

**COMMONWEALTH OF VIRGINIA
SOCIAL SERVICES BLOCK GRANT
PRE-EXPENDITURE REPORT
FISCAL YEAR 2010**

I. INTRODUCTION

This document required by the 1981 Omnibus Budget Reconciliation Act, is Virginia's Pre-Expenditure Report/Plan for Social Services for FY 2010 (October 1, 2009 – September 30, 2010). SSBG in Virginia is not a single program to which individuals can apply directly. It is a funding source which partially supports various services delivered through local departments of social services. This report contains information on expected expenditure of federal funds under the Social Services Block Grant (SSBG).

II. PUBLIC INSPECTION OF PRE-EXPENDITURE REPORT

The Pre-Expenditure Report was made available to the public via a public notice indicating the availability of the report for review upon request and the location of the report on the state's website (Reference "Public" Notice: Attachment #1 located in section VII of this report.). A broadcast notice to the local departments of social services (LDSS) was placed on SPARK, the VDSS intranet, for access by all localities (Reference "Broadcast" Notice: Attachment #2 located in section VII of this report.).

A "Summary of Comments" from the public and LDSS's is included. (Reference: Attachment #3 located in section VII of this report.) (To be added after receipt and compilation of all comments).

III. ADMINISTRATIVE OPERATIONS

The mission of the Virginia Department of Social Services is "*people helping people triumph over poverty, abuse and neglect to shape strong futures for themselves, their families, and communities.*"

Programs of the Virginia Department of Social Services are designed to assist persons in need; provide effective intervention when necessary; and ensure the safety, stability, and well-being of the most vulnerable citizens.

In Virginia, one hundred and twenty (120) local departments of social services (LDSS) have been an integral part of the social services delivery system for over 30 years. They are the focal point in each community for the delivery of family-focused preventive, supportive and protective services. These agencies are allocated federal social services funds, which are budgeted by them to either

deliver a service directly or purchase a service. LDSS use federal, state, and local funds to deliver services. This Pre-Expenditure Report includes basic information and statewide summaries of services and funding.

Service delivery activities are directed toward five national goals. The goals include self support, self sufficiency, protection of children and adults, community and home based care, and institutional care.

IV. FISCAL OPERATIONS

DESCRIPTION OF CRITERIA FOR DISTRIBUTION AND USE OF FUNDS

For SFY 2010, budget line allocations are direct service activity based on one of five methodologies: (1) projected program expenditures, (2) approved formulas, (3) allocations based upon approved locality plans, (4) state fiscal year 2009 allocations or (5) indirect cost that are allocated to SSBG based upon the federally approved Public Assistance Cost Allocation Plan (PACAP).

DESCRIPTION OF FINANCIAL OPERATIONS SYSTEM:

1) THE COST ALLOCATION PROCESS

- Costs are accumulated and processed quarterly using PACAP guidelines through a CAP system application that was developed by VDSS.
- The CAP system is used to process and allocate all costs on a quarterly basis to appropriate state and federal grants and programs.
- Financial Accounting & Analysis System (FAAS) is the VDSS State Accounting/Financial System of record for all federal, state and local expenditures related to social/public assistance services.
- FAAS chart of accounts is a systematic structure for recording and reporting accounting information pertaining to the financial activities of VDSS. The major account segments within FAAS used by the CAP module to determine the allocation treatment of costs are the:
 - a) Cost Code - The primary segment used for most costs.
 - b) Project Number – A unique number assigned in conjunction with the cost code if costs within a cost code will result in different allocation methodologies or benefiting programs
- Activities for Cost Codes that can be identified with a single grant or program on a consistent basis are treated as direct costs and maintain

their pre-assigned grant or program designation within the allocation process.

