unsupervised study or homework time can be counted for each hour of scheduled class time.</td>
<td>Non-Core</td>
<td>Component 08; descriptor required. First digit of the descriptor will be either 1 or 4. For participants who are 18 or 19 and meeting attendance requirements, enter 35 hours for assigned hours and for actual hours so that this component can meet federal participation requirements.</td>
<td>Satisfactory School Attendance for Individuals with No High School Diploma or Certificate of High School Equivalency</td>
<td>VIEW Education and Training Activities Attendance Report</td>
</tr>
</tbody>
</table>

Statement from instructor to verify expected hours of unsupervised homework/ study time

Proof of progress every three months

Copies of Certificate or Diploma received
<table>
<thead>
<tr>
<th>Examples of Possible Assignments</th>
<th>Type of Work Activity</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Other Activities</td>
<td></td>
<td>Should not be assigned until client has already been assigned to required hours in Core and/or Non-Core Work Activities.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other Locally Developed</td>
<td>Not a countable Work Activity</td>
<td>Component 22; no descriptor required.</td>
<td>Not reported</td>
<td>Local agency option</td>
</tr>
<tr>
<td>Non-Active Assignments</td>
<td></td>
<td>Should only be assigned when the client is not able to immediately participate in an active component assignment.</td>
<td></td>
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</tr>
<tr>
<td>Pending</td>
<td>Not a countable Work Activity</td>
<td>Component 25; descriptor required.</td>
<td>Not reported</td>
<td>N/A</td>
</tr>
<tr>
<td>Inactive</td>
<td>Not a countable Work Activity</td>
<td>Component 24; descriptor required.</td>
<td>Not reported</td>
<td>N/A</td>
</tr>
</tbody>
</table>
## Contact Information

Local Agencies Served by Refugee Social Services Refugee Employment Programs

<table>
<thead>
<tr>
<th>VDSS Region</th>
<th>Localities Served by RSSEP</th>
<th>RSSEP Provider Contact Information</th>
<th>Localities Not Served by RSSEP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Central</td>
<td>Chesterfield</td>
<td><strong>International Rescue Committee</strong></td>
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<td></td>
<td></td>
<td><strong>Richmond Employment Program</strong></td>
<td>Amelia</td>
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<td></td>
<td>Hanover</td>
<td><strong>Richmond Office</strong></td>
<td>Buckingham</td>
</tr>
<tr>
<td></td>
<td>Henrico</td>
<td>8100 Three Chopt Road, Suite 128</td>
<td>Charles City</td>
</tr>
<tr>
<td></td>
<td>Richmond City</td>
<td>Richmond, VA 23229</td>
<td>Cumberland</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Phone (804) 308-9144</td>
<td>Essex</td>
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<td></td>
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<td>Fluvanna</td>
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<td>Goochland</td>
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<td>Hopewell</td>
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<td>King &amp; Queen</td>
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<td>King William</td>
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<td>Richmond County</td>
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<td>Westmoreland</td>
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<td>Caroline</td>
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<td><strong>Migration and Refugee Services</strong></td>
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<td></td>
<td></td>
<td><strong>of Commonwealth Catholic Charities</strong></td>
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<td></td>
<td></td>
<td>Fredericksburg Office</td>
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<tr>
<td></td>
<td></td>
<td>24 Butler Road</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Fredericksburg, VA 22405</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Phone (540) 899-6507</td>
<td></td>
</tr>
<tr>
<td>VDSS Region</td>
<td>Localities Served by RSSEP</td>
<td>RSSEP Provider Contact Information</td>
<td>Localities Not Served by RSSEP</td>
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<tr>
<td>Eastern</td>
<td>Chesapeake</td>
<td>Refugee Resettlement Services of Commonwealth Catholic Charities</td>
<td>Accomack</td>
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<td></td>
<td>Franklin City</td>
<td>Hampton Roads Office</td>
<td>Brunswick</td>
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Refugee Resettlement Services of Commonwealth Catholic Charities

Hampton Roads Office
12284 Warwick Blvd., Suite 1-A
Newport News, VA 23606
Phone: (757) 247-3600
<table>
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<tr>
<th>VDSS Region</th>
<th>Localities Served by RSSEP</th>
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| Northern Virginia | Alexandria, Arlington, Fairfax, Falls Church, Loudoun, Manassas City, Manassas Park | Migration and Refugee Services Commonwealth Catholic Charities  
Arlington Office  
80 North Glebe Road  
Arlington, VA 22203  
Phone: (703) 841-3876  
Manassas Office  
9200 Church Street, Suite 304  
Manassas, VA 20110  
Phone: (571) 364-8010  
Refugee Immigration Services Lutheran Social Services of the National Capital Area  
Falls Church Office  
7401 Leesburg Pike  
Falls Church, VA 22043  
Phone: (703) 698-5026 | Clarke, Fauquier, Frederick, Greene, King George, Louisa, Madison, Page, Rappahannock, Shenandoah, Warren, Winchester |
| Culpeper, Fredericksburg, Orange, Prince William, Spotsylvania, Stafford | Migration and Refugee Services Catholic Charities of the Diocese of Arlington  
Fredericksburg Office  
24 Butler Road  
Fredericksburg, VA 22405  
Phone: (540) 899-6507 | |
| Harrisonburg/Rockingham | Church World Services Refugee and Immigration Program  
Harrisonburg Office  
250 East Elizabeth Street, Suite 109  
Harrisonburg, VA 22802  
Phone: (540) 433-7942 | |
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<td>820 Campbell Avenue SW</td>
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<td>Roanoke, VA 24016-3536</td>
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<td>Phone (540)-342-7561</td>
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<td>Shenandoah Valley (Staunton, Augusta &amp; Waynesboro)</td>
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<td><strong>Church World Services</strong></td>
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Understanding Federal Participation .................................................................2
Understanding Federal Participation – Examples