- Activities for Cost Codes that benefit more than one grant or program are treated as indirect costs and are allocated based on methodologies that reflect the benefit received by each of the programs.
- Indirect Cost Codes are assigned to an allocation pool that identifies the programs that the costs benefit.
 - Program/Service Areas are as follows:

Program Service Area #'s	Program/Service Area Titles
45101	Training and Assistance to Local Staff
45103	Central Administration and Quality Assurance for Family Services
45105	Central Administration and Quality Assurance for Community Programs
46003	Eligibility Determination Local Staff and Operations
46006	Social Worker Local Staff and Operations
46802	Adult In-Home and Supportive Services
46803	Domestic Violence Prevention and Support Activities
46901	Foster Care Payments and Supportive Services
46902	Supplemental Child Protective Activities
46903	Adoption Subsidies and Supportive Services
49203	Other Payments to Human Services Organizations
49901	General Management and Direction
49902	Information Technology Services
49903	Accounting and Budgeting Services
49914	Human Resources Services
49916	Planning and Evaluation Services
49918	Procurement and Distribution Services
49919	Public Information Services
49922	State Indirect Cost Allocation Plan
49929	Financial and Operational Audits
56101	Regulation of Adult and Child Welfare Facilities

DESCRIPTION OF THE STATE’S METHOD OF CALCULATING COSTS

2) VDSS’s ALLOCATION METHODOLOGIES AND STATISTICAL TYPES

- When assigning costs as either direct or indirect, the Department ensures that costs are accorded consistent treatment. Costs are not assigned to a Federal award as a direct cost if any other cost incurred for the same purpose in like circumstances has been allocated to the Federal award as an indirect cost.
- Various allocation methodologies and statistics are used and are updated in the CAP system prior to the start of the quarterly allocation process. The allocation pools and the statistics used are listed in the following table:

	Allocation Methodologies	Statistic Types
1.	ADAPT Pool	Average Active Client Counts
2.	Administrative Non-IV D	Agency Cumulative Costs – Excluding IV-D
3.	Administrative Pool	Agency Cumulative Costs
4.	CHILDWINS Time Log Pool	Time Log
5.	DBP Pool (Division of Benefit Programs)	Program Cumulative Costs
6.	DCVS Pool (Division of Community & Volunteer Services)	Program Cumulative Costs
7.	DFS Pool (Division of Family Services)	Program Cumulative Costs
8.	DIS Enterprise System P-APD Pool (Division of Information Systems)	Program Cumulative Costs
9.	DIS Pool (DIS Administrative Pool)	Program Cumulative Costs
10.	DIS Time Log Pool (Division of Information Systems)	Time Log
11.	DLP Pool (Division of Licensing)	Program Cumulative Costs
12.	EA Time Log Pool (Economic Assistance Unit)	Time Log
13.	E-OASIS Training Pool	Training Days
14.	FAMIS P-Rate Pool	Enrollees
15.	FC Pool (Foster Care Unit Administrative Pool)	Program Cumulative Costs
16.	FC/Adopt A Pool (Foster Care/Adoption Case Counts) – 50% FFP	Penetration Rate
17.	FC Adopt E Pool (Foster Care/Adoption Case Counts) Enhanced	Penetration Rate
18.	Fraud Pool	Fraud Investigations Completed
19.	Hearings Pool	Appeals Requested
20.	ICPC/ICAMA Pool (Interstate Compact)	Active Cases (Children)
21.	IV-E Adopt A Pool (IV-E Adoption Admin Penetration Rate)	Penetration Rate
22.	IV-E Adopt E Pool (IV-E Adoption Enhanced Penetration Rate)	Penetration Rate
23.	IV-E FC A Pool (IV-E Foster Care Administrative Penetration Rate)	Penetration Rate
24.	IV-E FC E Pool (IV-E Foster Care Enhanced Penetration Rate)	Penetration Rate
25.	Joint Pool (Admin Joint Service & Eligibility)	Calculation
26.	Licensing Pool	Mandated Inspections
27.	MA Pool (Medical Assistance)	Active Cases (Enrollees)
28.	MA Time Log Pool (Medical Assistance Unit)	Time Log
29.	P-Rate Adoption & SSBG Adoption	Penetration Rate
30.	P-Rate Foster Care & SSBG Foster Care	Penetration Rate
31.	P-Rate Independent Living - Foster Care Other Admin & SSBG Foster Care	Penetration Rate
32.	P-Rate Pre-Placement & SSBG Prevention	Penetration Rate
33.	P-Rate Time Limited Family Reunification - Foster Care Other & SSBG Foster Care	Penetration Rate
34.	PRS Pool – PARIS Pool (Public Assistance Reporting Information System)	Calculation

	Allocation Methodologies	Statistic Types
35.	QC Pool (Quality Control)	Quality Control Cases
36.	RMS Benefits Pool	Observations
37.	RMS Joint Benefits Pool	Observations
38.	RMS Joint Benefits for Systems	Observations
39.	RMS Joint Service Pool	Observations
40.	RMS Service Pool	Observations
41.	VISSTA ATC Pool	Course Hours
42.	VISSTA Training Pool	Course Hours
43.	Western ATC Pool	Course Hours

- Each Local Department of Social Services (LDSS) must participate in the RMS process, which is used to collectively and statistically allocate costs to federal grants.
- The RMS process allows localities to identify and allocate activities carried out by its service and benefit workers without keeping minute-by-minute records of activities during the day.

V. **PROGRAM OPERATIONS**

Service delivery activities are directed toward five national SSBG statutory goals. The goals include:

- Achieving or maintaining economic *self-support* to prevent, reduce, or eliminate dependency;
- Achieving or maintaining *self-sufficiency*, including reduction or prevention of dependency;
- Preventing or remedying *neglect, abuse or exploitation of children and adults* unable to protect their own interests, or preserving, rehabilitating or reuniting families;
- Preventing or reducing inappropriate institutional care by providing *community-based care, home-based care*, or other forms of less intensive care; and
- Securing referral or admission for *institutional care* when other forms of care are not appropriate or providing services to individuals in institutions.

The following categories will be serviced for Fiscal Year 2010:

Universal Access

Certain services are available to all people without regards to income. There are seven direct services (i.e., delivered directly by local welfare/social service agencies:

Child Protective Services - The goal of CPS is to provide a specialized continuum of services to protect children from abuse, neglect, or exploitation, to preserve families whenever possible,

and to prevent further maltreatment. "Child protective services" means the identification, receipt and immediate response to complaints and reports of alleged child abuse or neglect for children under 18 years of age. It also includes assessment, arranging for, and providing necessary protective and rehabilitative services for a child and his family when the child has been found to have been abused, neglected, or at risk of being abused or neglected.

Adult Protective Services - Adult Protective Services (APS) includes the receipt and investigation of reports of abuse, neglect or exploitation, and the provision of services to stop or prevent the abuse. Protective services also include assessing service needs, determining whether the subject of the report is in need of protective services, documenting the need for protective services, specifying what services are needed, and providing or arranging for service delivery. Because there is no federal statute or funding directly related to the delivery of APS, each state has developed its own system for service delivery. Nationwide, APS is usually the first responder to reports of abuse, neglect, or exploitation of vulnerable adults.

Assessment and Case Management - LDSS provide a statewide system of prevention, protective services, needs assessments, and case management services for incapacitated individuals who are 18 and over and adults who are 60 years of age and over. LDSS are the focal point for delivery of services through eligibility determination and needs assessment. Assessment is an integral part of case management and includes an assessment of both individual and family needs and wishes. Completing the Virginia Uniform Assessment Instrument (UAI) is the first step in obtaining services.

Adult Services - Adult Services (AS) assists adults age 60 and over and incapacitated individuals 18 and over and their families when appropriate. Services are designed to help adults remain in the least restrictive environment of their choosing -- preferably their own home -- for as long as possible. Adequate home-based services and case management decrease or delay the need for institutional placement, reduce costs, and ensure appropriate support services.

Prevention and Intervention Services – Includes purchasing any service to prevent disruption of the family and stabilize the situation, provided that the need is documented in the case record. These services may include the purchase of emergency shelter until more permanent arrangements can be made and may also include items such as clothing, food, utilities, or rent when no other

resource is available and the lack of these goods and services become life threatening or may result in institutionalization.