Whether a client is counted as meeting the federal participation rate for a given month is dependent on the actual hours of participation entered into ESPAS. The following examples are designed to provide, in a general way, an understanding of how the participation rate calculations in ESPAS work. They do not show what should be entered into ESPAS – actual hours of participation are always entered – but do illustrate scenarios under which an individual’s participation might or might not meet levels needed to meet participation. The calculator is available at http://spark.dss.virginia.gov/divisions/bp/tanf/training.cgi.

Example 1: Month 1: Ms. A is assigned to job search, a core activity, beginning May 1 through May 31. Ms. A participates in job search for 36 hours in week 1, 33 hours in week 2, 24 hours in week 3, and 39 hours in week 4. She returns her completed job search forms, which verify a total of 132 hours completed during the month.

Calculating Participation for Month 1: Calculate the total number of participation hours for the month and divide by the number of days in the month to get a daily average. For Ms. A, divide 132 hours by 31 days to get an average of 4.25 hours per day. Then, multiply the daily average by 7 to get the average actual weekly hours. For Ms. A, 4.25 x 7 equals 29.80 which rounds up to 30. If Ms. A’s information is entered correctly and timely into ESPAS, Ms. A will count toward the participation rate for the month because she met the requirement for participation in a core activity and averaged 30 hours a week of actual participation.

Month 2: The next month, June, Ms. A continues her job search. In week 1, she is in job search for 33 hours. She is in job search in week 2 for 36 hours. She then gets a job and works for 30 hours each in weeks 3 and 4. Because Ms. A had 4 consecutive weeks of job search in May, the first week of this month is not a countable activity. (Federal regulations require that job search can be counted for only 4 consecutive weeks, after which there must be a break of at least a week before additional job search hours can be counted.)

Calculating Actual Participation for Month 2: For Ms. A because more than four consecutive weeks of job search don’t count toward participation, the 33 hours for the first week of job search in Month 2 are not counted. Count only the second week of job search, 36 hours, plus the 60 employment hours to arrive at the total actual participation hours for the month. Divide 96 hours by the 30 days in June to get a daily average of 3.2 hours. Multiply this by 7 for average actual weekly hours of 22.4. Ms. A has not met the overall participation rate requirement of 30 hours, so her participation will not count for this month.

Month 3: In July, Ms. A works 35 hours per week throughout the month. She verifies that she worked a total of 161 hours altogether.

Calculating Actual Participation for Month 3: Divide the total participation hours of 161 by 31, the total days in July, to arrive at the daily average of 5.19 hours. Multiply the daily average by 7 to arrive at 36 for the average weekly actual hours. Because employment is a core activity, and because Ms. A had average weekly actual hours exceeding 30, she met federal participation for the month.

Transmittal 54
Example 2: Ms. B starts receiving assistance on January 15 and is referred to VIEW. For January, she is included in the denominator, but does not count toward the work participation rate because she has not yet become a VIEW participant and has not been assigned to any activities. February will be her actual first month in the program.

Month 1: On February 13, Ms. B is assessed and assigned to job search from February 13th through March 31. Her job search forms verify that she completed 72 hours of job search in February.

Calculating Participation for Month 1: Divide the total participation hours of 72 by 28, the number of days in the month to arrive at an average of 2.57 hours per day of participation. Multiply 2.57 by 7 to arrive at average weekly hours of 17.99, rounded up to 18. Her two weeks of job search in February are not enough to allow her to meet the core requirement or the work participation rate for February.

Note: When actual hours of job search or job readiness participation are entered into ESPAS, and it determines that the hours will not count toward federal participation, those hours of job search are not counted against the 12-month maximum. Ms. B can still be assigned to up to 180 hours of job search during the next twelve months. Because the job search was not counted, no break in participation is needed before she can be assigned to job search again.

Month 2: Ms. B continues in job search for the month of March. Her job search forms verify a total of 160 hours of job search. She counts toward the participation rate because she met the core component requirement and averaged 36 hours of job search per week.

Calculating Actual Participation for Month 2: Divide the total participation hours of 160 by the number of days in the month. For Ms. B., divide 160 hours by 31 = 5.16; multiply by 7 for total weekly average of 36. 13 which rounds down to 36.

Example 3: Month 1: Ms. C participates in unsubsidized employment of 20 hours per week and 15 hours per week in vocational education and training for the entire month of August. Both are core activities. She counts toward the work participation rate because she had participation of at least 30 hours per week.

Calculating Participation for Month 1: Ms. C has 140 total participation hours for the month. Divide the total participation hours of 140 by 30, the number of days in June. Multiply Ms. C’s daily participation average of 4.66 by 7 to arrive at the weekly average of 32.

Example 4: Ms. D participates in CWEP for 18 hours per week and GED for 17 hours per week in September. Though she participated in activities averaging at least 30 hours per week (140 hours/ 31 = 4.51 average hours per day; 4.51 multiplied by 7 = 32), she did not have at least 20 hours per week in a core work activity and therefore will not count toward the work participation rate.