Foster Care and Adoption for Families - Foster care provides services, substitute care, and supervision for children on a 24-hour basis until a child can either return to their family, be placed in an adoptive home, or other permanent foster placement.

Employment Services – Includes purchasing services to assist individuals in retaining, regaining, or securing employment and acquiring training or education leading to employment.

The services may all be universal access services, or localities have the option to exclude Employment Services, in which case there would be six universally available services.

For purchased services, the following services are universal access:

Adult Protective Services
Child Protective Services - Foster Care for Children
Prevention Services

CHARACTERISTICS OF INDIVIDUALS TO BE SERVED

- a) The Virginia Department of Social Services provides services to children and adults of all ages.
- b) Eligibility Criteria - persons who are eligible based on their membership in federal income maintenance groups. The income maintenance groups include Temporary Assistance for Needy Families and Supplemental Security Income (SSI) recipients.
- c) Income Guidelines – persons who are eligible based on their income as compared to Virginia’s annual median income.

TYPES OF ACTIVITIES TO BE SUPPORTED

Direct Services – These services are provided directly by local welfare/social service agencies and include: Adult Protective Services, Adult Services, Child Protective Services, Employment Services, Foster Care and Adoption for Families, Assessment & Case Management, and Prevention and Support Services.

Purchased Services – These services are purchased from private individuals, private agencies or other public agencies. They include

services to children in foster care, preventive services, and protective services for children and adults.

State Department of Social Services – Funds are appropriated to this state agency for central and regional administrative activities such as planning, policy making, and monitoring and evaluation with regard to all programs.

TANF/SSBG – Virginia transfers the maximum amount allowable from TANF to SSBG. Section 404(d) (2) of the Social Security Act governs the transfer of TANF funds to the SSBG program. It limits the amount that a state may currently transfer to no more than 10% of its total annual TANF grant to SSBG. All funds transferred to the SSBG program are subject to the statute and regulations of the recipient SSBG program in place for the current fiscal year at the time when the transfer occurs. All amounts paid to a State under this part that are used to carry out state programs pursuant to Title XX shall be used only for programs and services to children or their families whose income is less than 200 percent of the official poverty level applicable to a family of the size involved.

The Virginia Department of Social Services uses the same program titles according to those listed for the SSBG Uniform Definition of Services.

Virginia operates a state supervised, locally administered welfare system that consists of one hundred and twenty (120) local departments of social services (LDSS). The focal point in each community is the delivery of family-focused preventive, supportive and protective services.

- VI. PRE-EXPENDITURE REPORTING FORM
- VII. DOCUMENTATION OF PUBLIC HEARING
- VIII. CERTIFICATIONS – *(below are certifications to be signed by CFO)*
 - (A) ANTI-LOBBYING
 - (B) DRUG-FREE WORK REQUIREMENTS
 - (C) DEBARMENT AND SUSPENSION
 - (D) ENVIRONMENTAL TOBACCO SMOKE, ALSO KNOWN AS THE PRO-CHILDREN ACT OF 119, P.L.103-227.

- IX. PROOF OF AUDIT

Part A. Estimated Expenditures and Proposed Provision Method

OMB NO.: 0970-0234
EXPIRATION DATE: 07/31/2011

STATE: VIRGINIA	FISCAL YEAR: 2010	REPORT PERIOD: 10/01/2009 - 09/30/2010
Contact Person: MY LUSHAO	Phone Number: (804) 726-7280	
Title: GRANTS MANAGER	E-Mail Address: my.lushao@dss.virginia.gov	
Agency: VIRGINIA DEPARTMENT OF SOCIAL SERVICES	Submission Date: Prior to September 1, 2009	

Part B. Estimated Recipients

OMB NO.: 0970-0234
EXPIRATION DATE: 07/31/2011

STATE: VIRGINIA
FISCAL YEAR: 2010

Service Supported with SSBG Expenditures	SSBG Expenditures		Expenditures of All Other Federal, State and Local funds**	Total Expenditures	Provision Method		Service Supported with SSBG Expenditures	Children	Adults			Total Adults	Total
	SSBG Allocation	Funds transferred into SSBG*			Public	Private			Adults Age 59 Years & Younger	Adults Age 60 Years & Older	Adults of Unknown Age		
1 Adoption Services	942,333	6,408,502	988,085	8,338,920	X		1 Adoption Services		1841		1304	1304	3145
2 Case Management	11,999,321		24,187,795	36,187,116	X		2 Case Management				11517	11517	11517
3 Congregate Meals				0			3 Congregate Meals						
4 Counseling Services	59,778		14,944	74,722	X		4 Counseling Services		32		164	164	196
5 Day Care--Adults	129,011		32,253	161,264	X		5 Day Care--Adults				146	146	146
6 Day Care--Children				0			6 Day Care--Children						
7 Education and Training Services	580		145	725	X		7 Education and Training Services		14				14
8 Employment Services	360		90	450	X		8 Employment Services				2	2	2
9 Family Planning Services	800		200	1,000	X		9 Family Planning Services				1	1	1
10 Foster Care Services--Adults	2,277		569	2,846	X		10 Foster Care Services--Adults				25	25	25
11 Foster Care Services--Children	4,370,568	9,419,998	40,505,466	54,296,032	X		11 Foster Care Services--Children		2847				2847
12 Health-Related Services	9,906		2,476	12,382	X		12 Health-Related Services		1		12	12	13
13 Home-Based Services	8,468,413		2,117,061	10,585,474	X		13 Home-Based Services		2283		9629	9629	11912
14 Home-Delivered Meals	8,175		2,044	10,219	X		14 Home-Delivered Meals				241	241	241
15 Housing Services	24,979		6,245	31,224	X		15 Housing Services				10	10	10
16 Independent/Transitional Living Services	113,625		172,890	286,516	X		16 Independent/Transitional Living Services		110				110
17 Information & Referral				0			17 Information & Referral						
18 Legal Services	14,004		3,501	17,505	X		18 Legal Services		215				215
19 Pregnancy & Parenting				0			19 Pregnancy & Parenting						
20 Prevention & Intervention	4,243,385		3,030,820	7,274,204	X		20 Prevention & Intervention		3095		4213	4213	7308
21 Protective Services--Adults	3,778,851		3,476,590	7,255,442	X		21 Protective Services--Adults				2873	2873	2873
22 Protective Services--Children	8,487,630		18,961,136	27,448,767	X		22 Protective Services--Children		928				928
23 Recreation Services	17,269		4,317	21,586	X		23 Recreation Services		162				162
24 Residential Treatment				0			24 Residential Treatment						
25 Special Services--Disabled	5,425		1,356	6,781	X		25 Special Services--Disabled				37	37	37
26 Special Services--Youth at Risk				0			26 Special Services--Youth at Risk						
27 Substance Abuse Services	1,789		447	2,236	X		27 Substance Abuse Services		1		13	13	14
28 Transportation	40,826		10,206	51,032	X		28 Transportation		1		150	150	151
29 Other Services***				0			29 Other Services***						
30 SUM OF EXPENDITURES FOR SERVICES	42,719,304	15,828,500	93,518,639	152,066,443			30 SUM OF RECIPIENTS OF SERVICES		11,530		30,337	30,337	41,867
31 Administrative Costs	505,099		1,063,369	1,568,468									
32 SUM OF EXPENDITURES FOR SERVICES AND ADMINISTRATIVE COSTS	43,224,403	15,828,500	94,582,008	153,634,911									

* From which block grant(s) were these funds transferred? TANF

** Please list the sources of these funds: VIRGINIA DEPARTMENT OF SOCIAL SERVICES' GENERAL & LOCAL FUNDS

*** Please list other services:

***Estimated FY 2010 expenditures are based on actual expenditures through 3/31/09 qtr & estimates for 6/30/09 qtr.

***Estimated FY 2010 recipient counts are based on SFY 2008 actuals.